



**First Trust Global Funds plc**

**(an umbrella fund with segregated liability between sub-funds)**

**Semi-Annual Report and Unaudited Financial Statements**

**For the financial period ended 30 June 2021**

First Trust US Large Cap Core AlphaDEX® UCITS ETF

First Trust Emerging Markets AlphaDEX® UCITS ETF

First Trust United Kingdom AlphaDEX® UCITS ETF

First Trust Eurozone AlphaDEX® UCITS ETF

First Trust Indxx NextG UCITS ETF

First Trust US Equity Opportunities UCITS ETF

First Trust Global Equity Income UCITS ETF

First Trust Germany AlphaDEX® UCITS ETF

First Trust US Equity Income UCITS ETF

First Trust Dow Jones International Internet UCITS ETF

First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF

First Trust FactorFX UCITS ETF

First Trust Indxx Innovative Transaction & Process UCITS ETF

First Trust Dow Jones Internet UCITS ETF

First Trust Cloud Computing UCITS ETF

First Trust Low Duration Global Government Bond UCITS ETF

First Trust Capital Strength UCITS ETF

First Trust Value Line® Dividend Index UCITS ETF

First Trust NYSE Arca Biotechnology UCITS ETF

First Trust Nasdaq Cybersecurity UCITS ETF

First Trust Strategic Metal and Energy Equity UCITS Fund

First Trust Global Capital Strength ESG Leaders UCITS ETF

First Trust IPOX® Europe Equity Opportunities UCITS ETF

**Registration Number: 514357**

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# First Trust Global Funds plc

## DIRECTORS AND OTHER INFORMATION

### Board of Directors:

James A. Bowen\* (US) (Chairman)  
Andy Roggensack\* (US)  
David G. McGarel\* (US)  
Bronwyn Wright^ (IRE)  
Michael Boyce^ (IRE)  
Tom Coghlan^ (IRE)

### Manager:

First Trust Global Portfolios Management Limited  
Ten Earlsfort Terrace  
Dublin 2 D02 T380  
Ireland

### Investment Manager and Promoter:

First Trust Advisors L.P.  
120 E. Liberty Drive  
Wheaton  
Illinois 60187  
USA

### Sub-Investment Manager:

#### First Trust FactorFX UCITS ETF and First Trust Low Duration Global Government Bond UCITS ETF

First Trust Global Portfolios Limited  
Floor 2  
8 Angel Court  
London EC2R 7HJ  
England

### Distributor:

First Trust Global Portfolios Limited  
Floor 2  
8 Angel Court  
London EC2R 7HJ  
England

### Registered Office:

Ten Earlsfort Terrace  
Dublin 2 D02 T380  
Ireland

### Administrator:

BNY Mellon Fund Services (Ireland) Designated Activity Company  
One Dockland Central  
Guild Street  
International Financial Services Centre  
Dublin 1 D01 E4X0  
Ireland

### Depository:

The Bank of New York Mellon SA/NV, Dublin Branch  
Riverside II  
Sir John Rogerson's Quay  
Grand Canal Dock  
Dublin 2 D02 KV60  
Ireland

### Legal Advisers:

Arthur Cox LLP  
Ten Earlsfort Terrace  
Dublin 2 D02 T380  
Ireland

### Independent Auditor:

Deloitte Ireland LLP  
Chartered Accountants & Statutory Audit Firm  
Deloitte & Touche House, 29 Earlsfort Terrace  
Dublin 2 D02 AY28  
Ireland

### Company Secretary:

Bradwell Limited  
Ten Earlsfort Terrace  
Dublin 2 D02 T380  
Ireland

### Listing Sponsor:

Arthur Cox Listing Services Limited  
Ten Earlsfort Terrace  
Dublin 2 D02 T380  
Ireland

\* Non-executive Director

^ Independent non-executive Director

## Investment Manager's Report for the financial period ended 30 June 2021

**First Trust US Large Cap Core AlphaDEX® UCITS ETF\***

**First Trust Emerging Markets AlphaDEX® UCITS ETF\***

**First Trust United Kingdom AlphaDEX® UCITS ETF\***

**First Trust Eurozone AlphaDEX® UCITS ETF\***

**First Trust Indxx NextG UCITS ETF\***

**First Trust US Equity Opportunities UCITS ETF\***

**First Trust Global Equity Income UCITS ETF\***

**First Trust Germany AlphaDEX® UCITS ETF\***

**First Trust US Equity Income UCITS ETF\***

**First Trust Dow Jones International Internet UCITS ETF\***

**First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF\***

**First Trust Indxx Innovative Transaction & Process UCITS ETF\***

**First Trust Dow Jones Internet UCITS ETF\***

**First Trust Cloud Computing UCITS ETF\***

**First Trust Capital Strength UCITS ETF\***

**First Trust Value Line® Dividend Index UCITS ETF\***

**First Trust NYSE Arca Biotechnology UCITS ETF\***

**First Trust Nasdaq Cybersecurity UCITS ETF\***

**First Trust IPOX® Europe Equity Opportunities UCITS ETF\***

The First Trust US Large Cap Core AlphaDEX® UCITS ETF aims to provide investors with a total return, taking into account capital and income returns, which reflects the return of an equity index called the NASDAQ AlphaDEX® Large Cap Core Index.

The First Trust Emerging Markets AlphaDEX® UCITS ETF aims to provide investors with a total return, taking into account capital and income returns, which reflects the return of an equity index called the NASDAQ AlphaDEX® Emerging Markets Index.

The First Trust United Kingdom AlphaDEX® UCITS ETF aims to provide investors with a total return, taking into account capital and income returns, which reflects the return of an equity index called the NASDAQ AlphaDEX® United Kingdom Index.

The First Trust Eurozone AlphaDEX® UCITS ETF aims to provide investors with a total return, taking into account capital and income returns, which reflects the return of an equity index called the NASDAQ AlphaDEX® Eurozone Index.

The First Trust Indxx NextG UCITS ETF aims to provide investors with investment results that correspond generally to the price and yield (before the First Trust Indxx NextG UCITS ETF's fees and expenses) of an equity index called the Indxx 5G & NextG Thematic Index.

The First Trust US Equity Opportunities UCITS ETF aims to provide investors with investment results that correspond generally to the price and yield of an equity index called the IPOX®-100 U.S. Index.

The First Trust Global Equity Income UCITS ETF aims to provide investors with investment results that correspond generally to the price and yield of an equity index called the NASDAQ Global High Equity Income Index.

The First Trust Germany AlphaDEX® UCITS ETF aims to provide investors with investment results that correspond generally to the price and yield of an equity index called the NASDAQ AlphaDEX® Germany Index.

The First Trust US Equity Income UCITS ETF aims to provide investors with investment results that correspond generally to the price and yield of an equity index called the NASDAQ US High Equity Income Index.

The First Trust Dow Jones International Internet UCITS ETF aims to provide investors with investment results that correspond generally to the price and yield (before the First Trust Dow Jones International Internet UCITS ETF's fees and expenses) of an equity index called the Dow Jones International Internet Index.

The First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF aims to provide investors with investment results that correspond generally to the price and yield (before the First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF's fees and expenses) of an equity index called the Nasdaq® Clean Edge® Green Energy Index.

The First Trust Indxx Innovative Transaction & Process UCITS ETF aims to provide investors with investment results that correspond generally to the price and yield of an equity index called the Indxx Blockchain Index.

The First Trust Dow Jones Internet UCITS ETF aims to provide investors with investment results that correspond generally to the price and yield of an equity index called the Dow Jones Internet Composite Index<sup>SM</sup>.

\* References to benchmarks are for illustrative purposes only. There is no guarantee that a Fund will outperform its benchmark.

## Investment Manager's Report for the financial period ended 30 June 2021 (continued)

The First Trust Cloud Computing UCITS ETF aims to provide investors with investment results that correspond generally to the price and yield (before the First Trust Cloud Computing UCITS ETF's fees and expenses) of an equity index called the ISE CTA Cloud Computing™ Index.

The First Trust Capital Strength UCITS ETF aims to provide investors with investment results that correspond generally to the price and yield (before the First Trust Capital Strength UCITS ETF's fees and expenses) of an equity index called The Capital Strength Index<sup>SM</sup>.

The First Trust Value Line® Dividend Index UCITS ETF aims to provide investors with investment results that correspond generally to the price and yield (before the First Trust Value Line® Dividend Index UCITS ETF's fees and expenses) of an equity index called the Value Line® Dividend Index.

The First Trust NYSE Arca Biotechnology UCITS ETF aims to provide investors with investment results that correspond generally to the price and yield (before the First Trust NYSE Arca Biotechnology UCITS ETF's fees and expenses) of an equity index called the NYSE Arca Biotechnology Index<sup>SM</sup>.

The First Trust Nasdaq Cybersecurity UCITS ETF aims to provide investors with investment results that correspond generally to the price and yield (before the First Trust Nasdaq Cybersecurity UCITS ETF's fees and expenses) of an equity index called the Nasdaq CTA Cybersecurity Index<sup>SM</sup>.

The First Trust IPOX® Europe Equity Opportunities UCITS ETF aims to provide investors with investment results that correspond generally to the price and yield (before the First Trust IPOX® Europe Equity Opportunities UCITS ETF's fees and expenses) of an equity index called the IPOX®-100 Europe Index.

Each of First Trust US Large Cap Core AlphaDEX® UCITS ETF, First Trust Emerging Markets AlphaDEX® UCITS ETF, First Trust United Kingdom AlphaDEX® UCITS ETF, First Trust Eurozone AlphaDEX® UCITS ETF, First Trust Indxx NextG UCITS ETF, First Trust US Equity Opportunities UCITS ETF, First Trust Global Equity Income UCITS ETF, First Trust Germany AlphaDEX® UCITS ETF, First Trust US Equity Income UCITS ETF, First Trust Dow Jones International Internet UCITS ETF, First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF, First Trust Indxx Innovative Transaction & Process UCITS ETF, First Trust Dow Jones Internet UCITS ETF, First Trust Cloud Computing UCITS ETF, First Trust Capital Strength UCITS ETF, First Trust Value Line® Dividend Index UCITS ETF, First Trust NYSE Arca Biotechnology UCITS ETF, First Trust Nasdaq Cybersecurity UCITS ETF and First Trust IPOX® Europe Equity Opportunities UCITS ETF (each a "Fund" and collectively the "Funds") have pursued the objective of replicating the performance of the relevant net total return index by seeking to hold a portfolio of transferable securities that consists of substantially all of the component securities of that index in substantially the same weighting as the index.

The composition of the securities held by each Fund has been adjusted periodically to seek to replicate the composition and weighting of the relevant index.

Dividends accrued on the Funds' securities have been reinvested in accordance with the underlying indices. By reinvesting dividends, net of management fees, in the indices, the Funds' cash component was kept to a minimum.

This income reinvestment policy is designed to alleviate the effects of cash drag until the time of dividend payment because the Funds track net total performance indices. Re-exposing cash to the index not only minimized dividend drag but also recurrent management or transaction fees paid to counterparties outside the Funds for short-term cash management.

Moreover, full replication kept the Funds' tracking error with the relevant underlying index within expected levels.

Tracking error computation should be based on net total returns indices because of the Funds' income reinvestment policy. The net total return indices are now publicly available.

### Performance Update

#### First Trust US Large Cap Core AlphaDEX® UCITS ETF Class A shares

As of 30 June 2021, the Net Asset Value ("NAV") of First Trust US Large Cap Core AlphaDEX® UCITS ETF Class A shares was \$56.07M. The Fund owned 374 stocks as of 30 June 2021. There were 50,000 shares redeemed during the period (-\$3.10 million). During the six months, the Fund had a net return of +17.05%, compared to the NASDAQ AlphaDEX® Large Cap Core Index performance of +17.32%, i.e. -0.27% relative performance. During the period under review, the Fund had a tracking error of 0.003%, which corresponded with our expectations. Finally, the Fund's benchmark, the S&P® 500 Index, returned +15.00% during the six months, as the Fund outperformed by +2.05%.

\* References to benchmarks are for illustrative purposes only. There is no guarantee that a Fund will outperform its benchmark.

# First Trust Global Funds plc

## Investment Manager's Report for the financial period ended 30 June 2021 (continued)

### First Trust US Large Cap Core AlphaDEX® UCITS ETF Class B shares

As of 30 June 2021, the NAV of First Trust US Large Cap Core AlphaDEX® UCITS ETF Class B shares was \$42.75M. The Fund owned 374 stocks as of 30 June 2021. There were 50,000 shares redeemed during the period (-\$2.92 million). During the six months, the Fund had a net return of +17.07%, compared to the NASDAQ AlphaDEX® Large Cap Core Index performance of +17.32%, i.e. -0.25% relative performance. During the period under review, the Fund had a tracking error of 0.003%, which corresponded with our expectations. Finally, the Fund's benchmark, the S&P® 500 Index, returned +15.00% during the six months, as the Fund outperformed by +2.07%.

### First Trust Emerging Markets AlphaDEX® UCITS ETF

As of 30 June 2021, the NAV of First Trust Emerging Markets AlphaDEX® UCITS ETF was \$28.23M. The Fund owned 149 stocks as of 30 June 2021. There were 450,000 shares redeemed during the period (-\$15.38 million). During the six months, the Fund had a net return of +11.82%, compared to the NASDAQ AlphaDEX® Emerging Markets Index performance of +12.69%, i.e. -0.87% relative performance. During the period under review, the Fund had a tracking error of 0.022% which corresponded with our expectations. Finally, the Fund's benchmark, the MSCI Emerging Markets Index, returned +7.45% during the six months, as the Fund outperformed by +4.37%.

### First Trust United Kingdom AlphaDEX® UCITS ETF Class A shares

As of 30 June 2021, the NAV of First Trust United Kingdom AlphaDEX® UCITS ETF Class A was £12.04M. The Fund owned 74 stocks as of 30 June 2021. There were 50,000 shares redeemed during the period (-£1.32 million). During the six months, the Fund had a net return of +15.05%, compared to the NASDAQ AlphaDEX® United Kingdom Index performance of +15.73%, i.e. -0.68% relative performance. During the period under review, the Fund had a tracking error of 0.022% which corresponded with our expectations. Finally, the Fund's benchmark, the FTSE 100 Index, returned +10.87% during the six months, as the Fund outperformed by +4.18%.

### First Trust United Kingdom AlphaDEX® UCITS ETF Class B shares

As of 30 June 2021, the NAV of First Trust United Kingdom AlphaDEX® UCITS ETF Class B shares was £2.59M. The Fund owned 74 stocks as of 30 June 2021. There were no shares created or redeemed during the period. During the six months, the Fund had a net return of +15.08%, compared to the NASDAQ AlphaDEX® United Kingdom Index performance of +15.73%, i.e. -0.65% relative performance. During the period under review, the Fund had a tracking error of 0.022% which corresponded with our expectations. Finally, the Fund's benchmark, the FTSE 100 Index, returned +10.87% during the six months, as the Fund outperformed by +4.21%.

### First Trust Eurozone AlphaDEX® UCITS ETF Class A shares

As of 30 June 2021, the NAV of First Trust Eurozone AlphaDEX® UCITS ETF Class A was €129.72M. The Fund owned 150 stocks as of 30 June 2021. There were 150,000 shares created during the period (+€5.00 million). During the six months, the Fund had a net return of +14.70%, compared to the NASDAQ AlphaDEX® Eurozone Index performance of +15.02%, i.e. -0.32% relative performance. During the period under review, the Fund had a tracking error of 0.011% which corresponded to our expectations. Finally, the Fund's benchmark, the MSCI EMU Index, returned +15.28% during the six months, as the Fund underperformed by -0.58%.

### First Trust Eurozone AlphaDEX® UCITS ETF Class B shares

As of 30 June 2021, the NAV of First Trust Eurozone AlphaDEX® UCITS ETF Class B was €24.98M. The Fund owned 150 stocks as of 30 June 2021. There were no shares created or redeemed during the period. During the six months, the Fund had a net return of +14.72%, compared to the NASDAQ AlphaDEX® Eurozone Index performance of +15.02%, i.e. -0.30% relative performance. During the period under review, the Fund had a tracking error of 0.012% which corresponded to our expectations. Finally, the Fund's benchmark, the MSCI EMU Index, returned +15.28% during the six months, as the Fund underperformed by -0.56%.

### First Trust Indxx NextG UCITS ETF (changed from First Trust Japan AlphaDEX® UCITS ETF effective 1 February 2021)

As of 30 June 2021, the NAV of First Trust Indxx NextG UCITS ETF was \$7.72M. The Fund owned 100 stocks as of 30 June 2021. There were 250,000 shares created during the period (+\$6.18 million). During the six months, the Fund had a net return of +7.35% compared to the blended Indxx 5G & NextG Thematic Index and NASDAQ AlphaDEX® Japan Index benchmark performance of +8.16%, i.e. -0.81% relative performance. During the period, the Fund had a tracking error of 0.030% which corresponded to our expectations. Finally, the Fund's benchmark, the blended MSCI ACWI Index and MSCI Japan Index, returned +13.26% during the six months, as the Fund underperformed by -5.91%.

### First Trust US Equity Opportunities UCITS ETF

As of 30 June 2021, the NAV of First Trust US Equity Opportunities UCITS ETF was \$16.54M. The Fund owned 100 stocks as of 30 June 2021. There were 50,000 shares created during the period (+\$2.43 million). During the six months, the Fund had a net return of +7.60% compared to the IPOX®-100 U.S. Index performance of +7.94%, i.e. -0.34% relative performance. During the period under review, the Fund had a tracking error of 0.004% which corresponded to our expectations. Finally, the Fund's benchmark, the Russell 3000 Index Net returned +15.11% during the observation period as the Fund underperformed by -7.51%.

\* References to benchmarks are for illustrative purposes only. There is no guarantee that a Fund will outperform its benchmark.

# First Trust Global Funds plc

## Investment Manager's Report for the financial period ended 30 June 2021 (continued)

### First Trust Global Equity Income UCITS ETF Class A shares

As of 30 June 2021, the NAV of First Trust Global Equity Income UCITS ETF Class A was \$2.51M. The Fund owned 265 stocks as of 30 June 2021. There were no shares created or redeemed during the period. During the six months, the Fund had a net return of +10.99% compared to the NASDAQ Global High Equity Income Index performance of +11.47%, i.e. -0.48% relative performance. During the period under review, the Fund had a tracking error of 0.009% which corresponded to our expectations. Finally, the Fund's benchmark the MSCI All Country World Index, returned +12.30% during the observation period as the Fund underperformed by -1.31%.

### First Trust Global Equity Income UCITS ETF Class B shares

As of 30 June 2021, the NAV of First Trust Global Equity Income UCITS ETF Class B was \$21.15M. The Fund owned 265 stocks as of 30 June 2021. There were no shares created or redeemed during the period. During the six months, the Fund had a net return of +11.02% compared to the NASDAQ Global High Equity Income Index performance of +11.47%, i.e. -0.45% relative performance. During the period under review, the Fund had a tracking error of 0.009% which corresponded to our expectations. Finally, the Fund's benchmark the MSCI All Country World Index, returned +12.30% during the observation period as the Fund underperformed by -1.28%.

### First Trust Germany AlphaDEX® UCITS ETF

As of 30 June 2021, the NAV of First Trust Germany AlphaDEX® UCITS ETF was €3.12M. The Fund owned 40 stocks as of 30 June 2021. There were no shares created or redeemed during the period. During the six months, the Fund had a net return of +14.82% compared to the NASDAQ AlphaDEX® Germany Index performance of +15.02%, i.e. -0.20% relative performance. During the period under review, the Fund had a tracking error of 0.007% which corresponded to our expectations. Finally, the Fund's benchmark, the Deutsche Boerse AG German Stock Index DAX returned +13.21% during the observation period as the Fund outperformed by +1.61%.

### First Trust US Equity Income UCITS ETF Class A Shares

As of 30 June 2021, the NAV of First Trust US Equity Income UCITS ETF Class A was \$118.80M. The Fund owned 143 stocks as of 30 June 2021. There were 2,150,000 shares created during the period (+\$62.58 million). During the six months, the Fund had a net return of +22.38% compared to the NASDAQ US High Equity Income Index performance of +22.47%, i.e. -0.09% relative performance. During the period under review, the Fund had a tracking error of 0.006% which corresponded to our expectations. Finally, the Fund's benchmark, the S&P® 500 Index, returned +15.00% during the observation period as the Fund outperformed by +7.38%.

### First Trust US Equity Income UCITS ETF Class B Shares

As of 30 June 2021, the NAV of First Trust US Equity Income UCITS ETF Class B was \$29.21M. The Fund owned 143 stocks as of 30 June 2021. There were 150,000 shares created during the period (+\$4.73 million). During the six months, the Fund had a net return of +22.36% compared to the NASDAQ US High Equity Income Index performance of +22.47%, i.e. -0.11% relative performance. During the period under review, the Fund had a tracking error of 0.005% which corresponded to our expectations. Finally, the Fund's benchmark, the MSCI ACWI ex USA Index, returned +15.00% during the observation period as the Fund outperformed by +7.36%.

### First Trust Dow Jones International Internet UCITS ETF (changed from First Trust Switzerland AlphaDEX® UCITS ETF effective 1 February 2021)

As of 30 June 2021, the NAV of First Trust Dow Jones International Internet UCITS ETF was \$6.05M. The Fund owned 40 stocks as of 30 June 2021. There were 150,000 shares created during the period (+\$4.25 million). During the six months, the Fund had a net return of -1.82% compared to the blended Dow Jones International Internet Index and NASDAQ AlphaDEX® Switzerland Index performance of -1.24%, i.e. -0.58% relative performance. During the period under review, the Fund had a tracking error of 0.039% which corresponded to our expectations. Finally, the Fund's benchmark, the blended MSCI ACWI ex USA Index and Swiss Market Index, returned +14.99% during the observation period as the Fund underperformed by -16.81%.

### First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF (changed from First Trust US Small Cap Core AlphaDEX® UCITS ETF effective 1 February 2021)

As of 30 June 2021, the NAV of First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF was \$18.69M. The Fund owned 53 stocks as of 30 June 2021. There were 650,000 shares created during the period (+\$17.05 million). During the six months, the Fund had a net return of -8.96%, compared to the blended Nasdaq® Clean Edge® Energy Index and Nasdaq AlphaDEX® Small Cap Core Index performance of -8.62%, i.e. -0.34% relative performance. During the period under review, the Fund had a tracking error of 0.008% which corresponded with our expectations. Finally, the Fund's benchmark, the blended S&P® 500 Index and S&P® Small Cap 600 Index, returned +23.50% during the observation period, as the Fund underperformed by -32.46%.

### First Trust Indxx Innovative Transaction & Process UCITS ETF

As of 30 June 2021, the NAV of First Trust Indxx Innovative Transaction & Process UCITS ETF was \$108.89M. The Fund owned 100 stocks as of 30 June 2021. There were 1,500,000 shares created during the period (+\$45.31 million). During the six months, the Fund had a net return of +12.96% compared to the Indxx Blockchain Index of +13.36%, i.e. -0.40% relative performance. During the period under review, the Fund had a tracking error of 0.008%, which corresponded with our expectations. Finally, the Fund's benchmark, the S&P® 500 Index, returned +15.00% during this period, as the Fund underperformed by -2.04%.

\* References to benchmarks are for illustrative purposes only. There is no guarantee that a Fund will outperform its benchmark.

# First Trust Global Funds plc

## Investment Manager's Report for the financial period ended 30 June 2021 (continued)

### First Trust Dow Jones Internet UCITS ETF

As of 30 June 2021, the NAV of First Trust Dow Jones Internet UCITS ETF was \$40.74M. The Fund owned 42 stocks as of 30 June 2021. There were 150,000 shares created during the period (+\$4.95 million). During the six months, the Fund had a net return of +15.24%, compared to the Dow Jones Internet Composite Index<sup>SM</sup> of +15.52%, i.e. -0.28% relative performance. During the period under review, the Fund had a tracking error of 0.004%, which corresponded with our expectations. Finally, the Fund's benchmark, the S&P<sup>®</sup> 500 Index, returned +15.00% during this period, as the Fund outperformed by +0.24%.

### First Trust Cloud Computing UCITS ETF

As of 30 June 2021, the NAV of First Trust Cloud Computing UCITS ETF was \$644.50M. The Fund owned 65 stocks as of 30 June 2021. There were 1,450,000 shares created during the period (+\$61.75 million). During the six months, the Fund had a net return of +11.42%, compared to the ISE CTA Cloud Computing<sup>TM</sup> Index of +11.72%, i.e. -0.30% relative performance. During the period under review, the Fund had a tracking error of 0.004%, which corresponded with our expectations. Finally, the Fund's benchmark, the S&P<sup>®</sup> 500 Index, returned +15.00% during this period, as the Fund underperformed by -3.58%.

### First Trust Capital Strength UCITS ETF

As of 30 June 2021, the NAV of First Trust Capital Strength UCITS ETF was \$10.05M. The Fund owned 50 stocks as of 30 June 2021. There were 100,000 shares created during the period (+\$2.44 million). During the six months, the Fund had a net return of +12.34%, compared to The Capital Strength Index<sup>SM</sup> of +12.58%, i.e. -0.24% relative performance. During the period under review, the Fund had a tracking error of 0.004%, which corresponded with our expectations. Finally, the Fund's benchmark, the S&P<sup>®</sup> 500 Index, returned +15.00% during this period, as the Fund underperformed by -2.66%.

### First Trust Value Line<sup>®</sup> Dividend Index UCITS ETF

As of 30 June 2021, the NAV of First Trust Value Line<sup>®</sup> Dividend Index UCITS ETF was \$8.92M. The Fund owned 201 stocks as of 30 June 2021. There were no shares created or redeemed during the period. During the six months, the Fund had a net return of +14.13%, compared to the Value Line<sup>®</sup> Dividend Index of +14.38%, i.e. -0.25% relative performance. During the period under review, the Fund had a tracking error of 0.006%, which corresponded with our expectations. Finally, the Fund's benchmark, the S&P<sup>®</sup> 500 Index, returned +15.00% during this period, as the Fund underperformed by -0.87%.

### First Trust NYSE Arca Biotechnology UCITS ETF

As of 30 June 2021, the NAV of the First Trust NYSE Arca Biotechnology UCITS ETF was \$13.72M. The Fund owned 30 stocks as of 30 June 2021. There were 100,000 shares created during the period (+\$2.18 million). During the six months, the Fund had a net return of +2.73%, compared to the NYSE Arca Biotechnology Index<sup>SM</sup> of +2.98%, i.e. -0.25% relative performance. During the period under review, the Fund had a tracking error of 0.003%, which corresponded with our expectations. Finally, the Fund's benchmark, the S&P<sup>®</sup> 500 Index, returned +15.00% during this period, as the Fund underperformed by -12.27%.

### First Trust Nasdaq Cybersecurity UCITS ETF

As of 30 June 2021, the NAV of the First Trust Nasdaq Cybersecurity UCITS ETF was \$190.86M. The Fund owned 40 stocks as of 30 June 2021. There were 4,150,000 shares created during the period (+\$116.76 million). During the six months, the Fund had a net return of +5.51%, compared to the Nasdaq CTA Cybersecurity Index<sup>SM</sup> of +5.94%, i.e. -0.43% relative performance. During the period under review, the Fund had a tracking error of 0.008%, which corresponded with our expectations. Finally, the Fund's benchmark, the S&P<sup>®</sup> 500 Index, returned +15.00% during this period, as the Fund underperformed by -9.49%.

### First Trust IPOX<sup>®</sup> Europe Equity Opportunities UCITS ETF (Inception Date: 21 June 2021)

As of 30 June 2021, the NAV of the First Trust IPOX<sup>®</sup> Europe Equity Opportunities UCITS ETF was €2.02M. The Fund owned 100 stocks as of 30 June 2021. There were 100,000 shares created during the period (+€2.00 million). During the period since inception, the Fund had a net return of +0.90%, compared to the IPOX<sup>®</sup>-100 Europe Index of 0.91%, i.e. -0.01%. Finally, the Fund's benchmark, the MSCI Europe Index, returned -0.74% during this period, as the Fund outperformed by +1.64%.

### First Trust Advisors L.P.

16 July 2021

\* References to benchmarks are for illustrative purposes only. There is no guarantee that a Fund will outperform its benchmark.



## Investment Manager's Report for the financial period ended 30 June 2021 (continued)

### First Trust FactorFX UCITS ETF\*

#### Market Commentary

The first half of the year saw several economies begin to emerge from their COVID-19 lockdowns. The United States was among the leaders in this regard due to their rapid vaccination program and loosening restrictions, while a delayed vaccine rollout in Europe and the emerging markets continued to weigh on those economies. The relative speeds of economies re-opening was reflected in the performance of their respective currencies. This saw the US Dollar strengthen over the period, and it was further supported by the less dovish U.S. Federal Reserve ("Fed") comments towards the end of the period as inflation expectations rose.

The benchmark currency carry indices rose during the first half of the year with the Bloomberg Cumulative FX Carry Trade Index for Managed G10 Currencies (the "G10 Carry Index") up 4.57% and the Bloomberg Cumulative FX Carry Trade Index for 8 Emerging Market Currencies (the "EM Carry Index") up 0.54%. The rally in the carry indices despite the strengthening US Dollar highlights how the carry trade is not directly related to movements in the US currency. The weakness in low carry currencies like the Japanese Yen and Swiss Franc helped the G10 Carry Index, which is short low carry currencies, while the EM Carry Index was supported by several of the higher carry emerging market currencies like the Brazilian Real and South African Rand which performed relatively well over the period.

Across the G10 currency pairs only the Canadian Dollar and the British Pound gained ground versus the US Dollar, rising 2.64% and 1.18% respectively. The remaining G10 currencies fared less well, in particular the safe-haven currencies performed poorly with the Japanese Yen and the Swiss Franc weakening -7.07% and -4.30% respectively. The Euro also lost ground versus the US Dollar, falling 2.93%, likely a result of economies in Europe lagging the US reopening and the European Central Bank ("ECB") keeping policy rates negative with no indication of removing their monetary stimulus in the near future.

Several emerging market currency pairs traded weaker versus the US Dollar, in particular the Turkish Lira which sold off -14.55%, the Argentine Peso which sold off -12.09% and Colombian Peso which sold off -8.60%. Most of the central and eastern European currencies weakened as well, tracking the movements weaker in the Euro. Bucking this negative trend were the Brazilian Real, South African Rand and Russian Rouble, which all gained ground versus the US Dollar.

#### Fund Commentary

For the period 31 December 2020 to 30 June 2021, the First Trust FactorFX UCITS ETF (the "Fund") returned -0.43% on NAV basis compared to the 4.57% return of the G10 Carry Index and the 0.54% return of the EM Carry Index. A global proxy of an equal 50/50 weight of each of the above indices rose 2.61%.

The Fund positioning began the year relatively constructive on emerging market currencies given their strong rally into the year end. The net long exposure to emerging market currencies was 68.5% at the start of the year but this fell to 62.0% at the end of the first half as emerging market performance momentum struggled. The developed market positioning was net short the US Dollar at the start of the year as the US Dollar exhibited a combination of lower carry and weaker momentum in the final parts of 2020. This exposure shifted through the first quarter as the US Dollar halted its fall and momentum turned in its favour. The net developed market exposure turned negative to -3.0%, i.e. indicating that across developed market currencies the Fund was net long the US Dollar at the end of the period. The gross exposure (long positions plus short positions) was 125.8% at the end of the period which is higher than the 108.8% at the start of the year, primarily driven by the increase in short exposures to developed market currencies versus the US Dollar.

As at the 30 June 2021 the largest exposures across the developed market currencies were the short exposures to the Swiss Franc and Swedish Krone and the long exposures to the Singapore Dollar and the Canadian Dollar. In the emerging market currencies, the largest long exposures were to the Russian Rouble, Mexican Peso and South African Rand with the only short position being the Thai Baht.

Government bonds yields rose over the period but this was primarily in longer-term tenors as many developed market yield curves steepened, particularly in the United States. Despite the rebound in economic optimism, most shorter-term tenors of developed market yield curves continue to be anchored by low central bank policy rates. As a result, the implied yield on the Fund remained relatively low but increased slightly to 2.89% as of the 30 June 2021. The Funds implied yield is made up of the yield of the global treasury holdings and the implied yield across the forward foreign exchange contracts. The average weighted duration of the bond holdings was 0.59 and is invested in AAA and AA rated sovereign bonds.

Traditional fixed income asset classes continue to have compressed yields and spreads, and several remain in negative yielding territory. This environment helps to highlight how alternative assets like currencies are able to provide attractive investment opportunities. We continue to believe that exploiting the carry differentials across G10 currencies and the relative undervaluation of emerging market currencies has the potential to provide positive expected returns for the strategy with low correlations to broad equity, fixed income and other traditional asset classes.

### First Trust Global Portfolios Limited

26 July 2021

\* References to benchmarks are for illustrative purposes only. There is no guarantee that a Fund will outperform its benchmark.

## Investment Manager's Report for the financial period ended 30 June 2021 (continued)

### First Trust Low Duration Global Government Bond UCITS ETF\*

#### Market Commentary

The first half of 2021 brought with it a recovery of economies from their COVID-19 lockdowns but at varied paces. The United States, with their rapid vaccination programs and loosening restrictions, showed a rapid recovery which was followed by Europe and many of the emerging markets. The varying paces of reopening drove some of the sentiment changes across countries together with rising realised consumer price inflation rates. This was especially the case once the early months from 2020 fell out of the year-on-year inflation measurements. Rising commodity prices, reopening economies and supply issues in select industries seemed to drive inflation higher. The rising inflation rates shifted the focus to central bank policy action and whether this could lead to monetary tightening or if policy makers viewed inflation as transitory enough and likely not to be sustained. Short-term interest rates remained anchored in most developed market economies, but rising uncertainty surrounding policy action, economic growth and inflation led to higher longer-term interest rates and steeper yield curves.

The yield-to-worst of the Bloomberg Barclays Global Aggregate Treasuries Index rose 28 basis points ("bps") to 0.77%, seeing a steady rise through the period. US Treasury yields primarily drove the rising yield as the U.S. makes up a significant weight of the market capitalisation weighted index. The US 10-year Treasury yield rose 56bps to 1.47% as of 30 June 2021. Most other major markets saw their 10-year bond yields rise over the period with some of the most significant being Australia, also rising 56bps to 1.53%, the United Kingdom, as 10-year Gilt yields rose 52bps and a number of the European sovereigns saw their 10-year bond yields rising into positive territory again, aside from German 10-year Bund yields which ended the period with a yield of -0.21%. The only major market to see falling 10-year bond yields was China, where they fell 5bps to 3.09%.

These rises in long end maturity yields generally resulted in steeper sovereign bond yield curves as most shorter-term tenors of developed market yield curves continue to be anchored by low central bank policy rates. Yield curves usually are upward sloping, but the magnitude of the slope can vary, and it signifies the additional yield an investor can earn from taking higher duration risk. The spread between 2-year and 10-year maturity US Treasury bonds started the year at 79bps and increased to 122bps by 30 June 2021. A majority of this increase in the spread was due to the rising yield on the 10-year maturity bond. There was a similar pattern in several other developed markets, the 2-year versus 10-year spread in Australia increased by 57bps, and in Germany, it increased by 32bps. There were also steeper curves in many emerging market sovereign yield curves, although they are relatively underrepresented in the index as their market capitalisation weights are relatively small, with the exception of China. One example is Peru, where the 2-year versus 10-year spread was 448bps, a significant pick up in yield for buying longer-dated maturity bonds.

As the yield on the Bloomberg Barclays Global Aggregate Treasuries Index rose sharply over the period, the index duration decreased from 8.69 to 8.47. The relatively high duration of the Bloomberg index combined with the concentration of the index exposure to the United States, Japan and European yield curves remains a significant risk to the index as futures returns will be overly susceptible to yields movements in those markets.

#### Fund Commentary

The First Trust Low Duration Global Government Bond UCITS ETF (the "Low Duration ETF" or the "Fund") returned -3.64% on a NAV basis for the year-to-date period to 30 June 2021. The ICE BofA 3-5 Year Global Government Bond Index hedged to Euros fell -0.92%, and the Bloomberg Barclays Global Aggregate Treasury Index, Euro hedged, fell -2.42% over the same period.

The drawdown in the Fund and the index returns over the period was primarily due to the rising yields across most sovereign bond markets over the period. The rise in yields was broad-based across both developed and emerging market issuers. Despite the Fund not being as concentrated as the index in developed market issuers, the breadth of the rising yields across most markets resulted in the weaker performance.

In addition, the steepening of yield curves, particularly for those countries which already had steep yield curves to begin with, hampered the Low Duration ETF performance over the period. The factor-driven process of the Fund looks to maximise interest rate carry by concentrating exposure in sovereign yield curves which compensate investors for accepting duration risk. As the Fund was positioned in these steeper yield curves which continued to steepen through the period, performance suffered more than the index. The Fund exposures to some emerging market countries that saw significant weakness like Peru and Chile also hampered returns. Despite these challenges, the Fund is positioned to benefit from higher carry exposures which is evident in the Fund's higher yield to maturity. Our research shows that investing in relatively steeper yield curves leads to outperformance over time, even though this recent period has been difficult for the strategy.

At year-end, the Fund's weighted average yield to maturity was 1.92%, a significant increase from the 1.22% at the beginning of the year, reflecting the higher carry achievable from the now significantly steeper yield curves in the strategy's investment universe. The average Fund duration was 3.73 and has been maintained between 2 and 4. The Fund was invested in sovereign bonds across 21 different yield curves with all of the Fund's holdings being investment-grade rated. Bond positions not denominated in the Fund's base currency, Euro's, were hedged using currency forward contracts. At the end of the period, the Fund's top 3 country exposures were to sovereign bonds in Canada, Australia and Poland. The Fund also had short exposures via interest rate futures to UK 10-year Gilt futures, German 10-year Bund futures and Japan 10-year JGB futures to maintain the overall duration of the Fund to with the 2 to 4 target.

\* References to benchmarks are for illustrative purposes only. There is no guarantee that a Fund will outperform its benchmark.

## **First Trust Global Funds plc**

### **Investment Manager's Report for the financial period ended 30 June 2021 (continued)**

#### **First Trust Low Duration Global Government Bond UCITS ETF\***

##### **Fund Commentary (continued)**

The Fund's rules-based strategy focuses on selecting exposures with relatively attractive currency-hedged yields and relatively steeper yield curves. Currency-hedged yields are the yield earned on a security after taking into account the cost of hedging the currency risk of that security into the Fund's base currency. We believe that focusing on these two factors with a disciplined, rules-based process will provide positive excess returns over time, particularly versus the concentrated market capitalisation weighted indices.

#### **First Trust Global Portfolios Limited**

**26 July 2021**

\* References to benchmarks are for illustrative purposes only. There is no guarantee that a Fund will outperform its benchmark.

# First Trust Global Funds plc

## Investment Manager's Report for the financial period ended 30 June 2021 (continued)

### First Trust Strategic Metal and Energy Equity UCITS Fund\*

#### Market Commentary

Equity and commodity markets rallied while fixed income markets sold off in the fiscal period covering the 8 February 2021 (Fund launch date) to 30 June 2021. Economic growth in the U.S. was robust during the period with first quarter U.S. GDP growing at a 6.40% annualized (quarter over quarter) rate and second quarter ("Q2") U.S. GDP is expected to have grown at a 9.00% annualized rate (quarter over quarter), according to Bloomberg consensus estimates. Not surprisingly, strong economic growth is good for corporate earnings (S&P<sup>®</sup> 500 index) which are expected to be \$44.15 per share in Q2, \$2.96 (+7.20%) per share higher than the consensus estimate for Q2 as of 26 March 2021. First quarter operating earnings were \$47.41 per share, up 143% from the prior year's pandemic depressed quarterly earnings and up 24.80% versus the 2019 non-COVID-19 depressed earnings. Equities rallied during the period as the economic strength became more apparent, with the S&P<sup>®</sup> 500 rallying 10.41% during the fiscal period. Mid-cap (S&P<sup>®</sup> MidCap 400 Index) and small-cap (Russell 2000 Index) stocks were up 7.67% and 1.32%, respectively. The Bloomberg Commodity Total Return Index outperformed equities during the fiscal period, up 13.18%. Bond markets posted negative total return during the fiscal period with yields rising across the curve. Five and ten-year treasury yields rose 41 and 30 basis points, respectively. The Bloomberg Barclays 5-10 Credit Index total return for the period was -0.40%.

#### Fund Commentary

The First Trust Strategic Metal and Energy Equity UCITS Fund returned +11.92% since the Fund's inception on the 8 February to the fiscal period end of 30 June 2021. The Fund's unmanaged benchmark (50% NYSE Arca Gold Miners Index/50% S&P<sup>®</sup> Oil & Gas Exploration & Production Index which is rebalanced quarterly) returned 14.39% for the same period. Since inception, oil stocks within the portfolio have been the primary driver of returns with the S&P<sup>®</sup> Oil & Gas Exploration & Production Index up 31.08% while the NYSE Arca Gold Miners Index was down -3.41% for the same time period. Gold and oil stocks are following the path of their respective underlying raw materials as the Bloomberg Commodity total return sub-indexes for Brent Oil and Gold are up 29.57% and down -3.66%, respectively.

During this fiscal period, the Fund's initial target allocation was 50% oil stocks and 50% gold stocks. As of mid-March, the Fund's target allocation switched to 70% oil and 30% gold stocks, per the investment process. The overweight to oil stocks was in-place for two months before switching back to a 50/50 target in mid-May. As of the 30 June 2021, the Fund was targeting an equal weighting of oil and gold stocks.

The Fund's absolute returns have been positively affected by the fiscal policies around the world. These policies continue to emphasize outsized stimulus spending to boost economic growth as a cure for the pandemic induced recession. Additionally, both the ECB and the Fed have articulated a desire to support ultra-easy financial conditions well into the future. Both entities are aggressively courting higher inflation to "average" out the below 2% inflation that their respective economic regions have experienced over the prior several years.

We believe the prospects of higher inflation globally due to aggressive fiscal and monetary policies is a positive for the First Trust Strategic Metal and Energy UCITS Fund forward return potential. Gold and oil are both physical commodities, which should appreciate versus the U.S. Dollar in a high inflation environment. All else being equal that should be beneficial for the underlying companies that mine or extract physical gold and oil from the ground.

### First Trust Advisors L.P.

26 July 2021

\* References to benchmarks are for illustrative purposes only. There is no guarantee that a Fund will outperform its benchmark.

## Investment Manager's Report for the financial period ended 30 June 2021 (continued)

### First Trust Global Capital Strength ESG Leaders UCITS ETF\*

#### Market Commentary

Equity markets rallied during the fiscal period covering the inception of the Fund on 9 March 2021 to 30 June 2021 with the S&P<sup>®</sup> 500 returning 11.38% and the MSCI All Country World Index returning 9.22%. The MSCI All Country World ESG Leaders Index followed the broader index with a 9.41% return. Global growth picked up with the U.S. being amongst the most robust with first quarter U.S. GDP growing at a 6.4% annualized (quarter over quarter) rate and second quarter U.S. GDP is expected to have grown at a 9.00% annualized rate (quarter over quarter), according to Bloomberg consensus estimates. For the Eurozone, first quarter GDP shrunk slightly at a -1.30% annualized rate (quarter over quarter) but is expected to have grown in the second quarter at a 13.10% annualized rate (quarter over quarter), according to Bloomberg consensus estimates. Corporate earnings also grew 7.20% in the second quarter at a higher than expected pace. The first few months saw a continuation of the reflation trade with value leading in March, April and May, before there was a sharp reversal back to growth and momentum stocks in June due to the COVID-19 Delta variant. This caused the market to favor the high growth technology trade again. Quality was the top performing factor during this time.

#### Fund Commentary

The First Trust Global Capital Strength ESG Leaders UCITS ETF returned 12.63% outperforming both benchmarks, the MSCI All Country World Index (9.22% return) and the MSCI All Country World ESG Leaders Index (9.41% return), from 9 March 2021 to quarter end 30 June 2021. This outperformance comes despite U.S. stocks outperforming global stocks as a whole as the S&P<sup>®</sup> 500 Index returned 11.38%, and 56.00% of the portfolio being outside the U.S. The portfolio had positive exposure to quality, which was one of the top performing factors over time-period. The portfolio also had a positive exposure to low volatility and dividend yield, which performed well despite the drop in June. Even though momentum rebounded in June the factor performed poorly over the time-period and the portfolio had negative exposure to momentum.

The portfolio was significantly overweight in the Health Care sector, which was one of the best performing sectors over the time period. However, the portfolio was significantly underweight in the Real Estate and Information Technology sectors, which were the two best performing sectors.

The Health Care sector included the top performing stock Sonova Holding AG-Reg (SOON SW) with a 46.04% return. Security selection within the Industrials sector helped performance as 4 of the top 10 performing stocks were in this sector including Expeditors International of Washington Inc. (EXPD) with a 30.50% return, Kuehne + Nagel International AG-Reg (KNIN SW) with a 30.40% return and United Parcel Service (UPS) with a 29.09% return. Security selection was also strong within the Financials sector. However, security selection was poor within the Consumer Staples sector with 5 of the 10 poorest performing stocks coming from this sector including Kao Corp (4452 JP) with a -8.28% return.

The portfolio held Target (TGT) which was the second best performing stock with a 31.63% return. The poorest performing stock was Organon & Co. (OGN), with a -23.14% return, which was a spinoff from Merck that was only held by the portfolio for 4 days.

The portfolio was rebalanced on 2 June 2021 with 21 new securities. U.S. stocks increased from 42% to 56% while developed markets stocks increased from 80% to 86%. The Financials sector increased from 12% to 22% while Information Technology decreased from 14% to 8%. Environmental, social or governance ("ESG") ratings and controversy scores did not change materially.

### First Trust Advisors L.P.

26 July 2021

\* References to benchmarks are for illustrative purposes only. There is no guarantee that a Fund will outperform its benchmark.

# First Trust Global Funds plc

## STATEMENTS OF FINANCIAL POSITION

As at 30 June 2021 (Unaudited)

	First Trust US Large Cap Core AlphaDEX® UCITS ETF US\$	First Trust Emerging Markets AlphaDEX® UCITS ETF US\$	First Trust United Kingdom AlphaDEX® UCITS ETF £	First Trust Eurozone AlphaDEX® UCITS ETF €
<b>Assets</b>				
Cash (Note 2F)	–	133,867	41,628	185,433
Margin cash (Note 2F)	–	–	–	–
Financial assets at fair value through profit or loss (Note 4)	98,784,127	27,917,011	14,541,880	154,376,817
Variation margin receivable	–	–	–	–
Receivable on sale of securities	–	–	–	–
Receivable on capital shares	–	–	–	–
Accrued income and other receivables	89,915	285,509	54,123	219,009
Other assets	–	–	–	–
<b>Total assets</b>	<b>98,874,042</b>	<b>28,336,387</b>	<b>14,637,631</b>	<b>154,781,259</b>
<b>Liabilities</b>				
Financial liabilities at fair value through profit or loss (Note 4)	–	–	–	–
Bank overdraft (Note 2F)	(960)	(7,441)	–	(56)
Margin cash (Note 2F)	–	–	–	–
Variation margin payable	–	–	–	–
Payable on purchase of securities	–	–	–	–
Payable on capital shares	–	–	–	–
Capital gains tax payable (Note 3)	–	(76,120)	–	–
Accrued expenses (Note 5)	(52,415)	(18,613)	(7,899)	(84,115)
<b>Total liabilities (excluding net assets attributable to holders of redeemable participating shares)</b>	<b>(53,375)</b>	<b>(102,174)</b>	<b>(7,899)</b>	<b>(84,171)</b>
<b>Net assets attributable to holders of redeemable participating shares</b>	<b>98,820,667</b>	<b>28,234,213</b>	<b>14,629,732</b>	<b>154,697,088</b>
<b>Number of redeemable participating shares in issue</b>				
Class A	825,002	800,002	435,002	3,530,002
Class B	675,002	–	115,002	670,002
<b>Net asset value per share attributable to holders of redeemable participating shares</b>				
Class A	US\$67.96	US\$35.29	£27.68	€36.75
Class B	US\$63.34	–	£22.53	€37.29

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF FINANCIAL POSITION

As at 30 June 2021 (Unaudited) (continued)

	First Trust Indxx NextG UCITS ETF <sup>1</sup> US\$	First Trust US Equity Opportunities UCITS ETF US\$	First Trust Global Equity Income UCITS ETF US\$	First Trust Germany AlphaDEX® UCITS ETF €
<b>Assets</b>				
Cash (Note 2F)	100,754	9,870	74,698	–
Margin cash (Note 2F)	–	–	–	–
Financial assets at fair value through profit or loss (Note 4)	7,656,421	16,538,219	23,465,758	3,126,565
Variation margin receivable	–	–	–	–
Receivable on sale of securities	–	–	3,842	–
Receivable on capital shares	–	–	–	–
Accrued income and other receivables	7,406	2,501	161,105	–
Other assets	–	–	–	–
<b>Total assets</b>	<b>7,764,581</b>	<b>16,550,590</b>	<b>23,705,403</b>	<b>3,126,565</b>
<b>Liabilities</b>				
Financial liabilities at fair value through profit or loss (Note 4)	–	–	–	–
Bank overdraft (Note 2F)	(116)	–	(21,916)	(8,183)
Margin cash (Note 2F)	–	–	–	–
Variation margin payable	–	–	–	–
Payable on purchase of securities	(43,622)	–	(3,723)	–
Payable on capital shares	–	–	–	–
Capital gains tax payable (Note 3)	(1,861)	–	(9,745)	–
Accrued expenses (Note 5)	(3,160)	(8,580)	(11,989)	(1,693)
<b>Total liabilities (excluding net assets attributable to holders of redeemable participating shares)</b>	<b>(48,759)</b>	<b>(8,580)</b>	<b>(47,373)</b>	<b>(9,876)</b>
<b>Net assets attributable to holders of redeemable participating shares</b>	<b>7,715,822</b>	<b>16,542,010</b>	<b>23,658,030</b>	<b>3,116,689</b>
<b>Number of redeemable participating shares in issue</b>				
Class A	300,002	350,002	45,002	100,002
Class B	–	–	455,002	–
<b>Net asset value per share attributable to holders of redeemable participating shares</b>				
Class A	US\$25.72	US\$47.26	US\$55.84	€31.17
Class B	–	–	US\$46.47	–

<sup>1</sup> First Trust Japan AlphaDEX® UCITS ETF changed its name to First Trust Indxx NextG UCITS ETF and its functional currency changed from Japanese Yen to US Dollar on 1 February 2021. Please refer to Note 11 for details of the exchange rates for the conversion.

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF FINANCIAL POSITION

As at 30 June 2021 (Unaudited) (continued)

	First Trust US Equity Income UCITS ETF US\$	First Trust Dow Jones International Internet UCITS ETF <sup>2</sup> US\$	First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF <sup>3</sup> US\$	First Trust FactorFX UCITS ETF US\$
<b>Assets</b>				
Cash (Note 2F)	103,126	2,640	11,040	1,106,204
Margin cash (Note 2F)	–	–	–	–
Financial assets at fair value through profit or loss (Note 4)	147,705,036	6,053,916	18,689,662	8,221,054
Variation margin receivable	–	–	–	–
Receivable on sale of securities	–	–	–	–
Receivable on capital shares	–	–	–	–
Accrued income and other receivables	268,625	31	2,110	40,880
Other assets	–	–	–	–
<b>Total assets</b>	<b>148,076,787</b>	<b>6,056,587</b>	<b>18,702,812</b>	<b>9,368,138</b>
<b>Liabilities</b>				
Financial liabilities at fair value through profit or loss (Note 4)	–	–	–	(131,405)
Bank overdraft (Note 2F)	–	–	–	–
Margin cash (Note 2F)	–	–	–	–
Variation margin payable	–	–	–	–
Payable on purchase of securities	–	–	–	(581,337)
Payable on capital shares	–	–	–	–
Capital gains tax payable (Note 3)	–	–	–	–
Accrued expenses (Note 5)	(65,649)	(2,797)	(8,152)	(5,430)
<b>Total liabilities (excluding net assets attributable to holders of redeemable participating shares)</b>	<b>(65,649)</b>	<b>(2,797)</b>	<b>(8,152)</b>	<b>(718,172)</b>
<b>Net assets attributable to holders of redeemable participating shares</b>	<b>148,011,138</b>	<b>6,053,790</b>	<b>18,694,660</b>	<b>8,649,966</b>
<b>Number of redeemable participating shares in issue</b>				
Class A	4,000,002	200,002	700,002	115,002
Class B	950,002	–	–	–
Class B GBP (Hedged)	–	–	–	25,002
Class C EUR (Hedged)	–	–	–	260,002
<b>Net asset value per share attributable to holders of redeemable participating shares</b>				
Class A	US\$29.70	US\$30.27	US\$26.71	US\$20.78
Class B	US\$30.75	–	–	–
Class B GBP (Hedged)	–	–	–	£16.08
Class C EUR (Hedged)	–	–	–	€18.50

<sup>2</sup> First Trust Switzerland AlphaDEX® UCITS ETF changed its name to First Trust Dow Jones International Internet UCITS ETF and its functional currency changed from Swiss Franc to US Dollar on 1 February 2021. Please refer to Note 11 for details of the exchange rates for the conversion.

<sup>3</sup> First Trust US Small Cap Core AlphaDEX® UCITS ETF changed its name to First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF on 1 February 2021.

The accompanying notes form an integral part of these financial statements.



# First Trust Global Funds plc

## STATEMENTS OF FINANCIAL POSITION

As at 30 June 2021 (Unaudited) (continued)

	First Trust Indxx Innovative Transaction & Process UCITS ETF US\$	First Trust Dow Jones Internet UCITS ETF US\$	First Trust Cloud Computing UCITS ETF US\$	First Trust Low Duration Global Government Bond UCITS ETF €
<b>Assets</b>				
Cash (Note 2F)	503,105	21,612	1,013,633	608,621
Margin cash (Note 2F)	—	—	—	236,114
Financial assets at fair value through profit or loss (Note 4)	108,353,375	40,733,058	643,711,924	25,190,417
Variation margin receivable	—	—	—	4,068
Receivable on sale of securities	—	—	—	—
Receivable on capital shares	—	—	2,248,200	—
Accrued income and other receivables	143,870	—	78,365	247,746
Other assets	—	—	—	—
<b>Total assets</b>	<b>109,000,350</b>	<b>40,754,670</b>	<b>647,052,122</b>	<b>26,286,966</b>
<b>Liabilities</b>				
Financial liabilities at fair value through profit or loss (Note 4)	—	—	—	(302,992)
Bank overdraft (Note 2F)	—	—	—	(5,501)
Margin cash (Note 2F)	—	—	—	(120,696)
Variation margin payable	—	—	—	(10,714)
Payable on purchase of securities	—	—	(2,245,072)	—
Payable on capital shares	—	—	—	—
Capital gains tax payable (Note 3)	(62,579)	—	—	—
Accrued expenses (Note 5)	(52,757)	(17,537)	(309,427)	(9,600)
<b>Total liabilities (excluding net assets attributable to holders of redeemable participating shares)</b>	<b>(115,336)</b>	<b>(17,537)</b>	<b>(2,554,499)</b>	<b>(449,503)</b>
<b>Net assets attributable to holders of redeemable participating shares</b>	<b>108,885,014</b>	<b>40,737,133</b>	<b>644,497,623</b>	<b>25,837,463</b>
<b>Number of redeemable participating shares in issue</b>				
Class A	3,500,002	1,200,002	14,550,002	—
Class B GBP (Hedged)	—	—	—	100,002
Class C	—	—	—	1,250,002
<b>Net asset value per share attributable to holders of redeemable participating shares</b>				
Class A	US\$31.11	US\$33.95	US\$44.30	—
Class B GBP (Hedged)	—	—	—	£15.52
Class C	—	—	—	€19.22

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF FINANCIAL POSITION

As at 30 June 2021 (Unaudited) (continued)

	First Trust Capital Strength UCITS ETF US\$	First Trust Value Line® Dividend Index UCITS ETF US\$	First Trust NYSE Arca Biotechnology UCITS ETF US\$	First Trust Nasdaq Cybersecurity UCITS ETF US\$
<b>Assets</b>				
Cash (Note 2F)	14,428	19,419	1,014,819	–
Margin cash (Note 2F)	–	–	–	–
Financial assets at fair value through profit or loss (Note 4)	10,035,479	8,889,751	13,711,676	190,955,476
Variation margin receivable	–	–	–	–
Receivable on sale of securities	–	–	–	–
Receivable on capital shares	–	–	–	–
Accrued income and other receivables	8,666	12,111	–	–
Other assets	–	–	–	–
<b>Total assets</b>	<b>10,058,573</b>	<b>8,921,281</b>	<b>14,726,495</b>	<b>190,955,476</b>
<b>Liabilities</b>				
Financial liabilities at fair value through profit or loss (Note 4)	–	–	–	–
Bank overdraft (Note 2F)	–	–	–	(4,919)
Margin cash (Note 2F)	–	–	–	–
Variation margin payable	–	–	–	–
Payable on purchase of securities	–	–	–	–
Payable on capital shares	–	–	(1,000,638)	–
Capital gains tax payable (Note 3)	–	–	–	–
Accrued expenses (Note 5)	(4,559)	(5,168)	(7,171)	(90,969)
<b>Total liabilities (excluding net assets attributable to holders of redeemable participating shares)</b>	<b>(4,559)</b>	<b>(5,168)</b>	<b>(1,007,809)</b>	<b>(95,888)</b>
<b>Net assets attributable to holders of redeemable participating shares</b>	<b>10,054,014</b>	<b>8,916,113</b>	<b>13,718,686</b>	<b>190,859,588</b>
<b>Number of redeemable participating shares in issue</b>				
Class A	400,002	400,002	650,002	6,350,002
<b>Net asset value per share attributable to holders of redeemable participating shares</b>				
Class A	US\$25.13	US\$22.29	US\$21.11	US\$30.06

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF FINANCIAL POSITION

As at 30 June 2021 (Unaudited) (continued)

	First Trust Strategic Metal and Energy Equity UCITS Fund <sup>4</sup>	First Trust Global Capital Strength ESG Leaders UCITS ETF <sup>5</sup>	First Trust IPOX <sup>®</sup> Europe Equity Opportunities UCITS ETF <sup>6</sup>	Total
	US\$	US\$	€	€
<b>Assets</b>				
Cash (Note 2F)	10,360	10,300	–	4,341,905
Margin cash (Note 2F)	–	–	–	236,114
Financial assets at fair value through profit or loss (Note 4)	630,032	3,368,283	2,018,455	1,361,467,544
Variation margin receivable	–	–	–	4,068
Receivable on sale of securities	230	–	–	3,434
Receivable on capital shares	–	–	–	1,895,782
Accrued income and other receivables	1,030	2,512	518	1,461,799
Other assets	8,074	–	–	6,808
<b>Total assets</b>	<b>649,726</b>	<b>3,381,095</b>	<b>2,018,973</b>	<b>1,369,417,454</b>
<b>Liabilities</b>				
Financial liabilities at fair value through profit or loss (Note 4)	–	–	–	(413,799)
Bank overdraft (Note 2F)	–	–	(714)	(44,264)
Margin cash (Note 2F)	–	–	–	(120,696)
Variation margin payable	–	–	–	(10,714)
Payable on purchase of securities	(230)	–	–	(2,423,471)
Payable on capital shares	–	–	–	(843,782)
Capital gains tax payable (Note 3)	–	–	–	(126,744)
Accrued expenses (Note 5)	(8,152)	(2,074)	(287)	(673,748)
<b>Total liabilities (excluding net assets attributable to holders of redeemable participating shares)</b>	<b>(8,382)</b>	<b>(2,074)</b>	<b>(1,001)</b>	<b>(4,657,218)</b>
<b>Net assets attributable to holders of redeemable participating shares</b>	<b>641,344</b>	<b>3,379,021</b>	<b>2,017,972</b>	<b>1,364,760,236</b>
<b>Number of redeemable participating shares in issue</b>				
Class A	11,461	100,002	100,002	
<b>Net asset value per share attributable to holders of redeemable participating shares</b>				
Class A	US\$55.96	US\$33.79	€20.18	

<sup>4</sup> First Trust Strategic Metal and Energy Equity UCITS Fund was launched on 8 February 2021.

<sup>5</sup> First Trust Global Capital Strength ESG Leaders UCITS ETF was launched on 9 March 2021.

<sup>6</sup> First Trust IPOX<sup>®</sup> Europe Equity Opportunities UCITS ETF was launched on 21 June 2021.

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF FINANCIAL POSITION

As at 31 December 2020

	First Trust US Large Cap Core AlphaDEX® UCITS ETF US\$	First Trust Emerging Markets AlphaDEX® UCITS ETF US\$	First Trust United Kingdom AlphaDEX® UCITS ETF £	First Trust Eurozone AlphaDEX® UCITS ETF €
<b>Assets</b>				
Cash (Note 2F)	77,861	58,147	1,695,843	122,811
Margin cash (Note 2F)	—	—	—	—
Cash collateral (Note 2F)	—	—	—	—
Financial assets at fair value through profit or loss (Note 4)	90,053,897	39,444,828	13,885,800	130,236,588
Variation margin receivable	—	—	—	—
Receivable on sale of securities	—	—	1,190,903	—
Accrued income and other receivables	80,235	34,754	43,354	37,413
<b>Total assets</b>	<b>90,211,993</b>	<b>39,537,729</b>	<b>16,815,900</b>	<b>130,396,812</b>
<b>Liabilities</b>				
Financial liabilities at fair value through profit or loss (Note 4)	—	—	—	—
Bank overdraft (Note 2F)	—	—	—	(380)
Margin cash (Note 2F)	—	—	—	—
Variation margin payable	—	—	—	—
Payable on purchase of securities	—	—	—	—
Payable on capital shares	—	—	(2,853,798)	—
Capital gains tax payable (Note 3)	—	(65,741)	—	—
Accrued expenses (Note 5)	(50,242)	(25,471)	(9,640)	(72,950)
<b>Total liabilities (excluding net assets attributable to holders of redeemable participating shares)</b>	<b>(50,242)</b>	<b>(91,212)</b>	<b>(2,863,438)</b>	<b>(73,330)</b>
<b>Net assets attributable to holders of redeemable participating shares</b>	<b>90,161,751</b>	<b>39,446,517</b>	<b>13,952,462</b>	<b>130,323,482</b>
<b>Number of redeemable participating shares in issue</b>				
Class A	875,002	1,250,002	485,002	3,380,002
Class B	725,002	—	115,002	670,002
<b>Net asset value per share attributable to holders of redeemable participating shares</b>				
Class A	US\$58.06	US\$31.56	£24.06	€32.04
Class B	US\$54.29	—	£19.85	€32.88

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF FINANCIAL POSITION

As at 31 December 2020 (continued)

	First Trust Indxx NextG UCITS ETF <sup>1</sup> US\$	First Trust US Equity Opportunities UCITS ETF US\$	First Trust Global Equity Income UCITS ETF US\$	First Trust Germany AlphaDEX® UCITS ETF €
<b>Assets</b>				
Cash (Note 2F)	7,449	30,703	44,570	1,639
Margin cash (Note 2F)	–	–	–	–
Cash collateral (Note 2F)	–	–	–	–
Financial assets at fair value through profit or loss (Note 4)	1,206,296	13,150,445	21,515,629	2,735,097
Variation margin receivable	–	–	–	–
Receivable on sale of securities	–	–	388	–
Accrued income and other receivables	1,838	2,308	38,520	921
<b>Total assets</b>	<b>1,215,583</b>	<b>13,183,456</b>	<b>21,599,107</b>	<b>2,737,657</b>
<b>Liabilities</b>				
Financial liabilities at fair value through profit or loss (Note 4)	–	–	–	–
Bank overdraft (Note 2F)	–	–	–	–
Margin cash (Note 2F)	–	–	–	–
Variation margin payable	–	–	–	–
Payable on purchase of securities	–	–	–	–
Payable on capital shares	–	–	–	–
Capital gains tax payable (Note 3)	–	–	(5,816)	–
Accrued expenses (Note 5)	(717)	(7,334)	(11,612)	(1,477)
<b>Total liabilities (excluding net assets attributable to holders of redeemable participating shares)</b>	<b>(717)</b>	<b>(7,334)</b>	<b>(17,428)</b>	<b>(1,477)</b>
<b>Net assets attributable to holders of redeemable participating shares</b>	<b>1,214,866</b>	<b>13,176,122</b>	<b>21,581,679</b>	<b>2,736,180</b>
<b>Number of redeemable participating shares in issue</b>				
Class A	50,002	300,002	45,002	100,002
Class B	–	–	455,002	–
<b>Net asset value per share attributable to holders of redeemable participating shares</b>				
Class A	US\$24.30	US\$43.92	US\$50.31	€27.36
Class B	–	–	US\$42.46	–

<sup>1</sup> First Trust Japan AlphaDEX® UCITS ETF changed its name to First Trust Indxx NextG UCITS ETF and its functional currency changed from Japanese Yen to US Dollar on 1 February 2021. Please refer to Note 11 for details of the exchange rates for the conversion.

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF FINANCIAL POSITION

As at 31 December 2020 (continued)

	First Trust US Equity Income UCITS ETF US\$	First Trust Dow Jones International Internet UCITS ETF <sup>2</sup> US\$	First Trust North American Energy Infrastructure Income UCITS Fund <sup>3</sup> US\$	First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF <sup>4</sup> US\$
<b>Assets</b>				
Cash (Note 2F)	38,453	733	–	4,028
Margin cash (Note 2F)	–	–	–	–
Cash collateral (Note 2F)	–	–	–	–
Financial assets at fair value through profit or loss (Note 4)	65,373,181	1,551,310	–	1,462,938
Variation margin receivable	–	–	–	–
Receivable on sale of securities	–	–	–	–
Accrued income and other receivables	129,450	–	–	1,055
<b>Total assets</b>	<b>65,541,084</b>	<b>1,552,043</b>	<b>–</b>	<b>1,468,021</b>
<b>Liabilities</b>				
Financial liabilities at fair value through profit or loss (Note 4)	–	–	–	–
Bank overdraft (Note 2F)	–	–	–	–
Margin cash (Note 2F)	–	–	–	–
Variation margin payable	–	–	–	–
Payable on purchase of securities	–	–	–	–
Payable on capital shares	–	–	–	–
Capital gains tax payable (Note 3)	–	–	–	–
Accrued expenses (Note 5)	(30,965)	(829)	–	(912)
<b>Total liabilities (excluding net assets attributable to holders of redeemable participating shares)</b>	<b>(30,965)</b>	<b>(829)</b>	<b>–</b>	<b>(912)</b>
<b>Net assets attributable to holders of redeemable participating shares</b>	<b>65,510,119</b>	<b>1,551,214</b>	<b>–</b>	<b>1,467,109</b>
<b>Number of redeemable participating shares in issue</b>				
Class A	1,850,002	50,002	–	50,002
Class B	800,002	–	–	–
<b>Net asset value per share attributable to holders of redeemable participating shares</b>				
Class A	US\$24.54	US\$31.02	–	US\$29.34
Class B	US\$25.13	–	–	–

<sup>2</sup> First Trust Switzerland AlphaDEX® UCITS ETF changed its name to First Trust Dow Jones International Internet UCITS ETF and its functional currency changed from Swiss Franc to US Dollar on 1 February 2021. Please refer to Note 11 for details of the exchange rates for the conversion.

<sup>3</sup> First Trust North American Energy Infrastructure Income UCITS Fund was terminated on 22 July 2020.

<sup>4</sup> First Trust US Small Cap Core AlphaDEX® UCITS ETF changed its name to First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF on 1 February 2021.

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF FINANCIAL POSITION

As at 31 December 2020 (continued)

	First Trust FactorFX UCITS ETF US\$	First Trust Indxx Innovative Transaction & Process UCITS ETF US\$	First Trust Dow Jones Internet UCITS ETF US\$	First Trust Cloud Computing UCITS ETF US\$
<b>Assets</b>				
Cash (Note 2F)	656,977	191,024	99,415	2,206,965
Margin cash (Note 2F)	–	–	–	–
Cash collateral (Note 2F)	270,000	–	–	–
Financial assets at fair value through profit or loss (Note 4)	7,938,889	54,872,821	30,857,896	518,842,695
Variation margin receivable	–	–	–	–
Receivable on sale of securities	–	–	1,470,667	–
Accrued income and other receivables	50,073	53,915	–	92,363
<b>Total assets</b>	<b>8,915,939</b>	<b>55,117,760</b>	<b>32,427,978</b>	<b>521,142,023</b>
<b>Liabilities</b>				
Financial liabilities at fair value through profit or loss (Note 4)	(21,197)	–	–	–
Bank overdraft (Note 2F)	–	–	–	–
Margin cash (Note 2F)	–	–	–	–
Variation margin payable	–	–	–	–
Payable on purchase of securities	–	–	–	–
Payable on capital shares	–	–	(1,476,100)	–
Capital gains tax payable (Note 3)	–	–	–	–
Accrued expenses (Note 5)	(5,565)	(29,352)	(14,752)	(259,977)
<b>Total liabilities (excluding net assets attributable to holders of redeemable participating shares)</b>	<b>(26,762)</b>	<b>(29,352)</b>	<b>(1,490,852)</b>	<b>(259,977)</b>
<b>Net assets attributable to holders of redeemable participating shares</b>	<b>8,889,177</b>	<b>55,088,408</b>	<b>30,937,126</b>	<b>520,882,046</b>
<b>Number of redeemable participating shares in issue</b>				
Class A	115,002	2,000,002	1,050,002	13,100,002
Class B GBP (Hedged)	35,002	–	–	–
Class C EUR (Hedged)	250,002	–	–	–
<b>Net asset value per share attributable to holders of redeemable participating shares</b>				
Class A	US\$20.88	US\$27.54	US\$29.46	US\$39.76
Class B GBP (Hedged)	£16.18	–	–	–
Class C EUR (Hedged)	€18.68	–	–	–

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF FINANCIAL POSITION

As at 31 December 2020 (continued)

	First Trust Low Duration Global Government Bond UCITS ETF €	First Trust Capital Strength UCITS ETF <sup>5</sup> US\$	First Trust Value Line® Dividend Index UCITS ETF <sup>6</sup> US\$	First Trust NYSE Arca Biotechnology UCITS ETF <sup>7</sup> US\$
<b>Assets</b>				
Cash (Note 2F)	951,328	14,776	26,630	14,782
Margin cash (Note 2F)	242,291	–	–	–
Cash collateral (Note 2F)	–	–	–	–
Financial assets at fair value through profit or loss (Note 4)	27,103,279	6,695,166	7,779,616	11,293,832
Variation margin receivable	2,747	–	–	–
Receivable on sale of securities	–	–	–	–
Accrued income and other receivables	264,162	4,109	11,308	–
<b>Total assets</b>	<b>28,563,807</b>	<b>6,714,051</b>	<b>7,817,554</b>	<b>11,308,614</b>
<b>Liabilities</b>				
Financial liabilities at fair value through profit or loss (Note 4)	(223,717)	–	–	–
Bank overdraft (Note 2F)	(2,006)	–	–	–
Margin cash (Note 2F)	(79,355)	–	–	–
Variation margin payable	(3,615)	–	–	–
Payable on purchase of securities	(364,071)	–	–	–
Payable on capital shares	–	–	–	–
Capital gains tax payable (Note 3)	–	–	–	–
Accrued expenses (Note 5)	(9,644)	(3,363)	(4,586)	(4,431)
<b>Total liabilities (excluding net assets attributable to holders of redeemable participating shares)</b>	<b>(682,408)</b>	<b>(3,363)</b>	<b>(4,586)</b>	<b>(4,431)</b>
<b>Net assets attributable to holders of redeemable participating shares</b>	<b>27,881,399</b>	<b>6,710,688</b>	<b>7,812,968</b>	<b>11,304,183</b>
<b>Number of redeemable participating shares in issue</b>				
Class A	–	300,002	400,002	550,002
Class B GBP (Hedged)	100,002	–	–	–
Class C	1,300,002	–	–	–
<b>Net asset value per share attributable to holders of redeemable participating shares</b>				
Class A	–	US\$22.37	US\$19.53	US\$20.55
Class B GBP (Hedged)	£16.14	–	–	–
Class C	€20.06	–	–	–

<sup>5</sup> First Trust Capital Strength UCITS ETF was launched on 27 January 2020.

<sup>6</sup> First Trust Value Line® Dividend Index UCITS ETF was launched on 12 February 2020.

<sup>7</sup> First Trust NYSE Arca Biotechnology UCITS ETF was launched on 27 May 2020.

The accompanying notes form an integral part of these financial statements.



# First Trust Global Funds plc

## STATEMENTS OF FINANCIAL POSITION

As at 31 December 2020 (continued)

	First Trust Nasdaq Cybersecurity UCITS ETF <sup>8</sup>	Total
	US\$	€
<b>Assets</b>		
Cash (Note 2F)	1,489,312	7,025,645
Margin cash (Note 2F)	–	242,291
Cash collateral (Note 2F)	–	220,669
Financial assets at fair value through profit or loss (Note 4)	62,634,866	939,491,859
Variation margin receivable	–	2,747
Receivable on sale of securities	–	2,532,761
Accrued income and other receivables	31,418	785,197
<b>Total assets</b>	<b>64,155,596</b>	<b>950,301,169</b>
<b>Liabilities</b>		
Financial liabilities at fair value through profit or loss (Note 4)	–	(241,042)
Bank overdraft (Note 2F)	–	(2,386)
Margin cash (Note 2F)	–	(79,355)
Variation margin payable	–	(3,615)
Payable on purchase of securities	–	(364,071)
Payable on capital shares	(1,454,050)	(5,583,057)
Capital gains tax payable (Note 3)	–	(58,483)
Accrued expenses (Note 5)	(29,487)	(486,811)
<b>Total liabilities (excluding net assets attributable to holders of redeemable participating shares)</b>	<b>(1,483,537)</b>	<b>(6,818,820)</b>
<b>Net assets attributable to holders of redeemable participating shares</b>	<b>62,672,059</b>	<b>943,482,349</b>
<b>Number of redeemable participating shares in issue</b>		
Class A	2,200,002	
<b>Net asset value per share attributable to holders of redeemable participating shares</b>		
Class A	US\$28.49	

<sup>8</sup> First Trust Nasdaq Cybersecurity UCITS ETF was launched on 27 May 2020.

The accompanying notes form an integral part of these financial statements.

## STATEMENTS OF COMPREHENSIVE INCOME

For the financial period ended 30 June 2021 (Unaudited)

	First Trust US Large Cap Core AlphaDEX® UCITS ETF US\$	First Trust Emerging Markets AlphaDEX® UCITS ETF US\$	First Trust United Kingdom AlphaDEX® UCITS ETF £	First Trust Eurozone AlphaDEX® UCITS ETF €
<b>Income</b>				
Investment income (Note 2C & 2D)	751,082	672,548	274,145	3,394,458
Net gain/(loss) on financial assets and liabilities at fair value through profit or loss	14,471,385	3,707,842	1,808,320	17,414,586
Other income	—	—	—	—
<b>Total investment gain/(loss)</b>	<b>15,222,467</b>	<b>4,380,390</b>	<b>2,082,465</b>	<b>20,809,044</b>
<b>Expenses</b>				
Management fees (Note 7B, 7C & 7D)	(302,792)	(136,173)	(46,315)	(472,791)
Administration fees (Note 7A)	—	—	—	—
Depository fees (Note 7F)	—	—	—	—
Directors' fees (Note 8)	—	—	—	—
Audit fees	—	—	—	—
Professional fees	—	—	—	—
Other expenses	—	—	—	—
<b>Total expenses</b>	<b>(302,792)</b>	<b>(136,173)</b>	<b>(46,315)</b>	<b>(472,791)</b>
Less : Expenses above cap (Note 7G)	—	—	—	—
<b>Net expenses</b>	<b>(302,792)</b>	<b>(136,173)</b>	<b>(46,315)</b>	<b>(472,791)</b>
Capital gains tax (Note 3)	—	(10,379)	—	—
Withholding tax (Note 2C & 3)	(95,014)	(62,570)	(975)	(670,548)
<b>Finance Costs</b>				
Bank interest expense	—	(1,322)	(112)	(2,152)
Distributions (Note 2H)	(142,695)	—	(35,593)	(287,297)
<b>Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations</b>	<b>14,681,966</b>	<b>4,169,946</b>	<b>1,999,470</b>	<b>19,376,256</b>

There are no recognised gains or losses in the financial period other than those dealt with in the Statements of Comprehensive Income.

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF COMPREHENSIVE INCOME

For the financial period ended 30 June 2021 (Unaudited) (continued)

	First Trust Indxx NextG UCITS ETF <sup>1</sup> US\$	First Trust US Equity Opportunities UCITS ETF US\$	First Trust Global Equity Income UCITS ETF US\$	First Trust Germany AlphaDEX® UCITS ETF €
<b>Income</b>				
Investment income (Note 2C & 2D)	40,561	47,028	592,733	41,738
Net gain/(loss) on financial assets and liabilities at fair value through profit or loss	299,083	944,928	1,929,067	377,883
<b>Total investment gain/(loss)</b>	<b>339,644</b>	<b>991,956</b>	<b>2,521,800</b>	<b>419,621</b>
<b>Expenses</b>				
Management fees (Note 7B, 7C & 7D)	(11,186)	(52,673)	(69,470)	(9,496)
Administration fees (Note 7A)	—	—	—	—
Depository fees (Note 7F)	—	—	—	—
Directors' fees (Note 8)	—	—	—	—
Audit fees	—	—	—	—
Professional fees	—	—	—	—
Other expenses	—	—	—	—
<b>Total expenses</b>	<b>(11,186)</b>	<b>(52,673)</b>	<b>(69,470)</b>	<b>(9,496)</b>
Less : Expenses above cap (Note 7G)	—	—	—	—
<b>Net expenses</b>	<b>(11,186)</b>	<b>(52,673)</b>	<b>(69,470)</b>	<b>(9,496)</b>
Capital gains tax (Note 3)	(1,861)	—	(3,929)	—
Withholding tax (Note 2C & 3)	(5,719)	(6,795)	(66,691)	(5,224)
<b>Finance Costs</b>				
Bank interest expense	(372)	—	(53)	(1)
Distributions (Note 2H)	—	—	(305,306)	(24,391)
<b>Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations</b>	<b>320,506</b>	<b>932,488</b>	<b>2,076,351</b>	<b>380,509</b>

<sup>1</sup> First Trust Japan AlphaDEX® UCITS ETF changed its name to First Trust Indxx NextG UCITS ETF and its functional currency changed from Japanese Yen to US Dollar on 1 February 2021.

There are no recognised gains or losses in the financial period other than those dealt with in the Statements of Comprehensive Income.

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF COMPREHENSIVE INCOME

For the financial period ended 30 June 2021 (Unaudited) (continued)

	First Trust US Equity Income UCITS ETF US\$	First Trust Dow Jones International Internet UCITS ETF <sup>2</sup> US\$	First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF <sup>3</sup> US\$	First Trust FactorFX UCITS ETF US\$
<b>Income</b>				
Investment income (Note 2C & 2D)	1,739,485	1,841	24,506	6,814
Net gain/(loss) on financial assets and liabilities at fair value through profit or loss	15,036,151	261,759	187,059	(211,831)
<b>Total investment gain/(loss)</b>	<b>16,775,636</b>	<b>263,600</b>	<b>211,565</b>	<b>(205,017)</b>
<b>Expenses</b>				
Management fees (Note 7B, 7C & 7D)	(283,690)	(8,690)	(27,314)	(32,633)
Administration fees (Note 7A)	—	—	—	—
Depository fees (Note 7F)	—	—	—	—
Directors' fees (Note 8)	—	—	—	—
Audit fees	—	—	—	—
Professional fees	—	—	—	—
Other expenses	—	—	—	—
<b>Total expenses</b>	<b>(283,690)</b>	<b>(8,690)</b>	<b>(27,314)</b>	<b>(32,633)</b>
Less : Expenses above cap (Note 7G)	—	—	—	—
<b>Net expenses</b>	<b>(283,690)</b>	<b>(8,690)</b>	<b>(27,314)</b>	<b>(32,633)</b>
Capital gains tax (Note 3)	—	—	—	—
Withholding tax (Note 2C & 3)	(249,277)	(31)	(2,300)	1,822
<b>Finance Costs</b>				
Bank interest expense	(14)	(3)	—	(358)
Distributions (Note 2H)	(1,049,136)	—	—	—
<b>Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations</b>	<b>15,193,519</b>	<b>254,876</b>	<b>181,951</b>	<b>(236,186)</b>

<sup>2</sup> First Trust Switzerland AlphaDEX® UCITS ETF changed its name to First Trust Dow Jones International Internet UCITS ETF and its functional currency changed from Swiss Franc to US Dollar as on 1 February 2021.

<sup>3</sup> First Trust US Small Cap Core AlphaDEX® UCITS ETF changed its name to First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF on 1 February 2021.

There are no recognised gains or losses in the financial period other than those dealt with in the Statements of Comprehensive Income.

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF COMPREHENSIVE INCOME

For the financial period ended 30 June 2021 (Unaudited) (continued)

	First Trust Indxx Innovative Transaction & Process UCITS ETF US\$	First Trust Dow Jones Internet UCITS ETF US\$	First Trust Cloud Computing UCITS ETF US\$	First Trust Low Duration Global Government Bond UCITS ETF €
<b>Income</b>				
Investment income (Note 2C & 2D)	1,152,103	39,185	2,279,387	225,352
Net gain/(loss) on financial assets and liabilities at fair value through profit or loss	7,843,156	4,920,344	61,735,930	(1,066,581)
<b>Total investment gain/(loss)</b>	<b>8,995,259</b>	<b>4,959,529</b>	<b>64,015,317</b>	<b>(841,229)</b>
<b>Expenses</b>				
Management fees (Note 7B, 7C & 7D)	(246,854)	(101,534)	(1,807,245)	(60,218)
Administration fees (Note 7A)	—	—	—	—
Depository fees (Note 7F)	—	—	—	—
Directors' fees (Note 8)	—	—	—	—
Audit fees	—	—	—	—
Professional fees	—	—	—	—
Other expenses	—	—	—	—
<b>Total expenses</b>	<b>(246,854)</b>	<b>(101,534)</b>	<b>(1,807,245)</b>	<b>(60,218)</b>
Less : Expenses above cap (Note 7G)	—	—	—	—
<b>Net expenses</b>	<b>(246,854)</b>	<b>(101,534)</b>	<b>(1,807,245)</b>	<b>(60,218)</b>
Capital gains tax (Note 3)	(62,579)	—	—	—
Withholding tax (Note 2C & 3)	(195,265)	(5,878)	(345,195)	(17,154)
<b>Finance Costs</b>				
Bank interest expense	(155)	(10)	—	(3,167)
Distributions (Note 2H)	—	—	—	(151,418)
<b>Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations</b>	<b>8,490,406</b>	<b>4,852,107</b>	<b>61,862,877</b>	<b>(1,073,186)</b>

There are no recognised gains or losses in the financial period other than those dealt with in the Statements of Comprehensive Income.

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF COMPREHENSIVE INCOME

For the financial period ended 30 June 2021 (Unaudited) (continued)

	First Trust Capital Strength UCITS ETF US\$	First Trust Value Line® Dividend Index UCITS ETF US\$	First Trust NYSE Arca Biotechnology UCITS ETF US\$	First Trust Nasdaq Cybersecurity UCITS ETF US\$
<b>Income</b>				
Investment income (Note 2C & 2D)	70,044	118,285	29,231	465,408
Net gain/(loss) on financial assets and liabilities at fair value through profit or loss	869,579	1,032,540	251,821	11,411,999
<b>Total investment gain/(loss)</b>	<b>939,623</b>	<b>1,150,825</b>	<b>281,052</b>	<b>11,877,407</b>
<b>Expenses</b>				
Management fees (Note 7B, 7C & 7D)	(22,766)	(29,337)	(39,294)	(405,163)
Administration fees (Note 7A)	—	—	—	—
Depository fees (Note 7F)	—	—	—	—
Directors' fees (Note 8)	—	—	—	—
Audit fees	—	—	—	—
Professional fees	—	—	—	—
Other expenses	—	—	—	—
<b>Total expenses</b>	<b>(22,766)</b>	<b>(29,337)</b>	<b>(39,294)</b>	<b>(405,163)</b>
Less : Expenses above cap (Note 7G)	—	—	—	—
<b>Net expenses</b>	<b>(22,766)</b>	<b>(29,337)</b>	<b>(39,294)</b>	<b>(405,163)</b>
Capital gains tax (Note 3)	—	—	—	—
Withholding tax (Note 2C & 3)	(9,780)	(18,343)	(5,112)	(48,554)
<b>Finance Costs</b>				
Bank interest expense	(1)	—	(93)	(11)
Distributions (Note 2H)	—	—	—	—
<b>Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations</b>	<b>907,076</b>	<b>1,103,145</b>	<b>236,553</b>	<b>11,423,679</b>

There are no recognised gains or losses in the financial period other than those dealt with in the Statements of Comprehensive Income.

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF COMPREHENSIVE INCOME

For the financial period ended 30 June 2021 (Unaudited) (continued)

	First Trust Strategic Metal and Energy Equity UCITS Fund <sup>4</sup> US\$	First Trust Global Capital Strength ESG Leaders UCITS ETF <sup>5</sup> US\$	First Trust IPOX <sup>®</sup> Europe Equity Opportunities UCITS ETF <sup>6</sup> €	Total €
<b>Income</b>				
Investment income (Note 2C & 2D)	12,769	34,600	788	10,683,051
Net gain/(loss) on financial assets and liabilities at fair value through profit or loss	139,198	358,549	17,520	122,738,706
<b>Total investment gain/(loss)</b>	<b>151,967</b>	<b>393,149</b>	<b>18,308</b>	<b>133,421,757</b>
<b>Expenses</b>				
Management fees (Note 7B, 7C & 7D)	(2,383)	(7,543)	(287)	(3,573,295)
Administration fees (Note 7A)	(2,112)	–	–	(1,756)
Depository fees (Note 7F)	(7,011)	–	–	(5,830)
Directors' fees (Note 8)	(590)	–	–	(491)
Audit fees	(2,748)	–	–	(2,285)
Professional fees	(2,719)	–	–	(2,261)
Other expenses	(2,531)	–	–	(2,105)
<b>Total expenses</b>	<b>(20,094)</b>	<b>(7,543)</b>	<b>(287)</b>	<b>(3,588,023)</b>
Less : Expenses above cap (Note 7G)	17,116	–	–	14,233
<b>Net expenses</b>	<b>(2,978)</b>	<b>(7,543)</b>	<b>(287)</b>	<b>(3,573,790)</b>
Capital gains tax (Note 3)	–	–	–	(65,365)
Withholding tax (Note 2C & 3)	(3,162)	(6,613)	(89)	(1,627,510)
<b>Finance Costs</b>				
Bank interest expense	(1)	(32)	–	(7,463)
Distributions (Note 2H)	–	–	–	(1,746,782)
<b>Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations</b>	<b>145,826</b>	<b>378,961</b>	<b>17,932</b>	<b>126,400,847</b>

<sup>4</sup> First Trust Strategic Metal and Energy Equity UCITS Fund was launched on 8 February 2021.

<sup>5</sup> First Trust Global Capital Strength ESG Leaders UCITS ETF was launched on 9 March 2021.

<sup>6</sup> First Trust IPOX<sup>®</sup> Europe Equity Opportunities UCITS ETF was launched on 21 June 2021.

There are no recognised gains or losses in the financial period other than those dealt with in the Statements of Comprehensive Income.

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF COMPREHENSIVE INCOME

For the financial period ended 30 June 2020 (Unaudited)

	First Trust US Large Cap Core AlphaDEX® UCITS ETF US\$	First Trust Emerging Markets AlphaDEX® UCITS ETF US\$	First Trust United Kingdom AlphaDEX® UCITS ETF £	First Trust Eurozone AlphaDEX® UCITS ETF €
<b>Income</b>				
Investment income (Note 2C & 2D)	1,348,944	935,354	264,931	2,052,294
Net gain/(loss) on financial assets and liabilities at fair value through profit or loss	(14,198,838)	(12,812,055)	(6,084,090)	(36,061,805)
<b>Total investment gain/(loss)</b>	<b>(12,849,894)</b>	<b>(11,876,701)</b>	<b>(5,819,159)</b>	<b>(34,009,511)</b>
<b>Expenses</b>				
Management fees (Note 7B, 7C & 7D)	(410,831)	(207,242)	(64,517)	(547,498)
Administration fees (Note 7A)	—	—	—	—
Depository fees (Note 7F)	—	—	—	—
Directors' fees (Note 8)	—	—	—	—
Audit fees	—	—	—	—
Professional fees	—	—	—	—
Other expenses	—	—	—	—
<b>Total expenses</b>	<b>(410,831)</b>	<b>(207,242)</b>	<b>(64,517)</b>	<b>(547,498)</b>
Less : Expenses above cap (Note 7G)	—	—	—	—
<b>Net expenses</b>	<b>(410,831)</b>	<b>(207,242)</b>	<b>(64,517)</b>	<b>(547,498)</b>
Capital gains tax (Note 3)	—	189,417	—	—
Withholding tax (Note 2C & 3)	(186,544)	(79,318)	(4,130)	(361,494)
<b>Finance Costs</b>				
Bank interest expense	—	(799)	(110)	(2,937)
Distributions (Note 2H)	(233,035)	—	(28,764)	(76,942)
<b>Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations</b>	<b>(13,680,304)</b>	<b>(11,974,643)</b>	<b>(5,916,680)</b>	<b>(34,998,382)</b>

There are no recognised gains or losses in the financial period other than those dealt with in the Statements of Comprehensive Income.

The accompanying notes form an integral part of these financial statements.



## STATEMENTS OF COMPREHENSIVE INCOME

For the financial period ended 30 June 2020 (Unaudited) (continued)

	First Trust Indxx NextG UCITS ETF <sup>1</sup> US\$	First Trust US Equity Opportunities UCITS ETF US\$	First Trust Global Equity Income UCITS ETF US\$	First Trust Germany AlphaDEX® UCITS ETF €
<b>Income</b>				
Investment income (Note 2C & 2D)	12,434	46,712	444,177	20,466
Net gain/(loss) on financial assets and liabilities at fair value through profit or loss	(120,128)	516,803	(4,165,186)	(119,926)
<b>Total investment gain/(loss)</b>	<b>(107,694)</b>	<b>563,515</b>	<b>(3,721,009)</b>	<b>(99,460)</b>
<b>Expenses</b>				
Management fees (Note 7B, 7C & 7D)	(3,672)	(28,650)	(50,700)	(3,663)
Administration fees (Note 7A)	—	—	—	—
Depositary fees (Note 7F)	—	—	—	—
Directors' fees (Note 8)	—	—	—	—
Audit fees	—	—	—	—
Professional fees	—	—	—	—
Other expenses	—	—	—	—
<b>Total expenses</b>	<b>(3,672)</b>	<b>(28,650)</b>	<b>(50,700)</b>	<b>(3,663)</b>
Less : Expenses above cap (Note 7G)	—	—	—	—
<b>Net expenses</b>	<b>(3,672)</b>	<b>(28,650)</b>	<b>(50,700)</b>	<b>(3,663)</b>
Capital gains tax (Note 3)	—	—	25	—
Withholding tax (Note 2C & 3)	(1,864)	(7,577)	(50,445)	(2,631)
<b>Finance Costs</b>				
Bank interest expense	(12)	(43)	(126)	(4)
Distributions (Note 2H)	—	—	(258,432)	(8,505)
<b>Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations</b>	<b>(113,242)</b>	<b>527,245</b>	<b>(4,080,687)</b>	<b>(114,263)</b>

<sup>1</sup> First Trust Japan AlphaDEX® UCITS ETF changed its name to First Trust Indxx NextG UCITS ETF and its functional currency changed from Japanese Yen to US Dollar on 1 February 2021. Please refer to Note 11 for details of the exchange rates for the conversion.

There are no recognised gains or losses in the financial period other than those dealt with in the Statements of Comprehensive Income.

The accompanying notes form an integral part of these financial statements.

## STATEMENTS OF COMPREHENSIVE INCOME

For the financial period ended 30 June 2020 (Unaudited) (continued)

	First Trust US Equity Income UCITS ETF US\$	First Trust Dow Jones International Internet UCITS ETF <sup>2</sup> US\$	First Trust North American Energy Infrastructure Income UCITS Fund US\$	First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF <sup>3</sup> US\$
<b>Income</b>				
Investment income (Note 2C & 2D)	1,907,771	24,415	133,032	8,376
Net gain/(loss) on financial assets and liabilities at fair value through profit or loss	(22,539,947)	(119,795)	(1,356,484)	(205,881)
<b>Total investment gain/(loss)</b>	<b>(20,632,176)</b>	<b>(95,380)</b>	<b>(1,223,452)</b>	<b>(197,505)</b>
<b>Expenses</b>				
Management fees (Note 7B, 7C & 7D)	(202,319)	(5,072)	(16,035)	(3,762)
Administration fees (Note 7A)	–	–	(25,842)	–
Depositary fees (Note 7F)	–	–	(4,996)	–
Directors' fees (Note 8)	–	–	(951)	–
Audit fees	–	–	(3,825)	–
Professional fees	–	–	(16,620)	–
Other expenses	–	–	(11,726)	–
<b>Total expenses</b>	<b>(202,319)</b>	<b>(5,072)</b>	<b>(79,995)</b>	<b>(3,762)</b>
Less : Expenses above cap (Note 7G)	–	–	59,933	–
<b>Net expenses</b>	<b>(202,319)</b>	<b>(5,072)</b>	<b>(20,062)</b>	<b>(3,762)</b>
Capital gains tax (Note 3)	–	–	–	–
Withholding tax (Note 2C & 3)	(260,052)	(8,544)	(4,352)	(914)
<b>Finance Costs</b>				
Bank interest expense	(147)	(6)	(52)	–
Distributions (Note 2H)	(1,082,386)	–	(17,865)	–
<b>Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations</b>	<b>(22,177,080)</b>	<b>(109,002)</b>	<b>(1,265,783)</b>	<b>(202,181)</b>

<sup>2</sup> First Trust Switzerland AlphaDEX® UCITS ETF changed its name to First Trust Dow Jones International Internet UCITS ETF and its functional currency changed from Swiss Franc to US Dollar on 1 February 2021. Please refer to Note 11 for details of the exchange rates for the conversion.

<sup>3</sup> First Trust US Small Cap Core AlphaDEX® UCITS ETF changed its name to First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF on 1 February 2021.

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The accompanying notes form an integral part of these financial statements.

## STATEMENTS OF COMPREHENSIVE INCOME

For the financial period ended 30 June 2020 (Unaudited) (continued)

	First Trust FactorFX UCITS ETF US\$	First Trust Indxx Innovative Transaction & Process UCITS ETF US\$	First Trust Dow Jones Internet UCITS ETF US\$	First Trust Cloud Computing UCITS ETF US\$
<b>Income</b>				
Investment income (Note 2C & 2D)	63,388	346,009	22,795	415,047
Net gain/(loss) on financial assets and liabilities at fair value through profit or loss	(760,082)	(2,734,065)	3,504,731	44,107,757
<b>Total investment gain/(loss)</b>	<b>(696,694)</b>	<b>(2,388,056)</b>	<b>3,527,526</b>	<b>44,522,804</b>
<b>Expenses</b>				
Management fees (Note 7B, 7C & 7D)	(33,807)	(107,688)	(34,695)	(334,932)
Administration fees (Note 7A)	—	—	—	—
Depositary fees (Note 7F)	—	—	—	—
Directors' fees (Note 8)	—	—	—	—
Audit fees	—	—	—	—
Professional fees	—	—	—	—
Other expenses	—	—	—	—
<b>Total expenses</b>	<b>(33,807)</b>	<b>(107,688)</b>	<b>(34,695)</b>	<b>(334,932)</b>
Less : Expenses above cap (Note 7G)	—	—	—	—
<b>Net expenses</b>	<b>(33,807)</b>	<b>(107,688)</b>	<b>(34,695)</b>	<b>(334,932)</b>
Capital gains tax (Note 3)	—	—	—	—
Withholding tax (Note 2C & 3)	(982)	(62,278)	(3,200)	(66,816)
<b>Finance Costs</b>				
Bank interest expense	(36)	(47)	(16)	(737)
Distributions (Note 2H)	—	—	—	—
<b>Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations</b>	<b>(731,519)</b>	<b>(2,558,069)</b>	<b>3,489,615</b>	<b>44,120,319</b>

There are no recognised gains or losses in the financial period other than those dealt with in the Statements of Comprehensive Income.

The accompanying notes form an integral part of these financial statements.

## STATEMENTS OF COMPREHENSIVE INCOME

For the financial period ended 30 June 2020 (Unaudited) (continued)

	First Trust Low Duration Global Government Bond UCITS ETF €	First Trust Capital Strength UCITS ETF <sup>4</sup> US\$	First Trust Value Line® Dividend Index UCITS ETF <sup>5</sup> US\$	First Trust NYSE Arca Biotechnology UCITS ETF <sup>6</sup> US\$
<b>Income</b>				
Investment income (Note 2C & 2D)	91,867	39,686	59,799	502
Net gain/(loss) on financial assets and liabilities at fair value through profit or loss	(78,019)	(313,747)	(614,163)	52,347
<b>Total investment gain/(loss)</b>	<b>13,848</b>	<b>(274,061)</b>	<b>(554,364)</b>	<b>52,849</b>
<b>Expenses</b>				
Management fees (Note 7B, 7C & 7D)	(25,166)	(13,261)	(10,697)	(1,128)
Administration fees (Note 7A)	—	—	—	—
Depositary fees (Note 7F)	—	—	—	—
Directors' fees (Note 8)	—	—	—	—
Audit fees	—	—	—	—
Professional fees	—	—	—	—
Other expenses	—	—	—	—
<b>Total expenses</b>	<b>(25,166)</b>	<b>(13,261)</b>	<b>(10,697)</b>	<b>(1,128)</b>
Less : Expenses above cap (Note 7G)	—	—	—	—
<b>Net expenses</b>	<b>(25,166)</b>	<b>(13,261)</b>	<b>(10,697)</b>	<b>(1,128)</b>
Capital gains tax (Note 3)	—	—	—	—
Withholding tax (Note 2C & 3)	(7,061)	(6,065)	(9,339)	(75)
<b>Finance Costs</b>				
Bank interest expense	(1,183)	—	(17)	—
Distributions (Note 2H)	(71,415)	—	—	—
<b>Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations</b>	<b>(90,977)</b>	<b>(293,387)</b>	<b>(574,417)</b>	<b>51,646</b>

<sup>4</sup> First Trust Capital Strength UCITS ETF was launched on 27 January 2020.<sup>5</sup> First Trust Value Line® Dividend Index UCITS ETF was launched on 12 February 2020.<sup>6</sup> First Trust NYSE Arca Biotechnology UCITS ETF was launched on 27 May 2020.

There are no recognised gains or losses in the financial period other than those dealt with in the Statements of Comprehensive Income.

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## STATEMENTS OF COMPREHENSIVE INCOME

For the financial period ended 30 June 2020 (Unaudited) (continued)

	First Trust Nasdaq Cybersecurity UCITS ETF <sup>7</sup> US\$	Total €
<b>Income</b>		
Investment income (Note 2C & 2D)	6,060	7,745,902
Net gain/(loss) on financial assets and liabilities at fair value through profit or loss	255,120	(53,664,281)
<b>Total investment gain/(loss)</b>	<b>261,180</b>	<b>(45,918,379)</b>
<b>Expenses</b>		
Management fees (Note 7B, 7C & 7D)	(3,781)	(1,982,938)
Administration fees (Note 7A)	–	(23,453)
Depositary fees (Note 7F)	–	(4,534)
Directors' fees (Note 8)	–	(863)
Audit fees	–	(3,472)
Professional fees	–	(15,084)
Other expenses	–	(10,642)
<b>Total expenses</b>	<b>(3,781)</b>	<b>(2,040,986)</b>
Less : Expenses above cap (Note 7G)	–	54,393
<b>Net expenses</b>	<b>(3,781)</b>	<b>(1,986,593)</b>
Capital gains tax (Note 3)	–	171,973
Withholding tax (Note 2C & 3)	(909)	(1,056,099)
<b>Finance Costs</b>		
Bank interest expense	–	(6,100)
Distributions (Note 2H)	–	(1,634,688)
<b>Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations</b>	<b>256,490</b>	<b>(50,429,886)</b>

<sup>7</sup> First Trust Nasdaq Cybersecurity UCITS ETF was launched on 27 May 2020.

There are no recognised gains or losses in the financial period other than those dealt with in the Statements of Comprehensive Income.

The accompanying notes form an integral part of these financial statements.

**STATEMENTS OF CHANGES IN NET ASSETS ATTRIBUTABLE TO HOLDERS OF REDEEMABLE PARTICIPATING SHARES**

**For the financial period ended 30 June 2021 (Unaudited)**

	First Trust US Large Cap Core AlphaDEX® UCITS ETF US\$	First Trust Emerging Markets AlphaDEX® UCITS ETF US\$	First Trust United Kingdom AlphaDEX® UCITS ETF £	First Trust Eurozone AlphaDEX® UCITS ETF €
<b>Net assets attributable to holders of redeemable participating shares at beginning of financial period</b>	90,161,751	39,446,517	13,952,462	130,323,482
Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations	14,681,966	4,169,946	1,999,470	19,376,256
<b>Capital Transactions:</b>				
Issuance of redeemable participating shares	3,341,300	–	1,337,550	8,654,350
Redemption of redeemable participating shares	(9,364,350)	(15,382,250)	(2,659,750)	(3,657,000)
Net increase/(decrease) in net assets from capital share transactions	(6,023,050)	(15,382,250)	(1,322,200)	4,997,350
Currency Adjustment (Note 2I)	–	–	–	–
<b>Net assets attributable to holders of redeemable participating shares at end of financial period</b>	<b>98,820,667</b>	<b>28,234,213</b>	<b>14,629,732</b>	<b>154,697,088</b>

The accompanying notes form an integral part of these financial statements.

# STATEMENTS OF CHANGES IN NET ASSETS ATTRIBUTABLE TO HOLDERS OF REDEEMABLE PARTICIPATING SHARES

For the financial period ended 30 June 2021 (Unaudited) (continued)

	First Trust Indxx NextG UCITS ETF <sup>1</sup> US\$	First Trust US Equity Opportunities UCITS ETF US\$	First Trust Global Equity Income UCITS ETF US\$	First Trust Germany AlphaDEX® UCITS ETF €
<b>Net assets attributable to holders of redeemable participating shares at beginning of financial period</b>	1,214,866	13,176,122	21,581,679	2,736,180
Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations	320,506	932,488	2,076,351	380,509
<b>Capital Transactions:</b>				
Issuance of redeemable participating shares	6,180,450	7,042,550	—	—
Redemption of redeemable participating shares	—	(4,609,150)	—	—
Net increase/(decrease) in net assets from capital share transactions	6,180,450	2,433,400	—	—
Currency Adjustment (Note 2I)	—	—	—	—
<b>Net assets attributable to holders of redeemable participating shares at end of financial period</b>	<b>7,715,822</b>	<b>16,542,010</b>	<b>23,658,030</b>	<b>3,116,689</b>

<sup>1</sup> First Trust Japan AlphaDEX® UCITS ETF changed its name to First Trust Indxx NextG UCITS ETF and its functional currency changed from Japanese Yen to US Dollar as on 1 February 2021. Please refer to Note 11 for details of the exchange rates for the conversion.

The accompanying notes form an integral part of these financial statements.

**STATEMENTS OF CHANGES IN NET ASSETS ATTRIBUTABLE TO HOLDERS OF REDEEMABLE PARTICIPATING SHARES**

**For the financial period ended 30 June 2021 (Unaudited) (continued)**

	First Trust US Equity Income UCITS ETF US\$	First Trust Dow Jones International Internet UCITS ETF <sup>2</sup> US\$	First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF <sup>3</sup> US\$	First Trust FactorFX UCITS ETF US\$
<b>Net assets attributable to holders of redeemable participating shares at beginning of financial period</b>	65,510,119	1,551,214	1,467,109	8,889,177
Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations	15,193,519	254,876	181,951	(236,186)
<b>Capital Transactions:</b>				
Issuance of redeemable participating shares	82,555,550	4,247,700	33,383,950	219,727
Redemption of redeemable participating shares	(15,248,050)	–	(16,338,350)	(222,752)
Net increase/(decrease) in net assets from capital share transactions	67,307,500	4,247,700	17,045,600	(3,025)
Currency Adjustment (Note 2I)	–	–	–	–
<b>Net assets attributable to holders of redeemable participating shares at end of financial period</b>	<b>148,011,138</b>	<b>6,053,790</b>	<b>18,694,660</b>	<b>8,649,966</b>

<sup>2</sup> First Trust Switzerland AlphaDEX® UCITS ETF changed its name to First Trust Dow Jones International Internet UCITS ETF and its functional currency changed from Swiss Franc to US Dollar on 1 February 2021. Please refer to Note 11 for details of the exchange rates for the conversion.

<sup>3</sup> First Trust US Small Cap Core AlphaDEX® UCITS ETF changed its name to First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF on 1 February 2021.

The accompanying notes form an integral part of these financial statements.



**STATEMENTS OF CHANGES IN NET ASSETS ATTRIBUTABLE TO HOLDERS OF REDEEMABLE PARTICIPATING SHARES**

**For the financial period ended 30 June 2021 (Unaudited) (continued)**

	First Trust Indxx Innovative Transaction & Process UCITS ETF US\$	First Trust Dow Jones Internet UCITS ETF US\$	First Trust Cloud Computing UCITS ETF US\$	First Trust Low Duration Global Government Bond UCITS ETF €
<b>Net assets attributable to holders of redeemable participating shares at beginning of financial period</b>	55,088,408	30,937,126	520,882,046	27,881,399
Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations	8,490,406	4,852,107	61,862,877	(1,073,186)
<b>Capital Transactions:</b>				
Issuance of redeemable participating shares	48,242,050	11,241,850	152,743,250	–
Redemption of redeemable participating shares	(2,935,850)	(6,293,950)	(90,990,550)	(970,750)
Net increase/(decrease) in net assets from capital share transactions	45,306,200	4,947,900	61,752,700	(970,750)
Currency Adjustment (Note 2I)	–	–	–	–
<b>Net assets attributable to holders of redeemable participating shares at end of financial period</b>	<b>108,885,014</b>	<b>40,737,133</b>	<b>644,497,623</b>	<b>25,837,463</b>

The accompanying notes form an integral part of these financial statements.

**STATEMENTS OF CHANGES IN NET ASSETS ATTRIBUTABLE TO HOLDERS OF REDEEMABLE PARTICIPATING SHARES**

**For the financial period ended 30 June 2021 (Unaudited) (continued)**

	<b>First Trust Capital Strength UCITS ETF US\$</b>	<b>First Trust Value Line® Dividend Index UCITS ETF US\$</b>	<b>First Trust NYSE Arca Biotechnology UCITS ETF US\$</b>	<b>First Trust Nasdaq Cybersecurity UCITS ETF US\$</b>
<b>Net assets attributable to holders of redeemable participating shares at beginning of financial period</b>	6,710,688	7,812,968	11,304,183	62,672,059
Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations	907,076	1,103,145	236,553	11,423,679
<b>Capital Transactions:</b>				
Issuance of redeemable participating shares	6,950,750	–	8,278,300	139,477,400
Redemption of redeemable participating shares	(4,514,500)	–	(6,100,350)	(22,713,550)
Net increase/(decrease) in net assets from capital share transactions	2,436,250	–	2,177,950	116,763,850
Currency Adjustment (Note 2I)	–	–	–	–
<b>Net assets attributable to holders of redeemable participating shares at end of financial period</b>	<b>10,054,014</b>	<b>8,916,113</b>	<b>13,718,686</b>	<b>190,859,588</b>

The accompanying notes form an integral part of these financial statements.

# STATEMENTS OF CHANGES IN NET ASSETS ATTRIBUTABLE TO HOLDERS OF REDEEMABLE PARTICIPATING SHARES

For the financial period ended 30 June 2021 (Unaudited) (continued)

	First Trust Strategic Metal and Energy Equity UCITS Fund <sup>4</sup> US\$	First Trust Global Capital Strength ESG Leaders UCITS ETF <sup>5</sup> US\$	First Trust IPOX <sup>®</sup> Europe Equity Opportunities UCITS ETF <sup>6</sup> €	Total €
<b>Net assets attributable to holders of redeemable participating shares at beginning of financial period</b>	–	–	–	943,482,349
Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations	145,826	378,961	17,932	126,400,847
<b>Capital Transactions:</b>				
Issuance of redeemable participating shares	1,130,000	3,000,060	2,000,040	433,901,862
Redemption of redeemable participating shares	(634,482)	–	–	(169,837,174)
Net increase/(decrease) in net assets from capital share transactions	495,518	3,000,060	2,000,040	264,064,688
Currency Adjustment (Note 2I)	–	–	–	30,812,352
<b>Net assets attributable to holders of redeemable participating shares at end of financial period</b>	<b>641,344</b>	<b>3,379,021</b>	<b>2,017,972</b>	<b>1,364,760,236</b>

<sup>4</sup> First Trust Strategic Metal and Energy Equity UCITS Fund was launched on 8 February 2021.

<sup>5</sup> First Trust Global Capital Strength ESG Leaders UCITS ETF was launched on 9 March 2021.

<sup>6</sup> First Trust IPOX<sup>®</sup> Europe Equity Opportunities UCITS ETF was launched on 21 June 2021.

The accompanying notes form an integral part of these financial statements.

**STATEMENTS OF CHANGES IN NET ASSETS ATTRIBUTABLE TO HOLDERS OF REDEEMABLE PARTICIPATING SHARES**

**For the financial period ended 30 June 2020 (Unaudited)**

	First Trust US Large Cap Core AlphaDEX® UCITS ETF US\$	First Trust Emerging Markets AlphaDEX® UCITS ETF US\$	First Trust United Kingdom AlphaDEX® UCITS ETF £	First Trust Eurozone AlphaDEX® UCITS ETF €
<b>Net assets attributable to holders of redeemable participating shares at beginning of financial period</b>	155,925,236	62,065,456	24,460,906	214,006,466
Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations	(13,680,304)	(11,974,643)	(5,916,680)	(34,998,382)
<b>Capital Transactions:</b>				
Issuance of redeemable participating shares	9,348,700	1,610,400	4,061,820	6,682,850
Redemption of redeemable participating shares	(32,002,200)	(9,057,050)	(3,872,875)	(58,426,820)
Net increase/(decrease) in net assets from capital share transactions	(22,653,500)	(7,446,650)	188,945	(51,743,970)
Currency Adjustment (Note 2I)	–	–	–	–
<b>Net assets attributable to holders of redeemable participating shares at end of financial period</b>	<b>119,591,432</b>	<b>42,644,163</b>	<b>18,733,171</b>	<b>127,264,114</b>

The accompanying notes form an integral part of these financial statements.

# STATEMENTS OF CHANGES IN NET ASSETS ATTRIBUTABLE TO HOLDERS OF REDEEMABLE PARTICIPATING SHARES

For the financial period ended 30 June 2020 (Unaudited) (continued)

	First Trust Indxx NextG UCITS ETF <sup>1</sup> US\$	First Trust US Equity Opportunities UCITS ETF US\$	First Trust Global Equity Income UCITS ETF US\$	First Trust Germany AlphaDEX® UCITS ETF €
<b>Net assets attributable to holders of redeemable participating shares at beginning of financial period</b>	1,186,362	10,421,513	19,078,173	1,284,584
Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations	(113,242)	527,245	(4,080,687)	(114,263)
<b>Capital Transactions:</b>				
Issuance of redeemable participating shares	–	–	5,880,270	–
Redemption of redeemable participating shares	–	(1,601,650)	(516,470)	–
Net increase/(decrease) in net assets from capital share transactions	–	(1,601,650)	5,363,800	–
Currency Adjustment (Note 2I)	8,285	–	–	–
<b>Net assets attributable to holders of redeemable participating shares at end of financial period</b>	<b>1,081,405</b>	<b>9,347,108</b>	<b>20,361,286</b>	<b>1,170,321</b>

<sup>1</sup> First Trust Japan AlphaDEX® UCITS ETF changed its name to First Trust Indxx NextG UCITS ETF and its functional currency from Japanese Yen to US Dollar on 1 February 2021. Please refer to Note 11 for details of the exchange rates for the conversion.

The accompanying notes form an integral part of these financial statements.

**STATEMENTS OF CHANGES IN NET ASSETS ATTRIBUTABLE TO HOLDERS OF REDEEMABLE PARTICIPATING SHARES**

**For the financial period ended 30 June 2020 (Unaudited) (continued)**

	<b>First Trust US Equity Income UCITS ETF US\$</b>	<b>First Trust Dow Jones International Internet UCITS ETF<sup>2</sup> US\$</b>	<b>First Trust North American Energy Infrastructure Income UCITS Fund US\$</b>	<b>First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF<sup>3</sup> US\$</b>
<b>Net assets attributable to holders of redeemable participating shares at beginning of financial period</b>	106,871,455	2,703,626	4,746,878	1,235,084
Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations	(22,177,080)	(109,002)	(1,265,783)	(202,181)
<b>Capital Transactions:</b>				
Issuance of redeemable participating shares	8,468,350	–	984,700	–
Redemption of redeemable participating shares	(37,746,050)	(1,394,054)	(3,130,668)	–
Net increase/(decrease) in net assets from capital share transactions	(29,277,700)	(1,394,054)	(2,145,968)	–
Currency Adjustment (Note 2I)	–	30,199	–	–
<b>Net assets attributable to holders of redeemable participating shares at end of financial period</b>	<b>55,416,675</b>	<b>1,230,769</b>	<b>1,335,127</b>	<b>1,032,903</b>

<sup>2</sup> First Trust Switzerland AlphaDEX® UCITS ETF changed its name to First Trust Dow Jones International Internet UCITS ETF and its functional currency changed from Swiss Franc to US Dollar on 1 February 2021. Please refer to Note 11 for details of the exchange rates for the conversion.

<sup>3</sup> First Trust US Small Cap Core AlphaDEX® UCITS ETF changed its name to First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF on 1 February 2021.

The accompanying notes form an integral part of these financial statements.

**STATEMENTS OF CHANGES IN NET ASSETS ATTRIBUTABLE TO HOLDERS OF REDEEMABLE PARTICIPATING SHARES**

**For the financial period ended 30 June 2020 (Unaudited) (continued)**

	First Trust FactorFX UCITS ETF US\$	First Trust Indxx Innovative Transaction & Process UCITS ETF US\$	First Trust Dow Jones Internet UCITS ETF US\$	First Trust Cloud Computing UCITS ETF US\$
<b>Net assets attributable to holders of redeemable participating shares at beginning of financial period</b>	9,580,225	34,834,283	10,623,615	37,831,988
Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations	(731,519)	(2,558,069)	3,489,615	44,120,319
<b>Capital Transactions:</b>				
Issuance of redeemable participating shares	830,051	4,192,100	9,630,150	213,550,300
Redemption of redeemable participating shares	(835,110)	(2,162,300)	(5,995,000)	(9,041,450)
Net increase/(decrease) in net assets from capital share transactions	(5,059)	2,029,800	3,635,150	204,508,850
Currency Adjustment (Note 2I)	–	–	–	–
<b>Net assets attributable to holders of redeemable participating shares at end of financial period</b>	<b>8,843,647</b>	<b>34,306,014</b>	<b>17,748,380</b>	<b>286,461,157</b>

The accompanying notes form an integral part of these financial statements.

**STATEMENTS OF CHANGES IN NET ASSETS ATTRIBUTABLE TO HOLDERS OF REDEEMABLE PARTICIPATING SHARES**

**For the financial period ended 30 June 2020 (Unaudited) (continued)**

	<b>First Trust Low Duration Global Government Bond UCITS ETF €</b>	<b>First Trust Capital Strength UCITS ETF<sup>4</sup> US\$</b>	<b>First Trust Value Line® Dividend Index UCITS ETF<sup>5</sup> US\$</b>	<b>First Trust NYSE Arca Biotechnology UCITS ETF<sup>6</sup> US\$</b>
<b>Net assets attributable to holders of redeemable participating shares at beginning of financial period</b>	4,001,798	–	–	–
Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations	(90,977)	(293,387)	(574,417)	51,646
<b>Capital Transactions:</b>				
Issuance of redeemable participating shares	13,970,050	7,048,290	7,264,690	2,000,040
Redemption of redeemable participating shares	(977,900)	–	–	–
Net increase/(decrease) in net assets from capital share transactions	12,992,150	7,048,290	7,264,690	2,000,040
Currency Adjustment (Note 2I)	–	–	–	–
<b>Net assets attributable to holders of redeemable participating shares at end of financial period</b>	<b>16,902,971</b>	<b>6,754,903</b>	<b>6,690,273</b>	<b>2,051,686</b>

<sup>4</sup> First Trust Capital Strength UCITS ETF was launched on 27 January 2020.

<sup>5</sup> First Trust Value Line® Dividend Index UCITS ETF was launched on 12 February 2020.

<sup>6</sup> First Trust NYSE Arca Biotechnology UCITS ETF was launched on 27 May 2020.

The accompanying notes form an integral part of these financial statements.



**STATEMENTS OF CHANGES IN NET ASSETS ATTRIBUTABLE TO HOLDERS OF REDEEMABLE PARTICIPATING SHARES**

**For the financial period ended 30 June 2020 (Unaudited) (continued)**

	<b>First Trust Nasdaq Cybersecurity UCITS ETF<sup>7</sup> US\$</b>	<b>Total €</b>
<b>Net assets attributable to holders of redeemable participating shares at beginning of financial period</b>	–	655,391,632
Net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations	256,490	(50,429,886)
<b>Capital Transactions:</b>		
Issuance of redeemable participating shares	18,836,757	287,976,303
Redemption of redeemable participating shares	–	(157,772,132)
Net increase/(decrease) in net assets from capital share transactions	18,836,757	130,204,171
Currency Adjustment (Note 2I)	–	(4,755,784)
<b>Net assets attributable to holders of redeemable participating shares at end of financial period</b>	<b>19,093,247</b>	<b>730,410,133</b>

<sup>7</sup> First Trust Nasdaq Cybersecurity UCITS ETF was launched on 27 May 2020.

The accompanying notes form an integral part of these financial statements.

# First Trust Global Funds plc

## NOTES TO THE FINANCIAL STATEMENTS

### For the financial period ended 30 June 2021 (Unaudited)

#### 1. Organisation

First Trust Global Funds plc (the “Company”) is constituted as an open-ended investment company with variable capital in Ireland under registration number 514357 at its registered office, Ten Earlsfort Terrace, Dublin 2 D02 T380, Ireland. The Company is structured as an umbrella fund and comprises separate sub-funds of the Company. It has segregated liability between the sub-funds and is organised under the laws of Ireland as a public limited company pursuant to the Companies Act 2014 (the “Companies Act”), the European Communities (Undertakings for Collective Investment in Transferable Securities) Regulations 2011, as amended (the “UCITS Regulations”) and the Central Bank (Supervision and Enforcement) Act 2013 (Section 48(1)) (Undertakings for Collective Investment in Transferable Securities) Regulations 2019, (the “Central Bank UCITS Regulations”).

As at 30 June 2021, the Board of Directors (the “Board” or the “Directors”) had approved 26 sub-funds (each a “Fund” and collectively the “Funds”), of which 23 had external investment. Two sub-funds that are due to be launched in the future are First Trust Asia Pacific ex-Japan AlphaDEX® UCITS ETF and First Trust All Weather UCITS Fund.

As at 30 June 2021, no share classes are available for investment in the First Trust North American Energy Infrastructure Income UCITS Fund (“NAEII UCITS Fund”) as the Fund terminated on 22 July 2020.

Unless otherwise indicated, all share classes listed in the table below and overleaf are unhedged.

Sub-Fund Name	Share Class	Dividend Policy	Date of Launch	Exchanges
First Trust US Large Cap Core AlphaDEX® UCITS ETF	Class A Shares	Accumulating	09/04/2013	Euronext Dublin, London Stock Exchange, Deutsche Boerse
	Class B Shares	Distributing - quarterly	28/05/2015	
First Trust Emerging Markets AlphaDEX® UCITS ETF	Class A Shares	Accumulating	09/04/2013	Euronext Dublin, London Stock Exchange
First Trust United Kingdom AlphaDEX® UCITS ETF	Class A Shares	Accumulating	09/04/2013	Euronext Dublin, London Stock Exchange
	Class B Shares	Distributing - quarterly	15/04/2016	
First Trust Eurozone AlphaDEX® UCITS ETF	Class A Shares	Accumulating	21/10/2014	Euronext Dublin, London Stock Exchange, Euronext Amsterdam, Borsa Italiana, Deutsche Boerse
	Class B Shares	Distributing - quarterly	16/08/2018	Euronext Dublin, London Stock Exchange
First Trust Indxx NextG UCITS ETF*	Class A Shares	Accumulating	24/06/2015	Euronext Dublin, London Stock Exchange, Borsa Italiana

\* Please refer to Note 14 for details of significant events during the financial period.

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**1. Organisation (continued)**

Sub-Fund Name	Share Class	Dividend Policy	Date of Launch	Exchanges
First Trust US Equity Opportunities UCITS ETF	Class A Shares	Accumulating	14/08/2015	Euronext Dublin, London Stock Exchange, Euronext Paris
First Trust Global Equity Income UCITS ETF	Class A Shares	Accumulating	28/08/2015	Euronext Dublin, London Stock Exchange, Euronext Paris
	Class B Shares	Distributing - quarterly	20/10/2016	
First Trust Germany AlphaDEX <sup>®</sup> UCITS ETF	Class A Shares	Distributing - quarterly	01/04/2016	Euronext Dublin, Deutsche Boerse
First Trust US Equity Income UCITS ETF	Class A Shares	Distributing - quarterly	14/04/2016	Euronext Dublin, London Stock Exchange, Borsa Italiana
	Class B Shares	Accumulating	09/05/2017	
First Trust Dow Jones International Internet UCITS ETF*	Class A Shares	Accumulating	17/01/2017	Euronext Dublin, London Stock Exchange, Borsa Italiana
First Trust Nasdaq <sup>®</sup> Clean Edge <sup>®</sup> Green Energy UCITS ETF*	Class A Shares	Accumulating	14/03/2017	Euronext Dublin, London Stock Exchange, Deutsche Boerse, Euronext Amsterdam
First Trust FactorFX UCITS ETF	Class A Shares	Accumulating	27/07/2017	Euronext Dublin, London Stock Exchange
	Class B Shares GBP (Hedged)	Accumulating	29/11/2017	Euronext Dublin, London Stock Exchange
	Class C Shares EUR (Hedged)	Accumulating	29/11/2017	Euronext Dublin, Euronext Amsterdam
First Trust Indxx Innovative Transaction & Process UCITS ETF	Class A Shares	Accumulating	09/04/2018	Euronext Dublin, London Stock Exchange, Borsa Italiana

\* Please refer to Note 14 for details of significant events during the financial period.

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**1. Organisation (continued)**

Sub-Fund Name	Share Class	Dividend Policy	Date of Launch	Exchanges
First Trust Dow Jones Internet UCITS ETF	Class A Shares	Accumulating	18/06/2018	Euronext Dublin, London Stock Exchange, Euronext Amsterdam
First Trust Cloud Computing UCITS ETF	Class A Shares	Accumulating	27/12/2018	Euronext Dublin, London Stock Exchange, Euronext Amsterdam, Borsa Italiana, Deutsche Boerse
First Trust Low Duration Global Government Bond UCITS ETF	Class B Shares GBP (Hedged)	Distributing - quarterly	06/10/2020	Euronext Dublin, London Stock Exchange
	Class C Shares	Distributing - quarterly	18/11/2019	Euronext Dublin, Euronext Amsterdam
First Trust Capital Strength UCITS ETF	Class A Shares	Accumulating	27/01/2020	Euronext Dublin, London Stock Exchange
First Trust Value Line® Dividend Index UCITS ETF	Class A Shares	Accumulating	12/02/2020	Euronext Dublin, London Stock Exchange
First Trust NYSE Arca Biotechnology UCITS ETF	Class A Shares	Accumulating	27/05/2020	Euronext Dublin, London Stock Exchange, Borsa Italiana
First Trust Nasdaq Cybersecurity UCITS ETF	Class A Shares	Accumulating	27/05/2020	Euronext Dublin, London Stock Exchange, Borsa Italiana, Deutsche Boerse
First Trust Strategic Metal and Energy Equity UCITS Fund*	Class A Shares	Accumulating	08/02/2021	Not Listed
First Trust Global Capital Strength ESG Leaders UCITS ETF*	Class A Shares	Accumulating	09/03/2021	Euronext Dublin, London Stock Exchange, Euronext Amsterdam
First Trust IPOX® Europe Equity Opportunities UCITS ETF*	Class A Shares	Accumulating	17/06/2021	Euronext Dublin, London Stock Exchange, Borsa Italiana

\* Please refer to Note 14 for details of significant events during the financial period.

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**1. Organisation (continued)**

The investment objective of First Trust US Large Cap Core AlphaDEX® UCITS ETF (the “US Large Cap ETF”) is to aim to provide investors with a total return, taking into account capital and income returns, which reflects the return of an equity index called the NASDAQ AlphaDEX® Large Cap Core Index (the “NLCC Index”). In order to achieve its investment objective, the investment policy of the US Large Cap ETF is to invest at least 90% of its net assets in a portfolio of equity securities that consists of the equity securities of the NLCC Index.

Subject to Schedule III of the Company’s prospectus, First Trust Advisors L.P. (the “Investment Manager”) may also invest in a portfolio of assets which may comprise money market or short-term instruments such as government securities, bankers’ acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the US Large Cap ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the net asset value (the “NAV” or “Net Asset Value”) of the US Large Cap ETF.

The investment objective of First Trust Emerging Markets AlphaDEX® UCITS ETF (the “Emerging Markets ETF”) is to aim to provide investors with a total return, taking into account capital and income returns, which reflects the return of an equity index called the NASDAQ AlphaDEX® Emerging Markets Index (the “NEM Index”). In order to achieve its investment objective, the investment policy of the Emerging Markets ETF is to invest at least 90% of its net assets in a portfolio of equity securities that consists of the equity securities of the NEM Index or in depositary receipts that may include American Depositary Receipts (“ADRs”), Global Depositary Receipts (“GDRs”) or European Depositary Receipts (“EDRs”) representing securities in the NEM Index where direct investment in a constituent security of the NEM Index is not possible.

Subject to Schedule III of the Company’s prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as government securities, bankers’ acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the Emerging Markets ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the Emerging Markets ETF.

The investment objective of First Trust United Kingdom AlphaDEX® UCITS ETF (the “UK ETF”) is to seek to provide investors with a total return, taking into account capital and income returns, which reflects the return of an equity index called the NASDAQ AlphaDEX® United Kingdom Index (the “UK Index”). In order to achieve its investment objective, the investment policy of the UK ETF is to invest at least 90% of its net assets in a portfolio of equity securities that consists of the equity securities of the UK Index or in depositary receipts that may include ADRs, GDRs or EDRs representing securities in the UK Index where direct investment in a constituent security of the UK Index is not possible. At all times, at least 75% of the total assets of the UK ETF will be invested in the equity securities of issuers domiciled in the United Kingdom.

Subject to Schedule III of the Company’s prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as government securities, bankers’ acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the UK ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the UK ETF.

The investment objective of First Trust Eurozone AlphaDEX® UCITS ETF (the “Eurozone ETF”) is to provide investors with a total return, taking into account capital and income returns, which reflects the return of an equity index called the NASDAQ AlphaDEX® Eurozone Index (the “EZ Index”). In order to achieve its investment objective, the investment policy of the Eurozone ETF is to invest at least 90% of its net assets in a portfolio of equity securities that consists of the equity securities of the EZ Index or in depositary receipts that may include ADRs, GDRs or EDRs representing securities in the EZ Index where direct investment in a constituent security of the EZ Index is not possible.

Subject to Schedule III of the Company’s prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as government securities, bankers’ acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the Eurozone ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the Eurozone ETF.

The investment objective of First Trust Indxx NextG UCITS ETF (the “Indxx NextG ETF”) is to provide investors with investment results that correspond generally to the price and yield, (before the Indxx NextG ETF’s fees and expenses), of an equity index called the Indxx 5G & NextG Thematic Index (the “Indxx NextG Index”). In order to achieve its investment objective, the investment policy of the Indxx NextG ETF is to invest at least 90% of its net assets in a portfolio of common stocks that consists of the equity securities of the Indxx NextG Index or in depositary receipts that may include ADRs, GDRs or EDRs representing securities in the Indxx NextG Index where direct investment in a constituent security of the Indxx NextG Index is not possible.

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**1. Organisation (continued)**

Subject to Schedule III of the Company's prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as government securities, bankers' acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the Indxx NextG ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the Indxx NextG ETF.

The investment objective of First Trust US Equity Opportunities UCITS ETF (the "US Equity Opportunities ETF") is to provide investors with investment results that correspond generally to the price and yield of an equity index called the IPOX<sup>®</sup>-100 U.S. Index (the "IPOX<sup>®</sup>-100 Index"). In order to achieve its investment objective, the investment policy of the US Equity Opportunities ETF is to invest at least 90% of its net assets in a portfolio of equity securities that consists of the equity securities of the IPOX<sup>®</sup>-100 Index or in depositary receipts that may include ADRs, GDRs or EDRs representing securities in the IPOX<sup>®</sup>-100 Index where direct investment in a constituent security of the IPOX<sup>®</sup>-100 Index is not possible.

Subject to Schedule III of the Company's prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as government securities, bankers' acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the US Equity Opportunities ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the US Equity Opportunities ETF.

The investment objective of First Trust Global Equity Income UCITS ETF (the "Global ETF") is to provide investors with investment results that correspond generally to the price and yield of an equity index called the NASDAQ Global High Equity Income Index (the "Global Index"). In order to achieve its investment objective, the investment policy of the Global ETF is to invest at least 90% of its net assets in a portfolio of equity securities that consists of the equity securities of the Global Index or in depositary receipts that may include ADRs, GDRs or EDRs representing securities in the Global Index where direct investment in a constituent security of the Global Index is not possible.

Subject to Schedule III of the Company's prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as government securities, bankers' acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the Global ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the Global ETF.

The investment objective of First Trust Germany AlphaDEX<sup>®</sup> UCITS ETF (the "Germany ETF") is to provide investors with investment results that correspond generally to the price and yield of an equity index called the NASDAQ AlphaDEX<sup>®</sup> Germany Index (the "Germany Index"). In order to achieve its investment objective, the investment policy of the Germany ETF is to invest at least 90% of its net assets in a portfolio of equity securities that consists of the equity securities of the Germany Index or in depositary receipts that may include ADRs, GDRs or EDRs representing securities in the Germany Index where direct investment in a constituent security of the Germany Index is not possible.

Subject to Schedule III of the Company's prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as government securities, bankers' acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the Germany ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the Germany ETF.

The investment objective of First Trust US Equity Income UCITS ETF (the "US Equity ETF") is to provide investors with investment results that correspond generally to the price and yield of an equity index called the NASDAQ US High Equity Income Index (the "US High Equity Index"). In order to achieve its investment objective, the investment policy of the US Equity ETF is to invest at least 90% of its net assets in a portfolio of equity securities that consists of the equity securities of the US High Equity Index or in depositary receipts that may include ADRs, GDRs or EDRs representing securities in the US High Equity Index where direct investment in a constituent security of the US High Equity Index is not possible.

Subject to Schedule III of the Company's prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as government securities, bankers' acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the US Equity ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the US Equity ETF.

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**1. Organisation (continued)**

The investment objective of First Trust Dow Jones International Internet UCITS ETF (the “International Internet ETF”) is to provide investors with investment results that correspond generally to the price and yield, (before the International Internet ETF's fees and expenses), of an equity index called the Dow Jones International Internet Index (the “International Internet Index”). In order to achieve its investment objective, the investment policy of the International Internet ETF is to invest at least 90% of its net assets in a portfolio of the equity securities of the International Internet Index or in depositary receipts that may include ADRs, GDRs or EDRs representing securities in the International Internet Index where direct investment in a constituent security of the International Internet Index is not possible.

Subject to Schedule III of the Company's prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as government securities, bankers' acceptances, certificates of deposit and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the International Internet ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the International Internet ETF.

The investment objective of First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF (the “Clean Edge ETF”) is to provide investors with investment results that correspond generally to the price and yield, (before the Clean Edge ETF's fees and expenses), of an equity index called Nasdaq® Clean Edge® Green Energy Index (the “Clean Edge Index”). In order to achieve its investment objective, the investment policy of the Clean Edge ETF is to invest at least 90% of its net assets in a portfolio of equity securities that consists of the equity securities of the Clean Edge Index or in depositary receipts that may include ADRs, GDRs or EDRs representing securities in the Clean Edge Index where direct investment in a constituent security of the Clean Edge Index is not possible.

Subject to Schedule III of the Company's prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as government securities, bankers' acceptances, certificates of deposit and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the Clean Edge ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the Clean Edge ETF.

The investment objective of First Trust FactorFX UCITS ETF (the “FactorFX ETF”) is to deliver to investors' total returns with lower correlation to the broader equity and bond markets over the medium to long term through its exposure to global currencies and related markets. In order to achieve its investment objective, the investment policy of the FactorFX ETF is to invest 25% to 100% of its net assets in a portfolio of sovereign fixed income securities. The FactorFX ETF may also invest in forward currency contracts, futures, swaps and options. FactorFX ETF intends to pursue an actively managed investment strategy.

The Investment Manager or First Trust Global Portfolios Limited (the “Sub-Investment Manager”) may invest in sovereign fixed income securities which will be traded or dealt in on Regulated Markets worldwide. These sovereign fixed income securities may be in developed or emerging markets, may be either fixed or floating and Investment Grade or below Investment Grade and the average duration of these securities is likely to be less than two years. The FactorFX ETF may invest up to 30% of its NAV in below Investment Grade fixed income securities. The FactorFX ETF may also invest up to 30% of its NAV in sovereign fixed income securities issued in emerging markets. The amount which may be invested in such money market funds shall not exceed 10% of the NAV of the FactorFX ETF.

The investment objective of First Trust Indxx Innovative Transaction & Process UCITS ETF (the “Indxx ETF”) is to provide investors with investment results that correspond generally to the price and yield of an equity index called the Indxx Blockchain Index (the “Blockchain Index”). In order to achieve its investment objective, the investment policy of the Indxx ETF is to invest at least 90% of its net assets in a portfolio of equity securities that consists of the equity securities (or corresponding ADRs or GDRs) within the Blockchain Index or in ADRs or GDRs representing securities in the Blockchain Index where direct investment in a constituent security of the Blockchain Index is not practicable or possible.

Subject to Schedule III of the Company's prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as Investment Grade fixed and floating government securities, bankers' acceptances, certificates of deposit and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the Indxx ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the Indxx ETF.

The investment objective of First Trust Dow Jones Internet UCITS ETF (the “Dow Jones ETF”) is to provide investors with investment results that correspond generally to the price and yield of an equity index called the Dow Jones Internet Composite Index<sup>SM</sup> (the “Dow Jones Index”). In order to achieve its investment objective, the investment policy of the Dow Jones ETF is to invest at least 90% of its net assets in a portfolio of equity securities that consists of the equity securities of the Dow Jones Index or other depositary receipts

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**1. Organisation (continued)**

representing securities in the Dow Jones Index where direct investment in a constituent security of the Dow Jones Index is not practicable or possible.

Subject to Schedule III of the Company's prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as Investment Grade fixed and floating government securities, bankers' acceptances, certificates of deposit and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the Dow Jones ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the Dow Jones ETF.

The investment objective of First Trust Cloud Computing UCITS ETF (the "Cloud Computing ETF") is to provide investors with investment results that correspond generally to the price and yield of an equity index called the ISE CTA Cloud Computing™ Index (the "Cloud Computing Index"). In order to achieve its investment objective, the investment policy of the Cloud Computing ETF is to invest at least 90% of its net assets in a portfolio of equity securities that consists of the equity securities of the Cloud Computing Index or in depositary receipts that may include ADRs, GDRs or EDRs representing securities in the Cloud Computing Index where direct investment in a constituent security of the Cloud Computing Index is not practicable or possible.

Subject to Schedule III of the Company's prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as Investment Grade fixed and floating government securities, bankers' acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the Cloud Computing ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the Cloud Computing ETF.

The investment objective of First Trust Low Duration Global Government Bond UCITS ETF (the "Low Duration ETF") is to deliver total returns to investors over the medium to long term by investing in sovereign fixed income securities globally. In order to achieve its investment objective, the investment policy of the Low Duration ETF is to invest at least 80% of its NAV in a portfolio of global sovereign fixed income securities. Low Duration ETF intends to pursue an actively managed investment strategy.

The Sub-Investment Manager may invest primarily in Investment Grade rated fixed income securities which are listed, traded or dealt on Regulated Markets worldwide, including developed and emerging market countries (including Russia) and which are issued by governments, local authorities, Supranational Organisations and public sector bodies and will seek to minimise the effect of the underlying currency exposure of those securities by utilising currency hedging transactions. The Sub-Investment Manager may also use interest rate financial derivative instruments in order to manage and hedge its interest rate exposure. The financial derivative instruments used may be forward foreign exchange contracts, currency futures, interest rate futures and interest rate swaps. The Low Duration ETF's investment in money market funds shall not exceed 10% of the NAV of the Low Duration ETF.

The investment objective of First Trust Capital Strength UCITS ETF (the "Capital Strength ETF") is to provide investors with investment results that correspond generally to the price and yield, (before the Capital Strength ETF's fees and expenses), of an equity index called The Capital Strength Index<sup>SM</sup> ("The Capital Strength Index"). In order to achieve its investment objective, the investment policy of the Capital Strength ETF is to invest at least 90% of its net assets in the common stocks and real estate investment trusts ("REITs") that comprise The Capital Strength Index or in depositary receipts that may include ADRs, GDRs or EDRs representing securities in The Capital Strength Index where direct investment in a constituent security of The Capital Strength Index is not possible.

Subject to Schedule III of the Company's prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as Investment Grade fixed and floating government securities, bankers' acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the Capital Strength ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the Capital Strength ETF.

The investment objective of First Trust Value Line® Dividend Index UCITS ETF (the "Value Line Dividend ETF") is to provide investors with investment results that correspond generally to the price and yield, (before the Value Line Dividend ETF's fees and expenses), of an equity index called the Value Line® Dividend Index (the "Value Line Dividend Index"). In order to achieve its investment objective, the investment policy of the Value Line Dividend ETF is to invest at least 90% of its net assets in the common stocks and depositary receipts that comprise the Value Line Dividend Index or in depositary receipts that may include ADRs, GDRs or EDRs representing securities in the Value Line Dividend Index where direct investment in a constituent security of the Value Line Dividend Index is not possible.



**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**1. Organisation (continued)**

Subject to Schedule III of the Company's prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as Investment Grade fixed and floating government securities, bankers' acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the Value Line Dividend ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the Value Line Dividend ETF.

The investment objective of First Trust NYSE Arca Biotechnology UCITS ETF (the "Biotechnology ETF") is to provide investors with investment results that correspond generally to the price and yield, (before the Biotechnology ETF's fees and expenses), of an equity index called the NYSE Arca Biotechnology Index<sup>SM</sup> (the "Biotechnology Index"). In order to achieve its investment objective, the investment policy of the Biotechnology ETF is to invest at least 90% of its net assets in the common stocks and depositary receipts that comprise the Biotechnology Index or in depositary receipts that may include ADRs, GDRs or EDRs representing securities in the Biotechnology Index where direct investment in a constituent security of the Biotechnology Index is not possible.

Subject to Schedule III of the Company's prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as Investment Grade fixed and floating government securities, bankers' acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the Biotechnology ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the Biotechnology ETF.

The investment objective of First Trust Nasdaq Cybersecurity UCITS ETF (the "Cybersecurity ETF") is to provide investors with investment results that correspond generally to the price and yield, (before the Cybersecurity ETF's fees and expenses), of a total return equity index called the Nasdaq CTA Cybersecurity Index<sup>SM</sup> (the "Cybersecurity Index"). In order to achieve its investment objective, the investment policy of the Cybersecurity ETF is to invest at least 90% of its net assets in the common stocks and depositary receipts that comprise the Cybersecurity Index or in depositary receipts that may include ADRs or GDRs representing securities in the Cybersecurity Index where direct investment in a constituent security of the Cybersecurity Index is not possible.

Subject to Schedule III of the Company's prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as Investment Grade fixed and floating government securities, bankers' acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the Cybersecurity ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the Cybersecurity ETF.

The investment objective of First Trust Strategic Metal and Energy Equity UCITS Fund (the "Strategic UCITS Fund") is to provide investors with long term returns, through capital growth. In order to achieve its investment objective, the investment policy of the Strategic UCITS Fund is to invest in a diversified portfolio of equity securities related to gold and oil which are listed or traded on Regulated Markets worldwide. The equity securities related to gold and oil in which the Strategic UCITS Fund will invest shall primarily consist of common stocks (and their equivalent for other corporate structures such as limited partnerships, land trusts and royalty income trusts, which may be purchased instead of corporate structures in some jurisdictions for tax benefit purposes). The securities in which the Strategic UCITS Fund will invest will be listed or traded on Regulated Markets worldwide. The Strategic UCITS Fund may also invest up to 10% of the NAV in other transferable securities such as convertible securities and warrants.

The Investment Manager will identify the potential universe of gold and oil stocks in which to invest by screening for equity securities issued by companies deriving a substantial part of their income from, or having a substantial portion of their assets in gold and oil related sectors and industries and which may include companies involved in mining, exploration and production of gold and oil. The Investment Manager will then create a diversified portfolio by including the largest gold and oil stocks in the investment universe by reference to market capitalization. The Strategic UCITS Fund's portfolio will consist of two 'sleeves' of up to 150 stocks each, one comprising of gold stocks and the other comprising oil stocks.

Cash is a residual element of the investment process. Cash may be held on deposit by the Strategic UCITS Fund. Cash equivalents may also be held by the Strategic UCITS Fund from time to time. Cash equivalents are commercial paper, banker's acceptances, certificates of deposit and government securities or securities issued by any Supranational Organisation, provided these securities are listed, traded or dealt in on a Regulated Market and are rated investment grade or better by at least one Nationally Recognized Statistical Ratings Organization ("NRSRO"). Cash deposits and cash equivalents held by the Strategic UCITS Fund will not generally exceed 10% of its NAV, but in exceptional circumstances (for example in an uncertain market environment) the Strategic UCITS Fund may hold in excess of 10% of its NAV in cash or cash equivalents. The Strategic UCITS Fund will not invest more than 10% of its NAV in units or shares of eligible collective investment schemes, including exchange traded funds. The eligible collective investment schemes in which the

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**1. Organisation (continued)**

Strategic UCITS Fund may invest will have similar investment objectives and policies to the Strategic UCITS Fund. The Strategic UCITS Fund does not intend to use borrowing or financial derivative instruments.

The investment objective of First Trust Global Capital Strength ESG Leaders UCITS ETF (the “ESG Leaders ETF”) is to provide investors with long term returns, through capital growth. In order to achieve its investment objective, the investment policy of the ESG Leaders ETF is to invest in a diversified portfolio of global equity securities issued by companies which the Investment Manager considers to have leading or superior metrics based on environmental, social and governance (“ESG”) related criteria (relative to their industry peers) and which, in addition, the Investment Manager considers to exhibit “capital strength” in that they are well capitalised and have strong balance sheets. ESG Leaders ETF intends to pursue an actively managed investment strategy.

Subject to Schedule III of the Company’s prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as Investment Grade fixed and floating government securities, bankers’ acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the ESG Leaders ETF for liquidity purposes. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the ESG Leaders ETF.

The investment objective of First Trust IPOX<sup>®</sup> Europe Equity Opportunities UCITS ETF (the “IPOX Europe ETF”) is to provide investors with investment results that correspond generally to the price and yield, (before the IPOX Europe ETF’s fees and expenses), of an equity index called the IPOX<sup>®</sup>-100 Europe Index (the IPOX<sup>®</sup>-100 Index”). In order to achieve its investment objective, the investment policy of the IPOX Europe ETF is to invest at least 90% of its net assets in a portfolio of equity securities that consists of the equity securities of the IPOX<sup>®</sup>-100 Index or in depositary receipts that may include ADRs, GDRs or EDRs representing securities in the IPOX<sup>®</sup>-100 Index where direct investment in a constituent security of the IPOX<sup>®</sup>-100 Index is not possible.

Subject to Schedule III of the Company’s prospectus, the Investment Manager may also invest in a portfolio of assets which may comprise money market or short-term instruments such as Investment Grade fixed and floating government securities, bankers’ acceptances, certificates of deposit, and eligible collective investment schemes which are either money market funds and/or which have a similar investment objective and policies as that of the IPOX Europe ETF. The amount which may be invested in such money market instruments, short-term instruments and eligible collective investment schemes shall not exceed 10% of the NAV of the IPOX Europe ETF.

**2. Significant Accounting Policies**

**A. Basis of Preparation and Accounting Convention**

These condensed interim unaudited financial statements for the financial period ended 30 June 2021 (the “Reporting Period”) have been prepared in accordance with accounting standards generally accepted in Ireland (“Irish GAAP”) including Financial Reporting Standard (“FRS”) 104 - Interim Financial Reporting (“FRS 104”), “The Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland” issued by the Financial Reporting Council and Irish statute comprising the Companies Act, the UCITS Regulations, the Central Bank UCITS Regulations and the listing rules of the Euronext Dublin, Amsterdam and Paris Stock Exchanges, the London Stock Exchange, the Deutsche Boerse and the Borsa Italiana. The condensed interim unaudited financial statements should be read in conjunction with the audited financial statements for the financial year ended 31 December 2020.

The preparation of financial statements in conformity with FRS 102/FRS 104 requires the use of certain critical estimates and assumptions that may affect the reported amounts of assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the Reporting Period. Although these estimates are based on management’s best knowledge of the amount, event or actions, actual results ultimately may differ from these estimates. The areas involving a higher degree of judgement or complexity, or areas where assumptions and estimates are significant are disclosed under the fair value estimation note.

The financial statements have been prepared on a going concern basis under the historical cost convention, as modified by the revaluation of financial assets and financial liabilities at fair value through profit or loss.

The Company has availed of the exemption from preparation of cash flows as all of the following conditions set out under Section 7.1A “Statements of Cash Flows” of FRS 102 also referenced in Section 10(g) of FRS 104, have been satisfied:

- (i) substantially all of the entity’s investments are highly liquid;
- (ii) substantially all of the entity’s investments are carried at market value; and
- (iii) the entity provides a statement of changes in net assets.

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**2. Significant Accounting Policies (continued)**

**A. Basis of Preparation and Accounting Convention (continued)**

The significant accounting policies adopted by the Company are set out below and overleaf. These policies have been consistently applied to the financial periods presented unless otherwise stated.

**B. Financial Assets and Financial Liabilities at Fair Value through Profit or Loss**

**Valuation of Investments at Fair Value through Profit or Loss**

**(i) Initial Measurement**

Financial assets and liabilities at fair value through profit or loss are initially recognised at fair value. Subsequent to initial recognition, all financial assets and financial liabilities at fair value through profit or loss are measured at fair value. The Company has elected to apply the recognition and measurement provisions of International Accounting Standards (“IAS”) 39 Financial Instruments: Recognition and Measurement (“IAS 39”) and the disclosure requirements of Section 34 “Specialised Activities”, Section 11 “Basic Financial Instrument” and Section 12 “Other Financial Instruments Issues” of FRS 102.

**(ii) Classification**

The Company classifies its investments in debt and equity securities as financial assets or financial liabilities at fair value through profit or loss. All financial assets or financial liabilities at fair value through profit or loss are classified as held-for-trading. These include corporate bonds, treasury notes, equities, preference shares and rights. All derivatives in a receivable position are reported as financial assets held-for-trading. All derivatives in a payable position are reported as financial liabilities held-for-trading.

**(iii) Recognition and Derecognition**

Purchases and sales of investments are recognised at the trade date being the date on which the Company commits to purchase or sell the investment. Investments are derecognised when the rights to receive cash flows from the investments have expired or the Company has transferred substantially all risks and rewards of ownership. Realised gains and losses are based on the first in first out method (“FIFO”) and are reflected in net gain/(loss) on financial assets and liabilities at fair value through profit or loss in the Statements of Comprehensive Income.

**(iv) Fair Value Estimation**

Each Fund’s financial instruments are carried at fair value on the Statements of Financial Position. The fair value of instruments traded in active markets is based on last traded quoted market prices at the Statements of Financial Position date.

A financial instrument is regarded as quoted in an active market if quoted prices are readily and regularly available from an exchange, dealer, broker, industry group, pricing service, or regulatory agency, and those prices represent actual and regularly occurring market transactions on an arm’s length basis.

**C. Income Recognition**

Interest and dividend income on financial instruments held at fair value through profit or loss for the financial period is presented as “Investment income” in the Statements of Comprehensive Income on an accrual basis, gross of withholding tax which is shown separately on the Statements of Comprehensive Income. Dividends shall be recognised when the shareholder’s right to receive payment is established.

**D. Income from Investments**

The Company records its investment transactions on a trade date basis. Interest income includes accretion of market discount, original issue discounts and amortisation of premiums and is recorded into income over the life of the underlying investment.

**E. Expense Recognition**

All expenses are recognised in the Statements of Comprehensive Income on an accrual basis.

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**2. Significant Accounting Policies (continued)**

**F. Cash, Bank Overdraft, Margin Cash, Cash Collateral & Pledged Collateral**

Cash and bank overdrafts are stated at cost, which approximates fair value. Bank overdrafts are classified as financial liabilities. Cash collateral provided by the Fund is identified in the Statements of Financial Position as Cash collateral and is not included as a component of cash and bank overdraft. For collateral other than cash, if the party to whom the collateral is provided has the right by contract or custom to sell or re-pledge the collateral, the Fund classifies that asset in its Statements of Financial Position separately from other assets and identifies the asset as securities pledged as collateral. Cash collateral receivable/payable in relation to the futures contracts is identified in the Statements of Financial Position as Margin cash and is not included as a component of cash and bank overdraft. As at 30 June 2021, Margin cash is held with the broker J.P. Morgan Securities LLC for the Low Duration ETF. As at 30 June 2021, there is no Cash collateral receivable/payable on the Funds. As at 31 December 2020, Margin cash is held with the broker J.P. Morgan Securities LLC for the Low Duration ETF. Cash collateral receivable/payable in relation to the forward currency contracts is held with The Bank of New York Mellon for the FactorFX ETF.

**G. Redeemable Participating Shares**

For the FactorFX ETF and Low Duration ETF, redeemable participating shares are redeemable at the shareholder's option and are classified as financial liabilities. Participating shares can be redeemed at any time for cash equal to a proportionate share of the Company's NAV. The participating share is carried at the redemption amount that is payable at the Statements of Financial Position date if the shareholder exercised its right to put the share back to the Company.

For the US Large Cap ETF, Emerging Markets ETF, UK ETF, Eurozone ETF, Indxx NextG ETF, US Equity Opportunities ETF, Global ETF, Germany ETF, US Equity ETF, International Internet ETF, Clean Edge ETF, Indxx ETF, Dow Jones ETF, Cloud Computing ETF, Capital Strength ETF, Value Line Dividend ETF, Biotechnology ETF, Cybersecurity ETF, ESG Leaders ETF and IPOX Europe ETF shares can be redeemed on the primary or secondary market and are classified as financial liabilities. The primary market is the market on which shares of the Funds are issued by the Company to Authorised Participants ("APs") or redeemed by the Company from APs. Only APs are permitted to subscribe or redeem shares on the primary market.

Shares may be purchased or sold on the secondary market by all investors through a relevant recognised stock exchange on which the shares are admitted to trading or over the counter. The purpose of the listing of the shares on a stock exchange is to enable investors to buy and sell shares on the secondary market, normally via a broker/dealer or third party administrator, in smaller quantities than would be possible if they were to subscribe and/or redeem shares through the Company in the primary market.

The fair value of the financial liability for the redeemable participating shares is the redemption amount per share, calculated as the NAV per share less any associated duties and charges, and any redemption dividend which is payable on the shares redeemed.

**H. Distributions to Holders of Redeemable Participating Shares**

Distributions payable to holders of redeemable participating shares are recognised in the Statements of Comprehensive Income. The income, earnings and gains of the Funds will be accumulated and re-invested on behalf of the shareholders except for the US Large Cap ETF, UK ETF, Eurozone ETF, Global ETF, Germany ETF, US Equity ETF and Low Duration ETF which have distributing classes. Refer to pages 25 to 36 for further details.

**I. Foreign Currency**

Items included in the Company's financial statements are measured and presented using the currency of the primary economic environment in which it operates (the "functional currency"). The functional currency reflects the transactions, events, and conditions under which each Fund operates and conducts its business. The presentation currency of the Company is Euro.

The functional currency of the Eurozone ETF, Germany ETF, Low Duration ETF and IPOX Europe ETF is Euro.

The functional currency of the US Large Cap ETF, Emerging Markets ETF, Indxx NextG ETF, US Equity Opportunities ETF, Global ETF, US Equity ETF, International Internet ETF, Clean Edge ETF, FactorFX ETF, Indxx ETF, Dow Jones ETF, Cloud Computing ETF, Capital Strength ETF, Value Line Dividend ETF, Biotechnology ETF, Cybersecurity ETF, Strategic UCITS Fund and ESG Leaders ETF is US Dollar.

The functional currency of the UK ETF is British Pound.

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**2. Significant Accounting Policies (continued)**

**I. Foreign Currency (continued)**

For the purpose of combining the financial statements of the Funds to arrive at total Company figures (as required under Irish company law), the amounts in the Funds' Statements of Financial Position have been translated to Euro at the exchange rate ruling at 30 June 2021. The notional foreign exchange gain of €30,812,352 at 30 June 2021 (30 June 2020: loss of (€4,755,784)) set out in the Company Statement of Changes in Net Assets Attributable to Holders of Redeemable Participating Shares is due to the use of average exchange rates when translating the net increase/(decrease) in net assets attributable to holders of redeemable participating shares from operations, issuance of redeemable participating shares, and redemption of redeemable participating shares, in comparison to the use of closing exchange rates when translating the financial period end Statements of Financial Position. This notional foreign exchange adjustment has no impact on the NAV of the individual Funds.

The Company may invest in assets denominated in a currency other than the functional currency of each Fund. Accordingly, the value of a shareholder's investments may be affected favourably or unfavourably by fluctuations in the rates of different currencies. Transactions in foreign currencies are translated at the foreign exchange rate in effect at the date of the transaction. Assets and liabilities denominated in foreign currencies are translated into Euro at the foreign currency closing exchange rate in effect at the financial period end. Foreign currency exchange gains and losses relating to investments at fair value through profit or loss, derivative financial investments, and all other foreign currency exchange gains or losses relating to monetary items, including cash, are reflected in the net gain/(loss) on financial assets and liabilities at fair value through profit or loss in the Statements of Comprehensive Income.

**J. Forward Currency Contracts**

In a forward currency contract, the Fund agrees to receive or deliver a fixed quantity of one currency for another, at a predetermined price at a future date. The fair value of forward currency contracts is calculated as the difference between the contracted rate and the current forward rate that would close out the contract on the Statements of Financial Position date. Gains or losses on forward currency contracts are included in the financial assets at fair value through profit or loss or financial liabilities at fair value through profit or loss on the Statements of Financial Position and are shown in the Schedules of Investments. Any realised gains or losses are recognised in the Statements of Comprehensive Income on the trade date. The Funds may enter into these contracts to hedge against changes in currency exchange rates. The forward currency contracts are used to hedge the currency exposure on individual positions taken by the Funds and to hedge non-base currency investors.

**K. Open Futures Contracts**

A futures contract obligates one party to sell and the other party to purchase a specific instrument for an agreed price at an agreed future date. Futures may be used subject to the conditions and limits laid down by the Central Bank and the constitution of the Company as of 29 January 2020 (the "Constitution") and subject to any other restrictions or regulations which may affect the portfolio management of the Funds or the Investment Manager/Sub-Investment Managers. Certain Funds may buy or sell futures to provide an efficient, liquid and effective method for the management of risks by "locking in" gains and/or protecting against future declines in value. The fair value of open futures contracts is calculated as the difference between the contracted rate and the current rate that would close out the contract on the Statements of Financial Position date. Gains or losses on futures are included in the financial assets at fair value through profit or loss or financial liabilities at fair value through profit or loss on the Statements of Financial Position and are shown in the Schedules of Investments. When the contract is terminated, the Fund will recognise a realised gain or loss in the Statements of Comprehensive Income equal to the difference between the value of the contract at the time it was entered into and the time it was closed.

**L. Transaction Costs**

A Fund pays transaction costs, such as commissions, when it buys and sells securities. Transaction costs means any costs and expenses incurred in respect of the buying and selling of portfolio securities and financial instruments as Investments, including but not limited to brokerage fees and commission, interest or taxes payable in respect of such purchase and sale transactions. Transaction costs are included in the purchase and sale of each security.

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**3. Taxation**

Under current law and practice the Company qualifies as an investment undertaking as defined in Section 739B of the Taxes Consolidation Act, 1997, as amended (the “TCA”). On that basis, it is not chargeable to Irish tax on its income or gains. However, Irish tax may arise on the happening of a “chargeable event”. A chargeable event includes any distribution payments to shareholders or any encashment, redemption, cancellation or transfer of shares. No Irish tax will arise on the Company in respect of chargeable events in respect of:

- (a) a shareholder who is neither Irish resident nor ordinarily resident in Ireland for tax purposes, at the time of the chargeable event, provided appropriate valid declarations in accordance with the provisions of the TCA, are held by the Company; and
- (b) certain exempted Irish tax resident shareholders who have provided the Company with the necessary signed statutory declarations.

A chargeable event does not include:

- (i) any transaction (which might otherwise be a chargeable event) in relation to shares held in a recognised clearing system as designed by order of the Revenue Commissioners of Ireland; or
- (ii) a transfer of shares between spouses/civil partners and any transfer of shares between spouses/civil partners or former spouses/civil partners on the occasion of judicial separation, decree of dissolution and/or divorce as appropriate; or
- (iii) an exchange by a shareholder, effected by way of arm’s length bargain where no payment is made to the shareholder, of shares in a Fund for shares in another Fund; or
- (iv) an exchange of shares arising on a qualifying amalgamation or reconstruction (within the meaning of Section 739H of the TCA) with another investment undertaking.

It is the intention of the Directors that the shares of the ETF Funds will at all times be held in a recognised clearing system. On that basis, it is not envisaged that a chargeable event will arise on which the Company will be liable to account for tax. However, if, for any reason, the shares cease to be held in a recognised clearing system and the Company becomes liable to account for tax on a chargeable event, the Company shall be entitled to deduct from the payment arising on that chargeable event an amount equal to the appropriate tax and/or, where applicable, to repurchase and cancel such number of shares held by the shareholder as is required to meet the amount of tax. The relevant shareholder shall indemnify and keep the Company indemnified against loss arising to the Company by reason of the Company becoming liable to account for tax on the happening of a chargeable event.

**Other Countries:**

Provisions have been made for Capital Gains Taxes payable on certain Brazilian and Indian securities held by the Emerging Markets ETF and Global Equity ETF and for certain Indian securities held by the Indxx NextG ETF and Indxx ETF.

Income and capital gains (if any) received on investments made by the Company may be subject to withholding taxes imposed by the country from which the investment income/gains are received and such taxes may not be recoverable by the Company or its shareholders.

**4. Financial Assets and Liabilities at Fair Value through Profit or Loss**

The Company is required to classify fair value measurements using a fair value hierarchy that reflects the significance of the inputs used in making measurements. The fair value hierarchy has the following levels:

- Level 1 - The unadjusted quoted price in an active market for identical assets or liabilities that the entity can access at the measurement date.
- Level 2 - Inputs other than quoted prices included within Level 1 that are observable (i.e. developed using market data) for the asset or liability, either directly or indirectly.
- Level 3 - Inputs are unobservable (i.e. for which market data is unavailable) for the asset or liability.

During the financial period ended 30 June 2021 and financial year ended 31 December 2020 there were no significant transfers between Level 1, 2 or 3 of the fair value hierarchy for financial assets and financial liabilities which were recorded at fair value.

## NOTES TO THE FINANCIAL STATEMENTS (continued)

### For the financial period ended 30 June 2021 (Unaudited)

#### 4. Financial Assets and Liabilities at Fair Value through Profit or Loss (continued)

The level in the fair value hierarchy within which the fair value measurement is categorised in its entirety is determined on the basis of the lowest level input that is significant to the fair value measurement in its entirety. For this purpose, the significance of an input is assessed against the fair value measurement in its entirety. If a fair value measurement uses observable inputs that require significant adjustment based on unobservable inputs, that measurement is a Level 3 measurement. Assessing the significance of a particular input to the fair value measurement in its entirety requires judgement, considering factors specific to the asset or liability.

There were no fair valued securities held under Level 3 as at 30 June 2021 or 31 December 2020.

The following tables provide an analysis of financial instruments as at 30 June 2021 and 31 December 2020 that are measured at fair value in accordance with FRS 102:

#### First Trust US Large Cap Core AlphaDEX® UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021

	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	98,784,127	–	–	98,784,127
<b>Total</b>	<b>98,784,127</b>	<b>–</b>	<b>–</b>	<b>98,784,127</b>

#### First Trust US Large Cap Core AlphaDEX® UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 31 December 2020

	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	90,053,897	–	–	90,053,897
<b>Total</b>	<b>90,053,897</b>	<b>–</b>	<b>–</b>	<b>90,053,897</b>

#### First Trust Emerging Markets AlphaDEX® UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021

	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	27,917,011	–	–	27,917,011
<b>Total</b>	<b>27,917,011</b>	<b>–</b>	<b>–</b>	<b>27,917,011</b>

#### First Trust Emerging Markets AlphaDEX® UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 31 December 2020

	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	39,444,828	–	–	39,444,828
<b>Total</b>	<b>39,444,828</b>	<b>–</b>	<b>–</b>	<b>39,444,828</b>

# First Trust Global Funds plc

## NOTES TO THE FINANCIAL STATEMENTS (continued)

### For the financial period ended 30 June 2021 (Unaudited)

#### 4. Financial Assets and Liabilities at Fair Value through Profit or Loss (continued)

##### First Trust United Kingdom AlphaDEX® UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021

	Level 1 £	Level 2 £	Level 3 £	Total £
<b>Held for Trading</b>				
Common Stock	14,541,880	–	–	14,541,880
<b>Total</b>	<b>14,541,880</b>	<b>–</b>	<b>–</b>	<b>14,541,880</b>

##### First Trust United Kingdom AlphaDEX® UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 31 December 2020

	Level 1 £	Level 2 £	Level 3 £	Total £
<b>Held for Trading</b>				
Common Stock	13,885,800	–	–	13,885,800
<b>Total</b>	<b>13,885,800</b>	<b>–</b>	<b>–</b>	<b>13,885,800</b>

##### First Trust Eurozone AlphaDEX® UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021

	Level 1 €	Level 2 €	Level 3 €	Total €
<b>Held for Trading</b>				
Common Stock	154,376,817	–	–	154,376,817
<b>Total</b>	<b>154,376,817</b>	<b>–</b>	<b>–</b>	<b>154,376,817</b>

##### First Trust Eurozone AlphaDEX® UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 31 December 2020

	Level 1 €	Level 2 €	Level 3 €	Total €
<b>Held for Trading</b>				
Common Stock	130,236,588	–	–	130,236,588
<b>Total</b>	<b>130,236,588</b>	<b>–</b>	<b>–</b>	<b>130,236,588</b>

##### First Trust Indxx NextG UCITS ETF<sup>1</sup>

##### Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021

	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	7,656,421	–	–	7,656,421
<b>Total</b>	<b>7,656,421</b>	<b>–</b>	<b>–</b>	<b>7,656,421</b>



# First Trust Global Funds plc

## NOTES TO THE FINANCIAL STATEMENTS (continued)

### For the financial period ended 30 June 2021 (Unaudited)

#### 4. Financial Assets and Liabilities at Fair Value through Profit or Loss (continued)

##### First Trust Indxx NextG UCITS ETF<sup>1</sup>

##### Financial Assets at Fair Value through Profit or Loss

As at 31 December 2020

	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	1,206,296	–	–	1,206,296
<b>Total</b>	<b>1,206,296</b>	<b>–</b>	<b>–</b>	<b>1,206,296</b>

##### First Trust US Equity Opportunities UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021

	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	16,538,219	–	–	16,538,219
<b>Total</b>	<b>16,538,219</b>	<b>–</b>	<b>–</b>	<b>16,538,219</b>

##### First Trust US Equity Opportunities UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 31 December 2020

	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	13,150,445	–	–	13,150,445
<b>Total</b>	<b>13,150,445</b>	<b>–</b>	<b>–</b>	<b>13,150,445</b>

##### First Trust Global Equity Income UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021

	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	23,465,715	–	–	23,465,715
Corporate Bonds	–	43	–	43
<b>Total</b>	<b>23,465,715</b>	<b>43</b>	<b>–</b>	<b>23,465,758</b>

##### First Trust Global Equity Income UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 31 December 2020

	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	21,515,629	–	–	21,515,629
<b>Total</b>	<b>21,515,629</b>	<b>–</b>	<b>–</b>	<b>21,515,629</b>

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**4. Financial Assets and Liabilities at Fair Value through Profit or Loss (continued)**

**First Trust Germany AlphaDEX® UCITS ETF**

**Financial Assets at Fair Value through Profit or Loss**

As at 30 June 2021	Level 1 €	Level 2 €	Level 3 €	Total €
<b>Held for Trading</b>				
Common Stock	3,126,565	–	–	3,126,565
<b>Total</b>	<b>3,126,565</b>	<b>–</b>	<b>–</b>	<b>3,126,565</b>

**First Trust Germany AlphaDEX® UCITS ETF**

**Financial Assets at Fair Value through Profit or Loss**

As at 31 December 2020	Level 1 €	Level 2 €	Level 3 €	Total €
<b>Held for Trading</b>				
Common Stock	2,735,097	–	–	2,735,097
<b>Total</b>	<b>2,735,097</b>	<b>–</b>	<b>–</b>	<b>2,735,097</b>

**First Trust US Equity Income UCITS ETF**

**Financial Assets at Fair Value through Profit or Loss**

As at 30 June 2021	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	147,705,036	–	–	147,705,036
<b>Total</b>	<b>147,705,036</b>	<b>–</b>	<b>–</b>	<b>147,705,036</b>

**First Trust US Equity Income UCITS ETF**

**Financial Assets at Fair Value through Profit or Loss**

As at 31 December 2020	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	65,373,181	–	–	65,373,181
<b>Total</b>	<b>65,373,181</b>	<b>–</b>	<b>–</b>	<b>65,373,181</b>

**First Trust Dow Jones International Internet UCITS ETF<sup>2</sup>**

**Financial Assets at Fair Value through Profit or Loss**

As at 30 June 2021	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	6,053,916	–	–	6,053,916
<b>Total</b>	<b>6,053,916</b>	<b>–</b>	<b>–</b>	<b>6,053,916</b>

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**4. Financial Assets and Liabilities at Fair Value through Profit or Loss (continued)**

**First Trust Dow Jones International Internet UCITS ETF<sup>2</sup>**

**Financial Assets at Fair Value through Profit or Loss**

**As at 31 December 2020**

	<b>Level 1 US\$</b>	<b>Level 2 US\$</b>	<b>Level 3 US\$</b>	<b>Total US\$</b>
<b>Held for Trading</b>				
Common Stock	1,551,310	–	–	1,551,310
<b>Total</b>	<b>1,551,310</b>	<b>–</b>	<b>–</b>	<b>1,551,310</b>

**First Trust Nasdaq<sup>®</sup> Clean Edge<sup>®</sup> Green Energy UCITS ETF<sup>3</sup>**

**Financial Assets at Fair Value through Profit or Loss**

**As at 30 June 2021**

	<b>Level 1 US\$</b>	<b>Level 2 US\$</b>	<b>Level 3 US\$</b>	<b>Total US\$</b>
<b>Held for Trading</b>				
Common Stock	18,689,662	–	–	18,689,662
<b>Total</b>	<b>18,689,662</b>	<b>–</b>	<b>–</b>	<b>18,689,662</b>

**First Trust Nasdaq<sup>®</sup> Clean Edge<sup>®</sup> Green Energy UCITS ETF<sup>3</sup>**

**Financial Assets at Fair Value through Profit or Loss**

**As at 31 December 2020**

	<b>Level 1 US\$</b>	<b>Level 2 US\$</b>	<b>Level 3 US\$</b>	<b>Total US\$</b>
<b>Held for Trading</b>				
Common Stock	1,462,938	–	–	1,462,938
<b>Total</b>	<b>1,462,938</b>	<b>–</b>	<b>–</b>	<b>1,462,938</b>

**First Trust FactorFX UCITS ETF**

**Financial Assets at Fair Value through Profit or Loss**

**As at 30 June 2021**

	<b>Level 1 US\$</b>	<b>Level 2 US\$</b>	<b>Level 3 US\$</b>	<b>Total US\$</b>
<b>Held for Trading</b>				
Forward Currency Contracts	–	85,314	–	85,314
Treasury Notes/Bonds	5,576,984	2,558,756	–	8,135,740
<b>Total</b>	<b>5,576,984</b>	<b>2,644,070</b>	<b>–</b>	<b>8,221,054</b>

**Financial Liabilities at Fair Value through Profit or Loss**

**As at 30 June 2021**

	<b>Level 1 US\$</b>	<b>Level 2 US\$</b>	<b>Level 3 US\$</b>	<b>Total US\$</b>
<b>Held for Trading</b>				
Forward Currency Contracts	–	(131,405)	–	(131,405)
<b>Total</b>	<b>–</b>	<b>(131,405)</b>	<b>–</b>	<b>(131,405)</b>

# First Trust Global Funds plc

## NOTES TO THE FINANCIAL STATEMENTS (continued)

### For the financial period ended 30 June 2021 (Unaudited)

#### 4. Financial Assets and Liabilities at Fair Value through Profit or Loss (continued)

##### First Trust FactorFX UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 31 December 2020	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Forward Currency Contracts	–	302,469	–	302,469
Treasury Notes/Bonds	5,740,177	1,896,243	–	7,636,420
<b>Total</b>	<b>5,740,177</b>	<b>2,198,712</b>	<b>–</b>	<b>7,938,889</b>

##### Financial Liabilities at Fair Value through Profit or Loss

As at 31 December 2020	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Forward Currency Contracts	–	(21,197)	–	(21,197)
<b>Total</b>	<b>–</b>	<b>(21,197)</b>	<b>–</b>	<b>(21,197)</b>

##### First Trust Indxx Innovative Transaction & Process UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	108,353,375	–	–	108,353,375
<b>Total</b>	<b>108,353,375</b>	<b>–</b>	<b>–</b>	<b>108,353,375</b>

##### First Trust Indxx Innovative Transaction & Process UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 31 December 2020	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	54,872,821	–	–	54,872,821
<b>Total</b>	<b>54,872,821</b>	<b>–</b>	<b>–</b>	<b>54,872,821</b>

##### First Trust Dow Jones Internet UCITS ETF

##### Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	40,733,058	–	–	40,733,058
<b>Total</b>	<b>40,733,058</b>	<b>–</b>	<b>–</b>	<b>40,733,058</b>

# First Trust Global Funds plc

## NOTES TO THE FINANCIAL STATEMENTS (continued)

### For the financial period ended 30 June 2021 (Unaudited)

#### 4. Financial Assets and Liabilities at Fair Value through Profit or Loss (continued)

##### First Trust Dow Jones Internet UCITS ETF

###### Financial Assets at Fair Value through Profit or Loss

As at 31 December 2020

	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	30,857,896	–	–	30,857,896
<b>Total</b>	<b>30,857,896</b>	<b>–</b>	<b>–</b>	<b>30,857,896</b>

##### First Trust Cloud Computing UCITS ETF

###### Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021

	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	643,711,924	–	–	643,711,924
<b>Total</b>	<b>643,711,924</b>	<b>–</b>	<b>–</b>	<b>643,711,924</b>

##### First Trust Cloud Computing UCITS ETF

###### Financial Assets at Fair Value through Profit or Loss

As at 31 December 2020

	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	518,842,695	–	–	518,842,695
<b>Total</b>	<b>518,842,695</b>	<b>–</b>	<b>–</b>	<b>518,842,695</b>

##### First Trust Low Duration Global Government Bond UCITS ETF

###### Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021

	Level 1 €	Level 2 €	Level 3 €	Total €
<b>Held for Trading</b>				
Forward Currency Contracts	–	85,615	–	85,615
Open Futures Contracts	4,412	–	–	4,412
Treasury Notes/Bonds	1,731,765	23,368,625	–	25,100,390
<b>Total</b>	<b>1,736,177</b>	<b>23,454,240</b>	<b>–</b>	<b>25,190,417</b>

###### Financial Liabilities at Fair Value through Profit or Loss

As at 30 June 2021

	Level 1 €	Level 2 €	Level 3 €	Total €
<b>Held for Trading</b>				
Forward Currency Contracts	–	(278,920)	–	(278,920)
Open Futures Contracts	(24,072)	–	–	(24,072)
<b>Total</b>	<b>(24,072)</b>	<b>(278,920)</b>	<b>–</b>	<b>(302,992)</b>

NOTES TO THE FINANCIAL STATEMENTS (continued)

For the financial period ended 30 June 2021 (Unaudited)

4. Financial Assets and Liabilities at Fair Value through Profit or Loss (continued)

First Trust Low Duration Global Government Bond UCITS ETF

Financial Assets at Fair Value through Profit or Loss

As at 31 December 2020	Level 1 €	Level 2 €	Level 3 €	Total €
<b>Held for Trading</b>				
Forward Currency Contracts	–	127,810	–	127,810
Open Futures Contracts	10,923	–	–	10,923
Treasury Notes/Bonds	6,477,935	20,486,611	–	26,964,546
<b>Total</b>	<b>6,488,858</b>	<b>20,614,421</b>	<b>–</b>	<b>27,103,279</b>

Financial Liabilities at Fair Value through Profit or Loss

As at 31 December 2020	Level 1 €	Level 2 €	Level 3 €	Total €
<b>Held for Trading</b>				
Forward Currency Contracts	–	(198,119)	–	(198,119)
Open Futures Contracts	(25,598)	–	–	(25,598)
<b>Total</b>	<b>(25,598)</b>	<b>(198,119)</b>	<b>–</b>	<b>(223,717)</b>

First Trust Capital Strength UCITS ETF

Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	10,035,479	–	–	10,035,479
<b>Total</b>	<b>10,035,479</b>	<b>–</b>	<b>–</b>	<b>10,035,479</b>

First Trust Capital Strength UCITS ETF<sup>4</sup>

Financial Assets at Fair Value through Profit or Loss

As at 31 December 2020	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	6,695,166	–	–	6,695,166
<b>Total</b>	<b>6,695,166</b>	<b>–</b>	<b>–</b>	<b>6,695,166</b>

First Trust Value Line® Dividend Index UCITS ETF

Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	8,889,751	–	–	8,889,751
<b>Total</b>	<b>8,889,751</b>	<b>–</b>	<b>–</b>	<b>8,889,751</b>

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**4. Financial Assets and Liabilities at Fair Value through Profit or Loss (continued)**

**First Trust Value Line® Dividend Index UCITS ETF<sup>5</sup>**

**Financial Assets at Fair Value through Profit or Loss**

**As at 31 December 2020**

	<b>Level 1 US\$</b>	<b>Level 2 US\$</b>	<b>Level 3 US\$</b>	<b>Total US\$</b>
<b>Held for Trading</b>				
Common Stock	7,779,616	–	–	7,779,616
<b>Total</b>	<b>7,779,616</b>	<b>–</b>	<b>–</b>	<b>7,779,616</b>

**First Trust NYSE Arca Biotechnology UCITS ETF**

**Financial Assets at Fair Value through Profit or Loss**

**As at 30 June 2021**

	<b>Level 1 US\$</b>	<b>Level 2 US\$</b>	<b>Level 3 US\$</b>	<b>Total US\$</b>
<b>Held for Trading</b>				
Common Stock	13,711,676	–	–	13,711,676
<b>Total</b>	<b>13,711,676</b>	<b>–</b>	<b>–</b>	<b>13,711,676</b>

**First Trust NYSE Arca Biotechnology UCITS ETF<sup>6</sup>**

**Financial Assets at Fair Value through Profit or Loss**

**As at 31 December 2020**

	<b>Level 1 US\$</b>	<b>Level 2 US\$</b>	<b>Level 3 US\$</b>	<b>Total US\$</b>
<b>Held for Trading</b>				
Common Stock	11,293,832	–	–	11,293,832
<b>Total</b>	<b>11,293,832</b>	<b>–</b>	<b>–</b>	<b>11,293,832</b>

**First Trust Nasdaq Cybersecurity UCITS ETF**

**Financial Assets at Fair Value through Profit or Loss**

**As at 30 June 2021**

	<b>Level 1 US\$</b>	<b>Level 2 US\$</b>	<b>Level 3 US\$</b>	<b>Total US\$</b>
<b>Held for Trading</b>				
Common Stock	190,955,476	–	–	190,955,476
<b>Total</b>	<b>190,955,476</b>	<b>–</b>	<b>–</b>	<b>190,955,476</b>

**First Trust Nasdaq Cybersecurity UCITS ETF<sup>7</sup>**

**Financial Assets at Fair Value through Profit or Loss**

**As at 31 December 2020**

	<b>Level 1 US\$</b>	<b>Level 2 US\$</b>	<b>Level 3 US\$</b>	<b>Total US\$</b>
<b>Held for Trading</b>				
Common Stock	62,634,866	–	–	62,634,866
<b>Total</b>	<b>62,634,866</b>	<b>–</b>	<b>–</b>	<b>62,634,866</b>

## NOTES TO THE FINANCIAL STATEMENTS (continued)

### For the financial period ended 30 June 2021 (Unaudited)

#### 4. Financial Assets and Liabilities at Fair Value through Profit or Loss (continued)

##### First Trust Strategic Metal and Energy Equity UCITS Fund<sup>8</sup>

##### Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	630,032	–	–	630,032
<b>Total</b>	<b>630,032</b>	<b>–</b>	<b>–</b>	<b>630,032</b>

##### First Trust Global Capital Strength ESG Leaders UCITS ETF<sup>9</sup>

##### Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021	Level 1 US\$	Level 2 US\$	Level 3 US\$	Total US\$
<b>Held for Trading</b>				
Common Stock	3,368,283	–	–	3,368,283
<b>Total</b>	<b>3,368,283</b>	<b>–</b>	<b>–</b>	<b>3,368,283</b>

##### First Trust IPOX<sup>®</sup> Europe Equity Opportunities UCITS ETF<sup>10</sup>

##### Financial Assets at Fair Value through Profit or Loss

As at 30 June 2021	Level 1 €	Level 2 €	Level 3 €	Total €
<b>Held for Trading</b>				
Common Stock	2,018,455	–	–	2,018,455
<b>Total</b>	<b>2,018,455</b>	<b>–</b>	<b>–</b>	<b>2,018,455</b>

<sup>1</sup> First Trust Japan AlphaDEX<sup>®</sup> UCITS ETF changed its name to First Trust Indxx NextG UCITS ETF and its functional currency changed from Japanese Yen to US Dollar on 1 February 2021.

<sup>2</sup> First Trust Switzerland AlphaDEX<sup>®</sup> UCITS ETF changed its name to First Trust Dow Jones International Internet UCITS ETF and its functional currency changed from Swiss Franc to US Dollar on 1 February 2021.

<sup>3</sup> First Trust US Small Cap Core AlphaDEX<sup>®</sup> UCITS ETF changed its name to First Trust Nasdaq<sup>®</sup> Clean Edge<sup>®</sup> Green Energy UCITS ETF on 1 February 2021.

<sup>4</sup> First Trust Capital Strength UCITS ETF was launched on 27 January 2020.

<sup>5</sup> First Trust Value Line<sup>®</sup> Dividend Index UCITS ETF was launched on 12 February 2020.

<sup>6</sup> First Trust NYSE Arca Biotechnology UCITS ETF was launched on 27 May 2020.

<sup>7</sup> First Trust Nasdaq Cybersecurity UCITS ETF was launched on 27 May 2020.

<sup>8</sup> First Trust Strategic Metal and Energy Equity UCITS Fund was launched on 8 February 2021.

<sup>9</sup> First Trust Global Capital Strength ESG Leaders UCITS ETF was launched on 9 March 2021.

<sup>10</sup> First Trust IPOX<sup>®</sup> Europe Equity Opportunities UCITS ETF was launched on 21 June 2021.

There were no financial liabilities at fair value through profit or loss for the US Large Cap ETF, Emerging Markets ETF, UK ETF, Eurozone ETF, Indxx NextG ETF, US Equity Opportunities ETF, Global ETF, Germany ETF, US Equity ETF, International Internet ETF, Clean Edge ETF, Indxx ETF, Dow Jones ETF, Cloud Computing ETF, Capital Strength ETF, Value Line Dividend ETF, Biotechnology ETF, Cybersecurity ETF, Strategic UCITS Fund, ESG Leaders ETF and IPOX Europe ETF at 30 June 2021.

There were no financial liabilities at fair value through profit or loss for the US Large Cap ETF, Emerging Markets ETF, UK ETF, Eurozone ETF, Indxx NextG ETF, US Equity Opportunities ETF, Global ETF, Germany ETF, US Equity ETF, International Internet ETF, Clean Edge ETF, Indxx ETF, Dow Jones ETF, Cloud Computing ETF, Capital Strength ETF, Value Line Dividend ETF, Biotechnology ETF and Cybersecurity ETF at 31 December 2020.



## NOTES TO THE FINANCIAL STATEMENTS (continued)

For the financial period ended 30 June 2021 (Unaudited)

## 5. Accrued Expenses

As at 30 June 2021

	First Trust US Large Cap Core AlphaDEX® UCITS ETF	First Trust Emerging Markets AlphaDEX® UCITS ETF	First Trust United Kingdom AlphaDEX® UCITS ETF	First Trust Eurozone AlphaDEX® UCITS ETF
	US\$	US\$	£	€
Management fees	52,415	18,613	7,899	84,115
<b>Total accrued expenses</b>	<b>52,415</b>	<b>18,613</b>	<b>7,899</b>	<b>84,115</b>

As at 30 June 2021

	First Trust Indxx NextG UCITS ETF¹	First Trust US Equity Opportunities UCITS ETF	First Trust Global Equity Income UCITS ETF	First Trust Germany AlphaDEX® UCITS ETF
	US\$	US\$	US\$	€
Management fees	3,160	8,580	11,989	1,693
<b>Total accrued expenses</b>	<b>3,160</b>	<b>8,580</b>	<b>11,989</b>	<b>1,693</b>

As at 30 June 2021

	First Trust US Equity Income UCITS ETF	First Trust Dow Jones International Internet UCITS ETF²	First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF³	First Trust FactorFX UCITS ETF
	US\$	US\$	US\$	US\$
Management fees	65,649	2,797	8,152	5,430
<b>Total accrued expenses</b>	<b>65,649</b>	<b>2,797</b>	<b>8,152</b>	<b>5,430</b>

As at 30 June 2021

	First Trust Indxx Innovative Transaction & Process UCITS ETF	First Trust Dow Jones Internet UCITS ETF	First Trust Cloud Computing UCITS ETF	First Trust Low Duration Global Government Bond UCITS ETF
	US\$	US\$	US\$	€
Management fees	52,757	17,537	309,427	9,600
<b>Total accrued expenses</b>	<b>52,757</b>	<b>17,537</b>	<b>309,427</b>	<b>9,600</b>

NOTES TO THE FINANCIAL STATEMENTS (continued)

For the financial period ended 30 June 2021 (Unaudited)

5. Accrued Expenses (continued)

As at 30 June 2021

	First Trust Capital Strength UCITS ETF	First Trust Value Line® Dividend Index UCITS ETF	First Trust NYSE Arca Biotechnology UCITS ETF	First Trust Nasdaq Cybersecurity UCITS ETF
	US\$	US\$	US\$	US\$
Management fees	4,559	5,168	7,171	90,969
<b>Total accrued expenses</b>	<b>4,559</b>	<b>5,168</b>	<b>7,171</b>	<b>90,969</b>

As at 30 June 2021

	First Trust Strategic Metal and Energy Equity UCITS Fund <sup>4</sup>	First Trust Global Capital Strength ESG Leaders UCITS ETF <sup>5</sup>	First Trust IPOX® Europe Equity Opportunities UCITS ETF <sup>6</sup>	Total
	US\$	US\$	€	€
Management fees	343	2,074	287	667,163
Administration fees	1,142	—	—	963
Depositary fees	80	—	—	67
Directors' fees	590	—	—	498
Audit fees	2,748	—	—	2,317
Professional fees	2,633	—	—	2,221
Other expenses	616	—	—	519
<b>Total accrued expenses</b>	<b>8,152</b>	<b>2,074</b>	<b>287</b>	<b>673,748</b>

<sup>1</sup> First Trust Japan AlphaDEX® UCITS ETF changed its name to First Trust Indxx NextG UCITS ETF and its functional currency changed from Japanese Yen to US Dollar on 1 February 2021.

<sup>2</sup> First Trust Switzerland AlphaDEX® UCITS ETF changed its name to First Trust Dow Jones International Internet UCITS ETF and its functional currency changed from Swiss Franc to US Dollar on 1 February 2021.

<sup>3</sup> First Trust US Small Cap Core AlphaDEX® UCITS ETF changed its name to First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF on 1 February 2021.

<sup>4</sup> First Trust Strategic Metal and Energy UCITS ETF was launched on 8 February 2021.

<sup>5</sup> First Trust Global Capital Strength ESG Leaders UCITS ETF was launched on 9 March 2021.

<sup>6</sup> First Trust IPOX® Europe Equity Opportunities UCITS ETF was launched on 21 June 2021.

NOTES TO THE FINANCIAL STATEMENTS (continued)

For the financial period ended 30 June 2021 (Unaudited)

5. Accrued Expenses (continued)

As at 31 December 2020

	First Trust US Large Cap Core AlphaDEX® UCITS ETF	First Trust Emerging Markets AlphaDEX® UCITS ETF	First Trust United Kingdom AlphaDEX® UCITS ETF	First Trust Eurozone AlphaDEX® UCITS ETF
	US\$	US\$	£	€
Management fees	50,242	25,471	9,640	72,950
<b>Total accrued expenses</b>	<b>50,242</b>	<b>25,471</b>	<b>9,640</b>	<b>72,950</b>

As at 31 December 2020

	First Trust Indxx NextG UCITS ETF¹	First Trust US Equity Opportunities UCITS ETF	First Trust Global Equity Income UCITS ETF	First Trust Germany AlphaDEX® UCITS ETF
	US\$	US\$	US\$	€
Management fees	717	7,334	11,612	1,477
<b>Total accrued expenses</b>	<b>717</b>	<b>7,334</b>	<b>11,612</b>	<b>1,477</b>

As at 31 December 2020

	First Trust US Equity Income UCITS ETF	First Trust Dow Jones International Internet UCITS ETF²	First Trust North American Energy Infrastructure Income UCITS Fund³	First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF⁴
	US\$	US\$	US\$	US\$
Management fees	30,965	829	–	912
<b>Total accrued expenses</b>	<b>30,965</b>	<b>829</b>	<b>–</b>	<b>912</b>

As at 31 December 2020

	First Trust FactorFX UCITS ETF	First Trust Indxx Innovative Transaction & Process UCITS ETF	First Trust Dow Jones Internet UCITS ETF	First Trust Cloud Computing UCITS ETF
	US\$	US\$	US\$	US\$
Management fees	5,565	29,352	14,752	259,977
<b>Total accrued expenses</b>	<b>5,565</b>	<b>29,352</b>	<b>14,752</b>	<b>259,977</b>

## NOTES TO THE FINANCIAL STATEMENTS (continued)

For the financial period ended 30 June 2021 (Unaudited)

### 5. Accrued Expenses (continued)

As at 31 December 2020

	First Trust Low Duration Global Government Bond UCITS ETF	First Trust Capital Strength UCITS ETF <sup>5</sup>	First Trust Value Line® Dividend Index UCITS ETF <sup>6</sup>	First Trust NYSE Arca Biotechnology UCITS ETF <sup>7</sup>
	€	US\$	US\$	US\$
Management fees	9,644	3,363	4,586	4,431
<b>Total accrued expenses</b>	<b>9,644</b>	<b>3,363</b>	<b>4,586</b>	<b>4,431</b>

As at 31 December 2020

	First Trust Nasdaq Cybersecurity UCITS ETF <sup>8</sup>	Total
	US\$	€
Management fees	29,487	486,811
<b>Total accrued expenses</b>	<b>29,487</b>	<b>486,811</b>

<sup>1</sup> First Trust Japan AlphaDEX® UCITS ETF changed its name to First Trust Indxx NextG UCITS ETF and its functional currency changed from Japanese Yen to US Dollar on 1 February 2021.

<sup>2</sup> First Trust Switzerland AlphaDEX® UCITS ETF changed its name to First Trust Dow Jones International Internet UCITS ETF and its functional currency changed from Swiss Franc to US Dollar on 1 February 2021.

<sup>3</sup> First Trust North American Energy Infrastructure Income UCITS Fund was terminated on 22 July 2020.

<sup>4</sup> First Trust US Small Cap Core AlphaDEX® UCITS ETF changed its name to First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF on 1 February 2021.

<sup>5</sup> First Trust Capital Strength UCITS ETF was launched on 27 January 2020.

<sup>6</sup> First Trust Value Line® Dividend Index UCITS ETF was launched on 12 February 2020.

<sup>7</sup> First Trust NYSE Arca Biotechnology UCITS ETF was launched on 27 May 2020.

<sup>8</sup> First Trust Nasdaq Cybersecurity UCITS ETF was launched on 27 May 2020.

### 6. Efficient Portfolio Management

The Company may, on behalf of the Funds and subject to the conditions and within the limits laid down by the Central Bank, employ techniques and instruments relating to transferable securities for efficient portfolio management purposes as described in the prospectus.

Transactions for the purposes of efficient portfolio management may be undertaken with a view to achieving a reduction in risk, a reduction in costs or an increase in capital or income returns to a Fund and may not be speculative in nature. These techniques and instruments may include investments in currency related transactions such as forward currency contracts, options on currencies, futures and swap agreements in order to hedge against certain currency risks.

The Central Bank requires that all UCITS that use financial derivative instruments employ a risk management process which enables it to accurately manage, measure and monitor the various risks associated with financial derivative instruments. This is documented in the Company's risk management process which is a document filed with the Central Bank (the "Risk Management Process").

The revenue arising from the efficient portfolio management techniques consists of revenues from stock lending, repurchase and reverse repurchase arrangement interest income (disclosed in the Statement of Comprehensive Income) and realised gains/losses on forward foreign currency contracts, options on currencies, futures, Contracts for Differences ("CFDs") and swap agreements (disclosed in the Statement of Comprehensive Income). These revenues are subject to transaction costs which are incremental costs that are directly attributable to the acquisition, issue or disposal of a financial asset or financial liability.

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**6. Efficient Portfolio Management (continued)**

Such techniques and instruments will be utilised in accordance with the requirements of the Central Bank. New techniques and instruments may be developed which may be suitable for use by the Company and the Company (subject as aforesaid) may employ such techniques. Please refer to the Schedules of Investments on pages 87 to 172 for details of the open forward currency contracts and futures contracts held as at 30 June 2021.

**7. Significant Agreements and Operating Expenses**

**A. The Administrator**

The Manager (as defined below) has appointed BNY Mellon Fund Services (Ireland) Designated Activity Company (the “Administrator”) to act as administrator for the Funds and transfer agent for the Strategic UCITS Fund with responsibility for performing the day to day administration of the Company, including the calculation of the NAV and the NAV per share of each Fund. The Company will pay to the Administrator an annual fee up to 0.065% of the average NAV of the Strategic UCITS Fund; subject to a minimum fee of up to US\$75,000 per Fund per annum (with VAT thereon, if applicable). Such fees shall accrue daily and be paid monthly in arrears. Minimum Administrator fees have been waived for three financial years from the launch date of the Funds.

The Manager (as defined below) of the US Large Cap ETF, Emerging Markets ETF, UK ETF, Eurozone ETF, Indxx NextG ETF, US Equity Opportunities ETF, Global ETF, Germany ETF, US Equity ETF, International Internet ETF, Clean Edge ETF, FactorFX ETF, Indxx ETF, Dow Jones ETF, Cloud Computing ETF, Low Duration ETF, Capital Strength ETF, Value Line Dividend ETF, Biotechnology ETF, Cybersecurity ETF, ESG Leaders ETF and IPOX Europe ETF discharges the fees of the Administrator in respect of the relevant Fund.

The Company shall pay fixed transaction fees to the Administrator in respect of all Funds which shall be charged at normal commercial rates. In addition, the Administrator shall be entitled to be reimbursed its reasonable vouched out-of-pocket expenses.

**B. The Manager**

First Trust Global Portfolios Management Limited has been appointed as Manager of the Company (the “Manager”) pursuant to the Management Agreement. Under the terms of the Management Agreement, the Manager has responsibility for the management and administration of the Company affairs, subject to the overall supervision and control of the Directors. The Management Agreement between the Company and the Manager became effective on the 25 September 2019.

The Manager was incorporated as a private company limited by shares in Ireland under the Companies Act, under registration number 637071 on 6 November 2018 and is authorised by the Central Bank to act as a UCITS management company pursuant to the UCITS Regulations. The Manager’s main business is the provision of fund management services to UCITS. The Manager is a wholly owned subsidiary of First Trust Global Enterprises L.P. which is a limited partnership established under the laws of the State of Illinois in the United States of America.

The Manager is managed and supervised by its Board. The Board oversees the general management and conduct of all aspects of the Company’s business, including its compliance with its obligations under the UCITS Regulations, the Central Bank Regulations and the Central Bank’s Fund Management Company Guidance.

The Directors of the Manager are also the Directors of the Company. Under the Management Agreement, the Company will pay to the Manager in respect of each Fund, a management fee in the amount specified in the table below and overleaf, which shall be calculated and accrue daily and be payable monthly in arrears. The Company paid the equivalent of these fees to the Investment Manager prior to 25 September 2019 i.e. before the Management Agreement between the Company and the Manager became effective.

For the ETF Funds, the Manager is responsible for discharging all operational expenses, including but not limited to, fees and expenses of the Investment Manager, Depositary, Administrator, Distributor, Registrar and Directors, the costs of maintaining the Funds and any registration of the Funds with any governmental or regulatory authority; preparation, printing and posting of prospectuses, sales literature and reports to shareholders, regulatory fees of the Central Bank or stock exchange and other governmental agencies; marketing expenses; insurance premiums; fees and expenses for legal, audit and other services; paying for sub-licensing fees related to each Fund’s Index (where relevant) and any distribution fees or expenses but excluding interest, taxes, brokerage commissions and other expenses connected with execution of portfolio transactions, and extraordinary expenses.

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**7. Significant Agreements and Operating Expenses (continued)**

**B. The Manager (continued)**

<b>First Trust US Large Cap Core AlphaDEX® UCITS ETF</b>	<b>Management Fee as a Percentage of NAV of each Class</b>
Class A	0.75%
Class B	0.75%
<b>First Trust Emerging Markets AlphaDEX® UCITS ETF</b>	
Class A	0.80%
<b>First Trust United Kingdom AlphaDEX® UCITS ETF</b>	
Class A	0.75%
Class B	0.75%
<b>First Trust Eurozone AlphaDEX® UCITS ETF</b>	
Class A	0.75%
Class B	0.75%
<b>First Trust Indxx NextG UCITS ETF<sup>1</sup></b>	
Class A	0.70%
<b>First Trust US Equity Opportunities UCITS ETF</b>	
Class A	0.65%
<b>First Trust Global Equity Income UCITS ETF</b>	
Class A	0.70%
Class B	0.70%
<b>First Trust Germany AlphaDEX® UCITS ETF</b>	
Class A	0.75%
<b>First Trust US Equity Income UCITS ETF</b>	
Class A	0.65%
Class B	0.65%
<b>First Trust Dow Jones International Internet UCITS ETF<sup>2</sup></b>	
Class A	0.65%
<b>First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF<sup>3</sup></b>	
Class A	0.60%
<b>First Trust FactorFX UCITS ETF</b>	
Class A	0.75%
Class B GBP (Hedged)	0.75%
Class C EUR (Hedged)	0.75%
<b>First Trust Indxx Innovative Transaction &amp; Process UCITS ETF</b>	
Class A	0.65%
<b>First Trust Dow Jones Internet UCITS ETF</b>	
Class A	0.55%

NOTES TO THE FINANCIAL STATEMENTS (continued)

For the financial period ended 30 June 2021 (Unaudited)

7. Significant Agreements and Operating Expenses (continued)

B. The Manager (continued)

<b>First Cloud Computing UCITS ETF</b>	
Class A	0.60%
<b>First Trust Low Duration Global Government Bond UCITS ETF</b>	
Class B GBP (Hedged)	0.55%
Class C	0.55%
<b>First Trust Capital Strength UCITS ETF</b>	
Class A	0.60%
<b>First Trust Value Line® Dividend Index UCITS ETF</b>	
Class A	0.70%
<b>First Trust NYSE Arca Biotechnology UCITS ETF</b>	
Class A	0.60%
<b>First Trust Nasdaq Cybersecurity UCITS ETF</b>	
Class A	0.60%
<b>First Trust Strategic Metal and Energy Equity UCITS Fund<sup>4</sup></b>	
Class A	0.60%
<b>First Trust Global Capital Strength ESG Leaders UCITS ETF<sup>5</sup></b>	
Class A	0.75%
<b>First Trust IPOX® Europe Equity Opportunities UCITS ETF<sup>6</sup></b>	
Class A	0.65%

<sup>1</sup> First Trust Japan AlphaDEX® UCITS ETF changed its name to First Trust Indxx NextG UCITS ETF and its functional currency changed from Japanese Yen to US Dollar on 1 February 2021.

<sup>2</sup> First Trust Switzerland AlphaDEX® UCITS ETF changed its name to First Trust Dow Jones International Internet UCITS ETF and its functional currency changed from Swiss Franc to US Dollar on 1 February 2021.

<sup>3</sup> First Trust US Small Cap Core AlphaDEX® UCITS ETF changed its name to as First Trust Nasdaq Clean® Edge Green® Energy UCITS ETF as on 1 February 2021.

<sup>4</sup> First Trust Strategic Metal and Energy Equity UCITS Fund was launched on 8 February 2021.

<sup>5</sup> First Trust Global Capital Strength ESG Leaders UCITS ETF was launched on 9 March 2021.

<sup>6</sup> First Trust IPOX® Europe Equity Opportunities UCITS ETF was launched on 21 June 2021.

The Manager will receive from US Large Cap ETF, Emerging Markets ETF, UK ETF, Eurozone ETF, Indxx NextG ETF, US Equity Opportunities ETF, Global ETF, Germany ETF, US Equity ETF, International Internet ETF, Clean Edge ETF, FactorFX ETF, Indxx ETF, Dow Jones ETF, Cloud Computing ETF, Low Duration ETF, Capital Strength ETF, Value Line Dividend ETF, Biotechnology ETF, Cybersecurity ETF, Strategic UCITS Fund, ESG Leaders ETF and IPOX Europe ETF an annual management fee as disclosed in the table above based on each Fund's average daily net assets.

With respect to the US Large Cap ETF, UK ETF, Eurozone ETF, Global ETF, Germany ETF, US Equity ETF and Low Duration ETF there is a further 0.10% fee waiver from the annual management fees which shall expire on 18 May 2022.

In the event that a Fund's operational, establishment and/or registration expenses combined exceed the stated management fees, the Manager shall discharge any excess out of its own assets.

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**7. Significant Agreements and Operating Expenses (continued)**

**C. The Investment Manager**

The Manager has appointed First Trust Advisors L.P. (the “Investment Manager”) to act as Investment Manager for the Funds. The Investment Manager is a limited partnership with one limited partner, Grace Partners of DuPage L.P., and one general partner, The Charger Corporation.

Under the investment management agreement, the Manager will pay to the relevant Investment Manager an investment management fee, which shall be calculated and accrue daily and be payable monthly in arrears.

In addition, the Investment Manager shall be entitled to be reimbursed its reasonable vouched out-of-pocket expenses.

Out of the investment management fee, the respective Investment Manager/Sub-Investment Manager shall discharge the fees and reasonable out-of-pocket expenses of First Trust Global Portfolios Limited and First Trust Portfolios L.P. as distributors of the Company.

The management fees charged to the NAEII UCITS Fund (terminated on 22 July 2020), in respect of its investment in underlying investment funds, for the financial period amounted to €Nil (30 June 2020: €236).

**D. The Sub-Investment Manager**

The Manager has appointed First Trust Global Portfolios Limited as a Sub-Investment Manager of the FactorFX ETF and the Low Duration ETF.

The Sub-Investment Manager shall be liable to either the FactorFX ETF or the Low Duration ETF and the Investment Manager for any losses, liabilities, actions, proceedings, claims, costs and expenses (“Losses”) sustained by reason of its negligence, fraud, bad faith, reckless disregard or wilful default in respect of its obligations and duties under the sub-investment management agreements. The Investment Manager shall pay the fees and expenses of the Sub-Investment Manager out of its own fees.

First Trust Global Enterprises L.P., an affiliate of First Trust, has a 97% ownership interest in First Trust Global Portfolios Limited the Sub-Investment Manager of the FactorFX ETF and the Low Duration ETF.

**E. Performance Fees**

No performance fees are payable in respect of the Funds.

**F. The Depositary**

The Manager has appointed The Bank of New York Mellon SA/NV, Dublin Branch (the “Depositary”) to act as the Depositary to the Company.

The Depositary receives a fiduciary fee for its services in respect of the Strategic UCITS Fund at a rate of up to 0.025% per annum of the average NAV of the Fund, subject to a minimum fee of up to US\$17,500 per Fund per annum (plus VAT, if any). The fee shall accrue daily and be calculated and payable monthly in arrears. Minimum Depositary fees have been waived for three financial years from the launch date.

The Depositary shall also be entitled to receive transaction charges and sub-custodian fees which shall be charged at normal commercial rates. The Depositary is also entitled to reimbursement of its reasonable vouched out-of-pocket expenses.

The Manager will discharge the fees of the Depositary in respect of the US Large Cap ETF, Emerging Markets ETF, UK ETF, Eurozone ETF, Indxx NextG ETF, US Equity Opportunities ETF, Global ETF, Germany ETF, US Equity ETF, International Internet ETF, Clean Edge ETF, FactorFX ETF, Indxx ETF, Dow Jones ETF, Cloud Computing ETF, Low Duration ETF, Capital Strength ETF, Value Line Dividend ETF, Biotechnology ETF, Cybersecurity ETF, ESG Leaders ETF and IPOX Europe ETF.



**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**7. Significant Agreements and Operating Expenses (continued)**

**G. Expense Cap**

The Manager has voluntarily undertaken to limit the aggregate annual operating expenses of the Strategic UCITS Fund for the financial period to: 0.75% of the average daily NAV of the Class A share class, excluding any performance fee, interest, taxes, brokerage fees and extraordinary expenses. The expenses above the cap as set out in the Statements of Comprehensive Income represent the expenses that the Manager has undertaken to discharge in order to meet the limits set out above. The expense cap shall remain in effect at least until 18 May 2022.

**H. Other Fees**

The Manager will discharge the fees and expenses of the registrar of the Funds. In addition to the fees and expenses the Funds incur directly, fees will also be payable by any investment funds in which the Funds invest.

**8. Related Party and Connected Persons Transactions**

**Director Relationships**

Mr. James A. Bowen is the Chief Executive Officer of First Trust Portfolios L.P. and its affiliate, First Trust Advisors L.P., which is the Investment Manager of the Company.

Mr. Andy Roggensack is President of First Trust Portfolios L.P. and its affiliate, First Trust Advisors L.P., which is the Investment Manager of the Company.

Mr. David G. McGarel is Chief Investment Officer and Chief Operating Officer of First Trust Portfolios L.P. and its affiliate, First Trust Advisors L.P., which is the Investment Manager of the Company.

Ms. Bronwyn Wright, Mr. Michael Boyce and Mr. Tom Coghlan are independent non-executive Directors to the Company.

The Directors shall be entitled to be paid a fee from the assets of the Company by way of remuneration for their services at a rate to be determined from time to time by the Directors and notified to the shareholders from time to time by the Directors, provided that the aggregate amount of each Directors' remuneration in any one year shall not exceed €20,000 or other such maximum amount as may be determined by the Directors, and disclosed in the prospectuses or the Company's annual or interim financial statements. The Directors will be entitled to be reimbursed by the Company for all reasonable disbursements and out-of-pocket expenses incurred by them. During the financial period ended 30 June 2021, Directors' fees of €30,000 (30 June 2020: €30,000) were paid by the Investment Manager for the Company to the independent Directors. The Directors who held office at 30 June 2021 and their connected persons and the Company Secretary had no interest in the shares of the Company at that date or at 1 January 2021 or at any time during the Reporting Period. Mr. James A. Bowen, Mr. Andy Roggensack and Mr. David G. McGarel are employees of the Investment Manager and do not receive a fee for their services as Directors.

Fees paid to First Trust Global Portfolios Management Limited in respect of the financial period ended 30 June 2021 were €3,573,295 (30 June 2020: €1,982,938) of which €667,163 was outstanding as at 30 June 2021 (31 December 2020: €486,811).

The Directors are satisfied that:

(i) in accordance with the Central Bank UCITS Regulations, any transaction carried out between the Company or the Depositary and the delegates or sub-delegates of the Company or the Depositary (excluding any non-group company sub-custodians appointed by the Depositary) and any associated or group company of the Company, Depositary, delegate or sub-delegate ("connected persons") is conducted at arm's length and is in the best interests of the shareholders; and

(ii) there are arrangements, evidenced by written procedures, in place to ensure these obligations are applied to all connected persons transactions and that all transactions with connected persons entered into during the financial period complied with the obligations.

The Directors have documented how the Company has complied with these obligations during the financial period and the rationale for being satisfied that the transactions conform to these requirements.

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**8. Related Party and Connected Persons Transactions (continued)**

**Director Relationships (continued)**

Although not deemed to be related parties under FRS 102 as they do not exercise significant influence over the activities of the Company, Regulation 43 of the Central Bank UCITS Regulations also deems a “Depositary” and its “associated or group companies” to be connected parties to the Company. As such, the Depositary and the Administrator are connected parties to the Company.

During the financial period, €5,830 and €1,756 (30 June 2020: €4,534 and €23,453) fees were earned for provision of depositary and administration services to the Company as disclosed in the Statements of Comprehensive Income. The Administrator was selected by the Company to execute foreign exchange transactions and purchase and sell securities on behalf of the Funds. The terms of these transactions were conducted by the Company at arm’s length and in the best interests of the shareholders of the Company.

**9. Global Exposure**

The Company employs a risk-management process which enables them to monitor and measure at any time the risk of the positions and their contribution to the overall risk profile of the Funds. The Investment Manager/Sub-Investment Manager and the Company will employ, if applicable, a process for accurate and independent assessment of the value of any over-the-counter (“OTC”) derivative instrument. The Investment Manager/Sub-Investment Manager will apply the commitment approach with respect to the determination of the global exposure of the Funds.

**10. Soft Commissions**

The Sub-Investment Manager for the First Trust North American Energy Infrastructure Income UCITS Fund (terminated 22 July 2020), Energy Income Partners, LLC (“EIP”) participated in soft commission arrangements with brokerage firms. The total amount of brokerage commissions paid to brokers during the financial year ending 31 December 2020 US\$4,605. Of the amount of aggregate brokerage commissions paid during the financial year ended 31 December 2020, was US\$269 of such broker commissions were paid by the Fund to brokers who provided research services or brokerage services to EIP and its affiliates. To the extent that the Sub-Investment Manager used soft commissions to pay for research and brokerage services, such use was limited to use for those services that fell within the safe harbour under Section 28(e) of the U.S. Securities Exchange Act of 1934, as amended.

There were no soft commission agreements entered into by the Investment Manager or the Sub-Investment Manager, First Trust Global Portfolios Limited, on behalf of the other Funds during the financial period ended 30 June 2021 and financial year ended 31 December 2020.

**11. Exchange Rates**

The market value of investments and other assets in currencies other than the functional currency of each Fund have been translated at the exchange rate as at the close of business on 30 June 2021 and on 31 December 2020.

As at 30 June 2021, the exchange rates for the Company, Eurozone ETF, Germany ETF, Low Duration ETF and IPOX Europe ETF were as follows:

**30 June 2021**

EUR 1 = AUD 1.579621	EUR 1 = KRW 1,335.496566
EUR 1 = CAD 1.468495	EUR 1 = MXN 23.611769
EUR 1 = CHF 1.096189	EUR 1 = NOK 10.204824
EUR 1 = CLP 863.462615	EUR 1 = NZD 1.697184
EUR 1 = CZK 25.50691	EUR 1 = PEN 4.544939
EUR 1 = DKK 7.436218	EUR 1 = PLN 4.514722
EUR 1 = GBP 0.858443	EUR 1 = RON 4.924457
EUR 1 = HUF 351.028801	EUR 1 = SEK 10.141986
EUR 1 = IDR 17,195.489956	EUR 1 = SGD 1.594085
EUR 1 = ILS 3.864237	EUR 1 = USD 1.185896
EUR 1 = JPY 131.622586	

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**11. Exchange Rates (continued)**

As at 30 June 2021, the exchange rates for US Large Cap ETF, Emerging Markets ETF, Indxx NextG ETF, US Equity Opportunities ETF, Global ETF, US Equity ETF, International Internet ETF, Clean Edge ETF, FactorFX ETF, Indxx ETF, Dow Jones ETF, Cloud Computing ETF, Capital Strength ETF, Value Line Dividend ETF, Biotechnology ETF, Cybersecurity ETF, Strategic UCITS Fund and ESG Leaders ETF were as follows:

**30 June 2021**

USD 1 = AED 3.673169	USD 1 = INR 74.330016
USD 1 = AUD 1.332006	USD 1 = JPY 110.99001
USD 1 = BRL 5.017844	USD 1 = KRW 1,126.150024
USD 1 = CAD 1.238300	USD 1 = MXN 19.910493
USD 1 = CHF 0.924355	USD 1 = MYR 4.151507
USD 1 = CLP 728.110029	USD 1 = NOK 8.605161
USD 1 = CNY 6.461472	USD 1 = NZD 1.431141
USD 1 = COP 3,734.000543	USD 1 = PHP 48.815013
USD 1 = CZK 21.50856	USD 1 = PLN 3.807014
USD 1 = DKK 6.270549	USD 1 = QAR 3.641020
USD 1 = EGP 15.694994	USD 1 = RUB 73.053748
USD 1 = EUR 0.843244	USD 1 = SEK 8.552173
USD 1 = GBP 0.723877	USD 1 = SGD 1.344204
USD 1 = HKD 7.765826	USD 1 = THB 32.049984
USD 1 = HUF 296.003076	USD 1 = TRY 8.687502
USD 1 = IDR 14,500.000905	USD 1 = TWD 27.862500
USD 1 = ILS 3.258497	USD 1 = ZAR 14.278765

As at 30 June 2021, the exchange rates for the UK ETF were as follows:

**30 June 2021**

GBP 1 = EUR 1.164900	GBP 1 = USD 1.381450
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The average exchange rates for the Company for the financial period ended 30 June 2021 were as follows:

**30 June 2021**

EUR 1 = GBP 0.867697	EUR 1 = USD 1.204788
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As at 31 December 2020, the exchange rates for the Company, Eurozone ETF, Germany ETF and Low Duration ETF were as follows:

**31 December 2020**

EUR 1 = AUD 1.585616	EUR 1 = KRW 1,329.142320
EUR 1 = CAD 1.558808	EUR 1 = MXN 24.379878
EUR 1 = CLP 869.332393	EUR 1 = NOK 10.476011
EUR 1 = CZK 26.261994	EUR 1 = NZD 1.699382
EUR 1 = DKK 7.443430	EUR 1 = PEN 4.425976
EUR 1 = GBP 0.895095	EUR 1 = PLN 4.558942
EUR 1 = HUF 362.685061	EUR 1 = RON 4.867436
EUR 1 = IDR 17,190.878983	EUR 1 = SEK 10.048514
EUR 1 = ILS 3.928571	EUR 1 = SGD 1.617078
EUR 1 = JPY 126.325412	EUR 1 = USD 1.223550

**NOTES TO THE FINANCIAL STATEMENTS (continued)****For the financial period ended 30 June 2021 (Unaudited)****11. Exchange Rates (continued)**

As at 31 December 2020, the exchange rates for US Large Cap ETF, Emerging Markets ETF, Indxx NextG ETF, US Equity Opportunities ETF, Global ETF, US Equity ETF, International Internet ETF, Clean Edge ETF, FactorFX ETF, Indxx ETF, Dow Jones ETF, Cloud Computing ETF, Capital Strength ETF, Value Line Dividend ETF, Biotechnology ETF and Cybersecurity ETF were as follows:

**31 December 2020**

USD 1 = AUD 1.295914	USD 1 = JPY 103.244998
USD 1 = BRL 5.194228	USD 1 = KRW 1,086.300011
USD 1 = CAD 1.274004	USD 1 = MXN 19.925528
USD 1 = CHF 0.883939	USD 1 = MYR 4.022495
USD 1 = CLP 710.500128	USD 1 = NOK 8.561981
USD 1 = CNY 6.539778	USD 1 = NZD 1.388895
USD 1 = COP 3,420.500274	USD 1 = PEN 3.617323
USD 1 = CZK 21.46377	USD 1 = PHP 48.023483
USD 1 = DKK 6.083471	USD 1 = PLN 3.725996
USD 1 = EGP 15.730019	USD 1 = RUB 73.96441
USD 1 = EUR 0.817294	USD 1 = SEK 8.212590
USD 1 = GBP 0.731556	USD 1 = SGD 1.321628
USD 1 = HKD 7.753832	USD 1 = THB 29.959984
USD 1 = HUF 296.420315	USD 1 = TRY 7.432496
USD 1 = IDR 14,050.001829	USD 1 = TWD 28.098028
USD 1 = ILS 3.210798	USD 1 = ZAR 14.688760
USD 1 = INR 73.067486	

As at 31 December 2020, the exchange rates for the UK ETF were as follows:

**31 December 2020**

GBP 1 = EUR 1.117200	GBP 1 = USD 1.366950
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The average exchange rates for the Company for the financial year ended 31 December 2020 were as follows:

**31 December 2020**

EUR 1 = CHF 1.070246	EUR 1 = JPY 121.827405
EUR 1 = GBP 0.889436	EUR 1 = USD 1.142113

As at 30 June 2020, the average exchange rates for the Indxx NextG ETF and the International Internet ETF which changed base currencies, were as follows:

**30 June 2020**

USD 1 = CHF 0.965960	USD 1 = JPY 108.268076
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The average exchange rates for the Company for the financial period ended 30 June 2020 were as follows:

**30 June 2020**

EUR 1 = GBP 0.874567	EUR 1 = USD 1.101580
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**12. Segregated Liability**

The Company was incorporated as an umbrella fund with segregated liability between sub-funds in accordance with the Companies Act and as such as a matter of Irish law the assets of a sub-fund will not be exposed to the liabilities of the Company's other sub-funds. Each Fund of the Company will be responsible for paying its fees and expenses regardless of the level of its profitability. Fees and expenses, that are not specific to a sub-fund/sub-funds, are allocated pro-rata based on net assets across the sub-funds. Notwithstanding the foregoing, there can be no assurance that, should an action be brought against the Company in the courts of another jurisdiction, the segregated nature of the Funds would necessarily be upheld.

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**13. Contingent Liabilities and Commitments**

There were no significant contingent liabilities or commitments as at 30 June 2021 or 31 December 2020 other than those disclosed in the financial statements.

**14. Significant Events during the Financial Period**

On 1 February 2021, an updated prospectus for the ETF Funds adding First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF and First Trust Global Capital Strength ESG Leaders UCITS ETF was noted by the Central Bank. The prospectus for the UCITS Funds was updated to reflect a change to the trade cut-off time for the First Trust Strategic Metal and Energy Equity UCITS Fund and noted by the Central Bank on 1 February 2021.

On 1 February 2021, the following change of names were approved by the Central Bank: First Trust Japan AlphaDEX® UCITS ETF to First Trust Indxx NextG UCITS ETF; First Trust Switzerland AlphaDEX® UCITS ETF to First Trust Dow Jones International Internet UCITS ETF; and First Trust US Small Cap Core AlphaDEX® UCITS ETF to First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF.

On 1 February 2021, First Trust Japan AlphaDEX® UCITS ETF and First Trust Switzerland AlphaDEX® UCITS ETF were converted to First Trust Indxx NextG UCITS ETF and First Trust Dow Jones International Internet UCITS ETF respectively and both funds changed functional currency to USD.

On 8 February 2021, First Trust Strategic Metal and Energy Equity UCITS Fund was launched.

The prospectuses for the ETF Funds and the UCITS Funds were updated in compliance with the requirements of the EU Sustainable Finance Disclosures Regulation (“SFDR”) (2019/2088) on sustainability-related disclosures in the financial services sector, and noted by the Central Bank on 5 March 2021.

On 9 March 2021, First Trust Global Capital Strength ESG Leaders UCITS ETF was launched.

On 9 April 2021, an updated prospectus for the UCITS Funds was noted by the Central Bank.

On 13 May 2021, an updated prospectus for the ETF Funds was noted by the Central Bank.

On 21 June 2021, First Trust IPOX® Europe Equity Opportunities UCITS ETF was launched.

The ongoing novel coronavirus disease (“COVID-19”) pandemic and restrictions on certain non-essential businesses have caused disruption in the U.S. and across global economies. The Company has continued to operate business-as-usual with the COVID-19 pandemic, however this has been achieved in a remote working environment. Both the Administrator and Depositary moved to a remote access working environment in mid-March 2020 and there were no operational issues while enacting the business continuity arrangements of the Administrator and of the Depositary, including across the various locations to which services were outsourced. The Investment Manager successfully implemented its business continuity plans from mid-March 2020 and has reported no issues as a result of remote-working. The IT systems and infrastructure continue to be resilient, with no service impacting issues identified. The Investment Manager remains conscious of the increased cyber risk and fraud, including coronavirus themed phishing attempts, with the Cyber Security Operations Centre adequately resourced to deal with this. The Investment Manager continues to successfully manage the assets of the Company within the investment and risk parameters that have been established and has not yet determined the financial impact of the pandemic on future prospects. Although an economic recovery is partially underway, it continues to be gradual, uneven, and characterised by meaningful dispersion across sections and regions. The estimates and assumptions underlying these financial statements are based on the information available as of year-end, including judgments about the financial markets and economic conditions which may change over time. The Directors will continue to review the situation in order to navigate the Company through this period of heightened uncertainty.

**15. Significant Events after the Financial Period End Date**

Up to the date of approval of these financial statements there were no significant events after 30 June 2021 affecting the Company which would require adjustment to or disclosure in these financial statements.

**NOTES TO THE FINANCIAL STATEMENTS (continued)**

**For the financial period ended 30 June 2021 (Unaudited)**

**16. The United Kingdom's Withdrawal from the European Union**

The United Kingdom ("UK") officially left the European Union ("EU") on 31 January 2020 but remained subject to EU regulations during an agreed transitional phase until 31 December 2020. Although a free trade agreement was ratified by both the EU and the UK in December 2020 and the transitional phase has ended, a number of uncertainties remain in connection with the UK's relationship with the EU regarding potential regulatory alignment or equivalence. Until the terms of the regulations are clearer, it is not possible to determine the full impact that the UK's departure and/or any related matters may have on the Company. From 1 January 2021, a memorandum of understanding is in place between the UK's Financial Conduct Authority, the European Securities and Markets Authority and the EU which permits the continued delegation of investment management. It is possible that there will be more divergence between UK and EU regulations post-the UK's withdrawal from the EU, limiting what cross-border activities can take place. The UK's future economic and political relationship with the EU (and with other non-EU countries by agreement) continues to remain uncertain. This uncertainty is likely to generate further global currency and asset price volatility. Ongoing uncertainty could adversely impact the general economic outlook and as such, this may impact negatively on the ability of the Company to execute its strategies effectively, and may also result in increased costs to the Company.

**17. General Data Protection Regulation (GDPR) Tax Information**

The Fund hereby provides the following data protection information notice to all shareholders in the Fund either as at 31 December 2015 or at any point of time since this date.

For the avoidance of doubt, this notice applies equally to any shareholders that have ceased to hold shares in the Fund since 1 January 2016. Furthermore, it should be noted that this notice may be applicable to Controlling Persons of certain shareholders.

The Fund hereby confirm that they intend to take such steps as may be required to satisfy any obligations imposed by (i) the OECD's Standard for Automatic Exchange of Financial Account Information in Tax Matters ("the Standard"), which therein contains the Common Reporting Standard ("CRS"), as applied in Ireland by means of the relevant international legal framework and Irish tax legislation and (ii) EU Council Directive 2014/107/EU, amending Directive 2011/16/EU as regards mandatory automatic exchange information in the field of taxation ("DAC2"), as applied in Ireland by means of the relevant Irish tax legislation, so as to ensure compliance or deemed compliance (as the case may be) with the Standard/CRS and the DAC2 from 1 January 2016.

In this regard, the Manager on behalf of the Fund is obliged under Section 891F and Section 891G of the Irish Taxes Consolidation Act, 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each shareholder's tax arrangements (and also collect information in relation to relevant Controlling Persons of specific shareholders).

In certain circumstances, the Manager on behalf of the Fund may be legally obliged to share this information and other financial information with respect to a shareholder's interests in the Fund with the Irish Revenue Commissioners (and, in particular situations, also share information in relation to relevant Controlling Persons of specific shareholders). In turn, and to the extent the account has been identified as a Reportable Account, the Irish Revenue Commissioners will exchange this information with the country of residence of the Reportable Person(s) in respect of that Reportable Account.

In particular, information that may be reported in respect of a shareholder (and relevant Controlling Persons, if applicable) includes name, address, date of birth, place of birth, account number, account balance or value at year end (or, if the account was closed during such year, the balance or value at the date of closure of the account), any payments (including redemption and dividend/interest payments) made with respect to the account during the calendar year, tax residency(ies) and tax identification number(s).

**18. Reconciliation of net assets attributable to holders of redeemable participating shares**

**First Trust Emerging Markets AlphaDEX® UCITS ETF**

	As at 30 June 2021 US\$	As at 31 December 2020 US\$
<b>Net Assets attributable to holders of redeemable participating shares per financial statements</b>	28,234,213	39,446,517
Adjustment due to capital gains tax accruals	12,694	—
<b>Net Assets attributable to holders of redeemable participating shares per shareholder dealing</b>	<b>28,246,907</b>	<b>39,446,517</b>

## NOTES TO THE FINANCIAL STATEMENTS (continued)

### For the financial period ended 30 June 2021 (Unaudited)

#### 18. Reconciliation of net assets attributable to holders of redeemable participating shares (continued)

##### First Trust Indxx NextG UCITS ETF<sup>1</sup>

	As at 30 June 2021 US\$	As at 31 December 2020 US\$
Net Assets attributable to holders of redeemable participating shares per financial statements	7,715,822	1,214,866
Adjustment due to capital gains tax accruals	1,861	–
<b>Net Assets attributable to holders of redeemable participating shares per shareholder dealing</b>	<b>7,717,683</b>	<b>1,214,866</b>

##### First Trust Global Equity Income UCITS ETF

	As at 30 June 2021 US\$	As at 31 December 2020 US\$
Net Assets attributable to holders of redeemable participating shares per financial statements	23,658,030	21,581,679
Adjustment due to capital gains tax accruals	2,514	–
<b>Net Assets attributable to holders of redeemable participating shares per shareholder dealing</b>	<b>23,660,544</b>	<b>21,581,679</b>

##### First Trust Dow Jones International Internet UCITS ETF<sup>2</sup>

	As at 30 June 2021 US\$	As at 31 December 2020 US\$
Net Assets attributable to holders of redeemable participating shares per financial statements	6,053,790	1,551,214
Adjustment due to expense accruals <sup>3</sup>	–	(83)
<b>Net Assets attributable to holders of redeemable participating shares per shareholder dealing</b>	<b>6,053,790</b>	<b>1,551,131</b>

##### First Trust Indxx Innovative Transaction & Process UCITS ETF

	As at 30 June 2021 US\$	As at 31 December 2020 US\$
Net Assets attributable to holders of redeemable participating shares per financial statements	108,885,014	55,088,408
Adjustment due to capital gains tax accruals	1,922	–
<b>Net Assets attributable to holders of redeemable participating shares per shareholder dealing</b>	<b>108,886,936</b>	<b>55,088,408</b>

<sup>1</sup> First Trust Japan AlphaDEX® UCITS ETF changed its name to First Trust Indxx NextG UCITS ETF and its functional currency changed from Japanese Yen to US Dollar on 1 February 2021.

<sup>2</sup> First Trust Switzerland AlphaDEX® UCITS ETF changed its name to First Trust Dow Jones International Internet UCITS ETF and its functional currency changed from Swiss Franc to US Dollar on 1 February 2021.

<sup>3</sup> To remove an over accrual of three days expenses on the International Internet ETF.

The above were the only Funds which had a NAV adjustment for financial statement purposes.

#### 19. Approval of the Financial Statements

The Directors authorised the financial statements for issue on 10 August 2021.

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS

### First Trust US Large Cap Core AlphaDEX<sup>®</sup> UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: US\$90,053,897, 99.88%)</b>			
<b>Basic Materials (31 December 2020: US\$2,641,158, 2.93%)</b>			
1,127	Albemarle Corp	189,855	0.19
2,748	Celanese Corp - Class A	416,597	0.42
3,864	Dow Inc	244,514	0.25
1,066	DuPont de Nemours Inc	82,519	0.08
2,991	Eastman Chemical Co	349,199	0.35
2,234	FMC Corp	241,719	0.25
2,501	Freeport-McMoRan Inc	92,812	0.09
4,569	International Paper Co	280,125	0.28
3,166	LyondellBasell Industries NV	325,686	0.33
1,366	Newmont Corp	86,577	0.09
4,104	Nucor Corp	393,697	0.40
1,096	PPG Industries Inc	186,068	0.19
335	Sherwin-Williams Co/The	91,271	0.09
<b>Total Basic Materials</b>		<b>2,980,639</b>	<b>3.01</b>
<b>Communications (31 December 2020: US\$9,538,095, 10.58%)</b>			
160	Alphabet Inc - Class A	390,686	0.40
27	Amazon.com Inc	92,884	0.09
273	Arista Networks Inc	98,911	0.10
10,882	AT&T Inc	313,184	0.32
994	CDW Corp/DE	173,602	0.18
133	Charter Communications Inc	95,953	0.10
3,185	Cisco Systems Inc/Delaware	168,805	0.17
7,609	Comcast Corp	433,865	0.44
5,678	Corning Inc	232,230	0.23
9,474	Discovery Inc	290,662	0.29
5,379	eBay Inc	377,660	0.38
2,042	Etsy Inc	420,325	0.43
559	Facebook Inc - Class A	194,370	0.20
9,122	Fox Corp	338,700	0.34
1,061	GoDaddy Inc - Class A	92,265	0.09
24,674	Lumen Technologies Inc	335,320	0.34
3,910	Lyft Inc	236,477	0.24
438	Motorola Solutions Inc	94,980	0.10
158	Netflix Inc	83,457	0.08
3,332	Omnicom Group Inc	266,527	0.27
511	Palo Alto Networks Inc	189,607	0.19
5,562	Pinterest Inc	439,120	0.44
1,011	Roku Inc	464,302	0.47
6,299	Snap Inc	429,214	0.43
2,629	T-Mobile US Inc	380,758	0.39
2,530	Trade Desk Inc/The - Class A	195,721	0.20
5,177	Twitter Inc	356,229	0.36
3,021	Uber Technologies Inc	151,412	0.15
1,104	Ubiquiti Inc	344,658	0.35
7,081	Verizon Communications Inc	396,748	0.40
9,130	ViacomCBS Inc - Class B	412,676	0.42
893	Walt Disney Co/The	156,963	0.16
1,308	Wayfair Inc	412,949	0.42
1,242	Zendesk Inc	179,270	0.18
1,270	Zillow Group Inc	155,219	0.16
<b>Total Communications</b>		<b>9,395,709</b>	<b>9.51</b>



## SCHEDULES OF INVESTMENTS (continued)

## First Trust US Large Cap Core AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$90,053,897, 99.88%) (continued)</b>			
<b>Consumer, Cyclical (31 December 2020: US\$9,690,208, 10.75%)</b>			
1,792	Aptiv Plc	281,935	0.29
235	AutoZone Inc	350,672	0.35
3,586	Best Buy Co Inc	412,318	0.42
2,825	Caesars Entertainment Inc	293,094	0.30
1,242	CarMax Inc	160,404	0.16
628	Carvana Co - Class A	189,543	0.19
116	Chipotle Mexican Grill Inc - Class A	179,839	0.18
467	Costco Wholesale Corp	184,778	0.19
1,271	Cummins Inc	309,883	0.31
813	Dollar General Corp	175,925	0.18
2,878	Dollar Tree Inc	286,361	0.29
4,620	DR Horton Inc	417,509	0.42
3,276	Fastenal Co	170,352	0.17
26,890	Ford Motor Co	399,585	0.40
2,169	GameStop Corp	464,470	0.47
7,166	General Motors Co	424,012	0.43
2,570	Hasbro Inc	242,916	0.25
809	Home Depot Inc/The	257,982	0.26
4,067	Lennar Corp	404,057	0.41
2,165	Lowe's Cos Inc	419,945	0.43
70	NVR Inc	348,131	0.35
487	O'Reilly Automotive Inc	275,744	0.28
3,545	PACCAR Inc	316,391	0.32
1,465	Peloton Interactive Inc	181,689	0.18
2,356	Penn National Gaming Inc	180,211	0.18
477	Pool Corp	218,781	0.22
1,663	Target Corp	402,014	0.41
370	Tesla Inc	251,489	0.25
2,325	Tractor Supply Co	432,590	0.44
1,500	Walgreens Boots Alliance Inc	78,915	0.08
606	Walmart Inc	85,458	0.09
411	WW Grainger Inc	180,018	0.18
761	Yum! Brands Inc	87,538	0.09
<b>Total Consumer, Cyclical</b>		<b>9,064,549</b>	<b>9.17</b>
<b>Consumer, Non-cyclical (31 December 2020: US\$19,934,778, 22.11%)</b>			
1,820	10X Genomics Inc (Units)	356,392	0.36
1,374	Abbott Laboratories	159,288	0.16
1,522	AbbVie Inc	171,438	0.17
258	ABIOMED Inc	80,524	0.08
456	Align Technology Inc 15/01/2018	278,616	0.28
583	Alnylam Pharmaceuticals Inc	98,830	0.10
1,610	Altria Group Inc	76,765	0.08
331	Amgen Inc	80,681	0.08
918	Anthem Inc	350,492	0.35
7,224	Archer-Daniels-Midland Co	437,774	0.44
874	Automatic Data Processing Inc	173,594	0.18
8,540	Avantor Inc	303,255	0.31
897	Avery Dennison Corp	188,585	0.19
1,953	Baxter International Inc	157,217	0.16
1,016	Becton Dickinson and Co	247,081	0.25

## SCHEDULES OF INVESTMENTS (continued)

## First Trust US Large Cap Core AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$90,053,897, 99.88%) (continued)</b>			
<b>Consumer, Non-cyclical (31 December 2020: US\$19,934,778, 22.11%) (continued)</b>			
1,472	Biogen Inc	509,710	0.52
144	Bio-Rad Laboratories Inc	92,778	0.09
862	Bio-Techne Corp	388,124	0.39
2,609	Bristol-Myers Squibb Co	174,333	0.18
1,638	Campbell Soup Co	74,676	0.08
5,422	Cardinal Health Inc	309,542	0.31
1,564	Catalent Inc	169,100	0.17
6,443	Centene Corp	469,888	0.48
1,137	Charles River Laboratories International Inc	420,599	0.43
943	Church & Dwight Co Inc	80,363	0.08
1,703	Cigna Corp	403,730	0.41
10,951	Conagra Brands Inc	398,397	0.40
1,445	Constellation Brands Inc - Class A	337,971	0.34
5,299	Corteva Inc	235,011	0.24
5,473	CVS Health Corp	456,667	0.46
366	Danaher Corp	98,220	0.10
2,292	DaVita Inc	276,026	0.28
882	Eli Lilly & Co	202,437	0.21
455	Equifax Inc	108,977	0.11
1,133	Estee Lauder Cos Inc/The - Class A	360,385	0.37
1,875	Exact Sciences Corp	233,081	0.24
1,353	Gartner Inc	327,697	0.33
6,715	General Mills Inc	409,145	0.41
409	Global Payments Inc	76,704	0.08
2,158	Guardant Health Inc	268,002	0.27
874	HCA Healthcare Inc	180,691	0.18
1,041	Hershey Co/The	181,321	0.18
3,321	Hologic Inc	221,577	0.22
3,579	Horizon Therapeutics Plc	335,138	0.34
5,171	Hormel Foods Corp	246,915	0.25
982	Humana Inc	434,751	0.44
337	IDEXX Laboratories Inc	212,832	0.22
316	Insulet Corp	86,745	0.09
1,279	IQVIA Holdings Inc	309,927	0.31
3,254	J M Smucker Co/The	421,621	0.43
1,503	Johnson & Johnson	247,604	0.25
3,903	Kellogg Co	251,080	0.25
4,792	Keurig Dr Pepper Inc	168,870	0.17
4,117	Kraft Heinz Co/The	167,891	0.17
11,441	Kroger Co/The	438,305	0.44
1,615	Laboratory Corp of America Holdings	445,498	0.45
1,847	McCormick & Co Inc/MD	163,127	0.17
1,394	Medtronic Plc	173,037	0.18
3,144	Moderna Inc	738,777	0.75
1,057	Molina Healthcare Inc	267,484	0.27
5,628	Mondelez International Inc	351,412	0.36
904	Monster Beverage Corp	82,580	0.08
276	Moody's Corp	100,014	0.10
2,271	Novavax Inc	482,156	0.49
623	Novocure Ltd	138,194	0.14
1,017	PayPal Holdings Inc	296,435	0.30

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust US Large Cap Core AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$90,053,897, 99.88%) (continued)</b>			
<b>Consumer, Non-cyclical (31 December 2020: US\$19,934,778, 22.11%) (continued)</b>			
1,164	PepsiCo Inc	172,470	0.17
1,284	PerkinElmer Inc	198,263	0.20
9,092	Pfizer Inc	356,043	0.36
928	Philip Morris International Inc	91,974	0.09
3,208	Quest Diagnostics Inc	423,360	0.43
233	S&P Global Inc	95,635	0.10
1,451	Square Inc	353,754	0.36
338	Stryker Corp	87,789	0.09
361	Thermo Fisher Scientific Inc	182,114	0.18
5,542	Tyson Foods Inc - Class A	408,778	0.41
1,000	United Rentals Inc	319,010	0.32
664	UnitedHealth Group Inc	265,892	0.27
23,579	Viatis Inc	336,944	0.34
292	West Pharmaceutical Services Inc	104,857	0.11
514	Zimmer Biomet Holdings Inc	82,662	0.08
<b>Total Consumer, Non-cyclical</b>		<b>20,665,622</b>	<b>20.91</b>
<b>Energy (31 December 2020: US\$3,482,970, 3.86%)</b>			
5,718	Cheniere Energy Inc	495,979	0.50
2,358	Chevron Corp	246,977	0.25
3,109	ConocoPhillips	189,338	0.19
1,524	Enphase Energy Inc	279,852	0.28
3,406	EOG Resources Inc	284,197	0.29
14,838	Kinder Morgan Inc	270,497	0.27
15,468	Occidental Petroleum Corp	483,684	0.49
4,877	ONEOK Inc	271,356	0.28
519	Pioneer Natural Resources Co	84,348	0.09
6,893	Plug Power Inc	235,672	0.24
1,150	Valero Energy Corp	89,792	0.09
10,428	Williams Cos Inc/The	276,863	0.28
<b>Total Energy</b>		<b>3,208,555</b>	<b>3.25</b>
<b>Financial (31 December 2020: US\$15,251,529, 16.91%)</b>			
8,045	Aflac Inc	431,695	0.44
3,584	Allstate Corp/The	467,497	0.47
9,108	Ally Financial Inc	453,943	0.46
582	American Express Co	96,164	0.10
1,782	American International Group Inc	84,823	0.09
709	Ameriprise Financial Inc	176,456	0.18
716	Aon Plc	170,952	0.17
10,731	Arch Capital Group Ltd	417,865	0.42
1,980	Arthur J Gallagher & Co	277,358	0.28
1,785	AvalonBay Communities Inc (REIT)	372,512	0.38
6,385	Bank of America Corp	263,253	0.27
8,707	Bank of New York Mellon Corp/The	446,060	0.45
1,612	Berkshire Hathaway Inc - Class B	448,007	0.45
328	BlackRock Inc	286,990	0.29
3,315	Blackstone Group Inc/The	322,019	0.33
3,253	Boston Properties Inc (REIT)	372,761	0.38
3,236	Capital One Financial Corp	500,577	0.51
3,123	CBRE Group Inc - Class A	267,735	0.27

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust US Large Cap Core AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$90,053,897, 99.88%) (continued)</b>			
<b>Financial (31 December 2020: US\$15,251,529, 16.91%) (continued)</b>			
2,085	Chubb Ltd	331,390	0.34
3,994	Cincinnati Financial Corp	465,780	0.47
5,660	Citigroup Inc	400,445	0.40
9,326	Citizens Financial Group Inc	427,784	0.43
403	CME Group Inc	85,710	0.09
1,734	Discover Financial Services	205,115	0.21
4,599	Equity Residential (REIT)	354,123	0.36
606	Essex Property Trust Inc (REIT)	181,806	0.18
621	Extra Space Storage Inc (REIT)	101,732	0.10
8,796	Fifth Third Bancorp	336,271	0.34
1,975	First Republic Bank/CA	369,661	0.37
13,910	Franklin Resources Inc	444,981	0.45
1,007	Goldman Sachs Group Inc/The	382,187	0.39
6,165	Hartford Financial Services Group Inc/The	382,045	0.39
7,784	Healthpeak Properties Inc (REIT)	259,129	0.26
20,954	Huntington Bancshares Inc/OH	299,014	0.30
737	Intercontinental Exchange Inc	87,482	0.09
2,164	JPMorgan Chase & Co	336,589	0.34
16,487	KeyCorp	340,456	0.34
5,057	KKR & Co Inc	299,577	0.30
2,173	M&T Bank Corp	315,759	0.32
289	Markel Corp	342,959	0.35
676	Marsh & McLennan Cos Inc	95,100	0.10
6,773	MetLife Inc	405,364	0.41
1,141	Mid-America Apartment Communities Inc (REIT)	192,167	0.19
4,242	Morgan Stanley	388,949	0.39
1,675	Nasdaq Inc	294,465	0.30
2,350	Northern Trust Corp	271,707	0.27
2,347	PNC Financial Services Group Inc/The	447,714	0.45
5,494	Principal Financial Group Inc	347,166	0.35
4,307	Progressive Corp/The	422,990	0.43
777	Prologis Inc (REIT)	92,875	0.09
904	Prudential Financial Inc	92,633	0.09
2,016	Raymond James Financial Inc	261,878	0.26
3,891	Realty Income Corp (REIT)	259,685	0.26
15,944	Regions Financial Corp	321,750	0.33
724	Simon Property Group Inc (REIT)	94,467	0.10
4,901	State Street Corp	403,254	0.41
834	SVB Financial Group	464,063	0.47
8,101	Synchrony Financial	393,060	0.40
1,440	T Rowe Price Group Inc	285,077	0.29
2,738	Travelers Cos Inc/The	409,906	0.41
5,648	Truist Financial Corp	313,464	0.32
4,467	US Bancorp	254,485	0.26
4,632	Ventas Inc (REIT)	264,487	0.27
14,580	VICI Properties Inc (REIT)	452,272	0.46
8,431	Wells Fargo & Co	381,840	0.39
1,150	Welltower Inc (REIT)	95,565	0.10
6,940	Weyerhaeuser Co (REIT)	238,875	0.24
<b>Total Financial</b>		<b>20,551,920</b>	<b>20.80</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust US Large Cap Core AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$90,053,897, 99.88%) (continued)</b>			
<b>Industrial (31 December 2020: US\$7,706,446, 8.55%)</b>			
1,282	3M Co	254,644	0.26
1,295	Agilent Technologies Inc	191,414	0.19
645	AMETEK Inc	86,108	0.09
2,497	Amphenol Corp - Class A	170,820	0.17
5,851	Carrier Global Corp	284,359	0.29
355	Caterpillar Inc	77,259	0.08
1,985	Cognex Corp	166,839	0.17
7,686	CSX Corp	246,567	0.25
1,101	Deere & Co	388,334	0.39
1,802	Dover Corp	271,381	0.28
1,191	Eaton Corp Plc	176,482	0.18
2,738	Emerson Electric Co	263,505	0.27
2,294	Expeditors International of Washington Inc	290,420	0.29
1,160	FedEx Corp	346,063	0.35
5,829	Fortive Corp	406,514	0.41
1,874	Garmin Ltd	271,055	0.27
1,257	Generac Holdings Inc	521,844	0.53
2,268	General Dynamics Corp	426,974	0.43
18,816	General Electric Co	253,263	0.26
379	Honeywell International Inc	83,134	0.08
393	IDEX Corp	86,480	0.09
372	Illinois Tool Works Inc	83,164	0.08
1,673	Ingersoll Rand Inc	81,659	0.08
1,274	Jacobs Engineering Group Inc	169,977	0.17
1,470	JB Hunt Transport Services Inc	239,537	0.24
2,760	Johnson Controls International plc	189,419	0.19
1,149	Keysight Technologies Inc	177,417	0.18
1,219	L3Harris Technologies Inc	263,487	0.27
669	Lockheed Martin Corp	253,116	0.26
736	Martin Marietta Materials Inc	258,932	0.26
2,750	Masco Corp	162,002	0.16
71	Mettler-Toledo International Inc	98,359	0.10
920	Norfolk Southern Corp	244,177	0.25
1,018	Northrop Grumman Corp	369,972	0.37
1,028	Old Dominion Freight Line Inc	260,906	0.26
1,203	Otis Worldwide Corp	98,369	0.10
2,449	Packaging Corp of America	331,644	0.34
783	Parker-Hannifin Corp	240,467	0.24
2,487	Republic Services Inc - Class A	273,595	0.28
620	Rockwell Automation Inc	177,332	0.18
1,237	Stanley Black & Decker Inc	253,573	0.26
398	Teledyne Technologies Inc	166,694	0.17
497	Trane Technologies Plc	91,518	0.09
4,234	Trimble Inc	346,468	0.35
1,464	Vulcan Materials Co	254,838	0.26
1,277	Waste Management Inc	178,920	0.18
580	Waters Corp	200,454	0.20
4,161	Westinghouse Air Brake Technologies Corp	342,450	0.35
783	Xylem Inc/NY	93,929	0.10
<b>Total Industrial</b>		<b>11,165,834</b>	<b>11.30</b>

## SCHEDULES OF INVESTMENTS (continued)

## First Trust US Large Cap Core AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$90,053,897, 99.88%) (continued)</b>			
<b>Technology (31 December 2020: US\$15,731,718, 17.45%)</b>			
596	Accenture Plc - Class A	175,695	0.18
1,771	Activision Blizzard Inc	169,024	0.17
1,049	Advanced Micro Devices Inc	98,533	0.10
1,062	Analog Devices Inc	182,834	0.18
674	Apple Inc	92,311	0.09
3,082	Applied Materials Inc	438,877	0.44
297	Autodesk Inc	86,694	0.09
355	Broadcom Inc	169,278	0.17
538	Broadridge Financial Solutions Inc	86,903	0.09
1,202	Cadence Design Systems Inc	164,458	0.17
4,583	Cerner Corp	358,207	0.36
587	Citrix Systems Inc	68,837	0.07
3,516	Cloudflare Inc	372,133	0.38
3,162	Cognizant Technology Solutions Corp	219,000	0.22
902	CrowdStrike Holdings Inc	226,682	0.23
988	Datadog Inc	102,831	0.10
4,671	Dell Technologies Inc	465,559	0.47
407	DocuSign Inc - Class A	113,785	0.11
741	Elastic NV	108,008	0.11
3,683	Entegris Inc	452,898	0.46
623	EPAM Systems Inc	318,328	0.32
169	Fair Isaac Corp	84,953	0.09
1,171	Fidelity National Information Services Inc	165,896	0.17
1,384	Fiserv Inc	147,936	0.15
1,786	Fortinet Inc	425,407	0.43
20,928	Hewlett Packard Enterprise Co	305,130	0.31
5,187	HP Inc	156,595	0.16
725	HubSpot Inc	422,472	0.43
6,434	Intel Corp	361,205	0.36
1,854	International Business Machines Corp	271,778	0.27
215	Intuit Inc	105,387	0.11
1,246	KLA Corp	403,966	0.41
692	Lam Research Corp	450,284	0.46
3,421	Leidos Holdings Inc	345,863	0.35
3,363	Marvell Technology Inc	196,164	0.20
1,592	Microchip Technology Inc	238,386	0.24
4,668	Micron Technology Inc	396,687	0.40
349	Microsoft Corp	94,544	0.10
308	MongoDB Inc - Class A	111,348	0.11
466	Monolithic Power Systems Inc	174,028	0.18
4,533	NetApp Inc	370,890	0.37
309	NVIDIA Corp	247,231	0.25
3,958	ON Semiconductor Corp	151,512	0.15
2,347	Oracle Corp	182,690	0.18
840	Paychex Inc	90,132	0.09
223	Paycom Software Inc	81,054	0.08
2,991	PTC Inc	422,509	0.43
1,803	Qorvo Inc	352,757	0.36
621	QUALCOMM Inc	88,759	0.09
3,219	Seagate Technology Holdings Plc	283,047	0.29
1,795	Skyworks Solutions Inc	344,191	0.35

## SCHEDULES OF INVESTMENTS (continued)

## First Trust US Large Cap Core AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$90,053,897, 99.88%) (continued)</b>			
<b>Technology (31 December 2020: US\$15,731,718, 17.45%) (continued)</b>			
1,179	SS&C Technologies Holdings Inc	84,959	0.09
665	Synopsys Inc	183,400	0.19
2,707	Teradyne Inc	362,630	0.37
871	Texas Instruments Inc	167,493	0.17
725	Twilio Inc - Class A	285,766	0.29
547	VMware Inc	87,504	0.09
4,935	Western Digital Corp	351,224	0.35
663	Workday Inc - Class A	158,285	0.16
849	Zebra Technologies Corp	449,537	0.45
256	Zoom Video Communications Inc - Class A	99,080	0.10
959	Zscaler Inc	207,201	0.21
<b>Total Technology</b>		<b>14,380,755</b>	<b>14.55</b>
<b>Utilities (31 December 2020: US\$6,076,995, 6.74%)</b>			
3,072	AES Corp/The	80,087	0.08
4,049	Ameren Corp	324,082	0.33
4,861	American Electric Power Co Inc	411,192	0.42
8,266	Avangrid Inc	425,120	0.43
5,381	CMS Energy Corp	317,909	0.32
5,505	Consolidated Edison Inc	394,819	0.40
1,084	Dominion Energy Inc	79,750	0.08
3,093	DTE Energy Co	400,853	0.41
3,412	Duke Energy Corp	336,833	0.34
7,026	Edison International	406,243	0.41
4,139	Entergy Corp	412,658	0.42
3,804	Eversource Energy	305,233	0.31
9,414	Exelon Corp	417,134	0.42
9,496	FirstEnergy Corp	353,346	0.36
2,178	NextEra Energy Inc	159,604	0.16
28,130	PG&E Corp	286,082	0.29
14,277	PPL Corp	399,328	0.40
6,839	Public Service Enterprise Group Inc	408,562	0.41
3,106	Sempra Energy	411,483	0.42
6,624	Southern Co/The (Units)	400,818	0.40
3,520	WEC Energy Group Inc	313,104	0.32
4,953	Xcel Energy Inc	326,304	0.33
<b>Total Utilities</b>		<b>7,370,544</b>	<b>7.46</b>
<b>Total Common Stock</b>		<b>98,784,127</b>	<b>99.96</b>
<b>Total Transferable Securities admitted to an official stock exchange listing</b>		<b>98,784,127</b>	<b>99.96</b>
<b>Total financial assets and liabilities at fair value through profit or loss</b>		<b>98,784,127</b>	<b>99.96</b>
<b>Cash at bank and at broker</b>		<b>(960)</b>	<b>–</b>
<b>Other Net Assets</b>		<b>37,500</b>	<b>0.04</b>
<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>		<b>98,820,667</b>	<b>100.00</b>

**SCHEDULES OF INVESTMENTS (continued)**

**First Trust US Large Cap Core AlphaDEX<sup>®</sup> UCITS ETF (continued)**

**As at 30 June 2021**

<b>Country Allocation</b>	<b>% of Net Assets</b>
Bermuda	0.42
Ireland	1.62
Jersey	0.43
Netherlands	0.44
Switzerland	0.61
United States	96.44
<b>Total Investments</b>	<b>99.96</b>
<b>Net Other Assets and Liabilities</b>	<b>0.04</b>
<b>Total</b>	<b>100.00</b>

	<b>% of Total Assets</b>
<b>Analysis of Total Assets</b>	
Transferable securities admitted to an official stock exchange listing	99.91
Other Assets	0.09
<b>Total Assets</b>	<b>100.00</b>



# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Emerging Markets AlphaDEX® UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: US\$39,444,828, 100.00%)</b>			
<b>Basic Materials (31 December 2020: US\$5,568,427, 14.12%)</b>			
280,184	Aluminum Corp of China Ltd 'H'	167,046	0.59
346,546	Angang Steel Co Ltd 'H'	219,552	0.78
1,585	Anglo American Platinum Ltd	183,091	0.65
7,950	AngloGold Ashanti Ltd	147,817	0.52
41,085	Braskem SA - Preference	487,582	1.73
173,262	China Hongqiao Group Ltd	234,710	0.83
191,558	China Molybdenum Co Ltd 'H'	113,714	0.40
43,038	Cia Siderurgica Nacional SA	377,302	1.34
43,181	Gerdau SA - Preference	254,808	0.90
12,405	Gold Fields Ltd	111,550	0.39
64,602	Hindalco Industries Ltd	323,358	1.15
15,579	Impala Platinum Holdings Ltd	256,934	0.91
81,100	Indorama Ventures PCL	103,115	0.36
151,345	Jiangxi Copper Co Ltd 'H'	309,869	1.10
49,162	Jindal Steel & Power Ltd	262,874	0.93
9,015	JSW Steel Ltd	82,946	0.29
31,862	Kingboard Holdings Ltd	176,833	0.63
72,310	Magnitogorsk Iron & Steel Works PJSC	59,973	0.21
412,000	MMG Ltd	181,441	0.64
6,628	Northam Platinum Ltd	100,696	0.36
16,115	Sasol Ltd	246,046	0.87
52,486	Sibanye Stillwater Ltd	219,299	0.78
15,605	Tata Steel Ltd	244,918	0.87
113,745	United Tractors Tbk PT	158,851	0.56
13,159	UPL Ltd	140,362	0.50
9,957	Vale SA	224,724	0.80
55,384	Vedanta Ltd	195,852	0.69
187,077	Zijin Mining Group Co Ltd 'H'	251,497	0.89
<b>Total Basic Materials</b>		<b>5,836,760</b>	<b>20.67</b>
<b>Communications (31 December 2020: US\$2,890,541, 7.33%)</b>			
15,421	Cyfrowy Polsat SA	121,277	0.43
6,714	momo.com Inc	454,227	1.61
39,281	MTN Group	283,987	1.01
2,295	PLDT Inc	60,789	0.21
77,182	TIM SA/Brazil	177,349	0.63
51,362	Weimob Inc '144A'	113,229	0.40
<b>Total Communications</b>		<b>1,210,858</b>	<b>4.29</b>
<b>Consumer, Cyclical (31 December 2020: US\$5,112,636, 12.96%)</b>			
8,191	Adani Enterprises Ltd	166,129	0.59
318,069	Astra International Tbk PT	108,363	0.38
359,110	Brilliance China Automotive Holdings Ltd	337,569	1.20
249,066	Dongfeng Motor Group Co Ltd 'H'	223,863	0.79
12,682	Falabella SA	56,937	0.20
12,365	Ford Otomotiv Sanayi AS	242,247	0.86
41,454	Fosun International Ltd	59,679	0.21
4,827	Giant Manufacturing Co Ltd	55,178	0.20
138,184	Guangzhou Automobile Group Co Ltd 'H'	124,023	0.44
20,963	Motherson Sumi Systems Ltd	68,278	0.24

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Emerging Markets AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$39,444,828, 100.00%) (continued)</b>			
<b>Consumer, Cyclical (31 December 2020: US\$5,112,636, 12.96%) (continued)</b>			
71,483	Sasa Polyester Sanayi AS	223,644	0.79
55,972	Tata Motors Ltd	255,726	0.91
23,274	Weichai Power Co Ltd 'H'	51,728	0.18
77,065	Yadea Group Holdings Ltd '144A'	165,724	0.59
	<b>Total Consumer, Cyclical</b>	<b>2,139,088</b>	<b>7.58</b>
<b>Consumer, Non-cyclical (31 December 2020: US\$3,479,042, 8.82%)</b>			
4,654	CanSino Biologics Inc 'H' '144A'	247,268	0.87
61,200	Charoen Pokphand Foods PCL	50,602	0.18
57,908	China Medical System Holdings Ltd	152,491	0.54
150,522	China Merchants Port Holdings Co Ltd	219,799	0.78
115,961	Gudang Garam Tbk PT	353,481	1.25
43,795	JBS SA	253,980	0.90
16,530	Shanghai Junshi Biosciences Co Ltd 'H' '144A'	137,292	0.49
71,746	Sinopharm Group Co Ltd 'H'	213,414	0.76
	<b>Total Consumer, Non-cyclical</b>	<b>1,628,327</b>	<b>5.77</b>
<b>Diversified (31 December 2020: US\$219,080, 0.56%)</b>			
49,257	KOC Holding AS	103,929	0.37
	<b>Total Diversified</b>	<b>103,929</b>	<b>0.37</b>
<b>Energy (31 December 2020: US\$4,214,696, 10.69%)</b>			
626,973	China Coal Energy Co Ltd 'H'	373,802	1.32
433,820	China Petroleum & Chemical Corp 'H'	219,540	0.78
84,262	China Shenhua Energy Co Ltd 'H'	165,142	0.59
15,183	Exxaro Resources Ltd	179,086	0.63
54,026	Hindustan Petroleum Corp Ltd	213,109	0.75
154,824	Kunlun Energy Co Ltd	142,746	0.51
319,454	PetroChina Co Ltd 'H'	155,494	0.55
17,958	Polski Koncern Naftowy ORLEN SA	362,178	1.28
189,492	Polskie Gornictwo Naftowe i Gazownictwo SA	332,095	1.18
31,700	PTT Exploration & Production PCL	115,722	0.41
244,200	Yanzhou Coal Mining Co Ltd 'H'	328,291	1.16
	<b>Total Energy</b>	<b>2,587,205</b>	<b>9.16</b>
<b>Financial (31 December 2020: US\$4,265,701, 10.81%)</b>			
141,843	Agile Group Holdings Ltd	183,746	0.65
144,454	Agricultural Bank of China Ltd 'H'	50,223	0.18
437	Bajaj Finserv Ltd	71,191	0.25
922,108	Banco Santander Chile	45,984	0.16
113,983	Bank of Baroda	131,725	0.47
303,454	Bank of China Ltd 'H'	109,021	0.39
181,546	Bank of Communications Co Ltd 'H'	122,031	0.43
277,274	China Cinda Asset Management Co Ltd 'H'	52,843	0.19
113,908	China CITIC Bank Corp Ltd 'H'	53,978	0.19
137,184	China Construction Bank Corp 'H'	107,934	0.38
132,816	China Everbright Bank Co Ltd 'H'	54,215	0.19
111,367	China Overseas Land & Investment Ltd	252,969	0.90
1,622,829	China Reinsurance Group Corp 'H'	165,087	0.58
11,638	China Resources Land Ltd	47,132	0.17
134,274	Chongqing Rural Commercial Bank Co Ltd 'H'	53,081	0.19

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Emerging Markets AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$39,444,828, 100.00%) (continued)</b>			
<b>Financial (31 December 2020: US\$4,265,701, 10.81%) (continued)</b>			
59,638	CIFI Holdings Group Co Ltd	46,538	0.16
45,092	Country Garden Holdings Co Ltd	50,516	0.18
11,155	Country Garden Services Holdings Co Ltd	120,516	0.43
48,000	Far East Horizon Ltd	50,189	0.18
197,923	Fibra Uno Administracion SA de CV (REIT)	214,022	0.76
58,487	Fubon Financial Holding Co Ltd	155,126	0.55
175,144	Guangzhou R&F Properties Co Ltd 'H'	199,821	0.71
75,818	IDFC First Bank Ltd	55,285	0.19
101,469	KWG Group Holdings Ltd	135,887	0.48
103,000	Logan Group Co Ltd	154,119	0.54
266,730	PICC Property & Casualty Co Ltd 'H'	233,557	0.83
235,092	Seazen Group Ltd	222,807	0.79
8,908	Shriram Transport Finance Co Ltd	160,908	0.57
46,369	State Bank of India	261,508	0.93
53,546	Sunac China Holdings Ltd	183,754	0.65
<b>Total Financial</b>		<b>3,745,713</b>	<b>13.27</b>
<b>Industrial (31 December 2020: US\$8,044,579, 20.39%)</b>			
35,667	Anhui Conch Cement Co Ltd 'H'	189,224	0.67
103,247	Asia Cement Corp	187,873	0.67
391,533	AU Optronics Corp	318,285	1.13
20,009	BYD Electronic International Co Ltd	131,404	0.47
39,268	Catcher Technology Co Ltd	256,502	0.91
327,791	Cemex SAB de CV	276,911	0.98
61,429	China Conch Venture Holdings Ltd	258,663	0.92
201,062	China National Building Material Co Ltd 'H'	236,122	0.84
328,107	China Railway Group Ltd 'H'	171,113	0.61
256,908	China Resources Cement Holdings Ltd	244,144	0.86
1,171,094	China Tower Corp Ltd 'H' '144A'	161,357	0.57
134,938	COSCO SHIPPING Holdings Co Ltd 'H'	339,872	1.20
125,232	Dongfang Electric Corp Ltd 'H'	100,304	0.36
241,433	Enka Insaat ve Sanayi AS	259,289	0.92
145,021	Evergreen Marine Corp Taiwan Ltd	1,025,362	3.63
8,734	Grasim Industries Ltd	176,108	0.62
14,546	Haitian International Holdings Ltd	48,794	0.17
40,254	Hon Hai Precision Industry Co Ltd	161,811	0.57
107,001	Huaxin Cement Co Ltd 'A'	200,092	0.71
1,112,004	Metallurgical Corp of China Ltd 'H'	259,177	0.92
28,637	Micro-Star International Co Ltd	161,878	0.57
18,768	Nan Ya Printed Circuit Board Corp	262,365	0.93
44,830	Pegatron Corp	110,697	0.39
69,984	Taiwan Cement Corp	128,100	0.45
53,000	Top Glove Corp Bhd	53,236	0.19
30,784	Xinjiang Goldwind Science & Technology Co Ltd 'H'	48,123	0.17
15,438	Zhuzhou CRRC Times Electric Co Ltd 'H'	91,246	0.32
121,351	Zoomlion Heavy Industry Science and Technology Co Ltd 'H'	127,042	0.45
<b>Total Industrial</b>		<b>5,985,094</b>	<b>21.20</b>
<b>Technology (31 December 2020: US\$2,548,216, 6.46%)</b>			
76,257	ASE Technology Holding Co Ltd	306,533	1.09

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Emerging Markets AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$39,444,828, 100.00%) (continued)</b>			
<b>Technology (31 December 2020: US\$2,548,216, 6.46%) (continued)</b>			
22,298	Asustek Computer Inc	297,307	1.05
390,599	Innolux Corp	290,890	1.03
35,000	Kingsoft Corp Ltd	209,797	0.74
203,092	Lenovo Group Ltd	233,537	0.83
3,430	MediaTek Inc	118,427	0.42
11,888	Novatek Microelectronics Corp	212,907	0.76
17,305	Quanta Computer Inc	54,345	0.19
6,726	Realtek Semiconductor Corp	121,907	0.43
33,087	United Microelectronics Corp	63,057	0.22
<b>Total Technology</b>		<b>1,908,707</b>	<b>6.76</b>
<b>Utilities (31 December 2020: US\$3,101,910, 7.86%)</b>			
81,904	Beijing Enterprises Holdings Ltd	290,562	1.03
28,558	Centrais Eletricas Brasileiras SA	245,693	0.87
127,732	China Longyuan Power Group Corp Ltd 'H'	220,074	0.78
217,803	China Resources Power Holdings Co Ltd	297,291	1.05
150,728	China Suntien Green Energy Corp Ltd 'H'	73,949	0.26
155,832	GAIL India Ltd	313,739	1.11
561,221	Huadian Power International Corp Ltd 'H'	182,838	0.65
923	Huaneng Renewables Corp Ltd 'H'	377	0.00
4,251,443	Inter RAO UES PJSC	272,649	0.96
158,538	NTPC Ltd	248,269	0.88
19,583	Power Grid Corp of India Ltd	61,228	0.22
26,783,405	RusHydro PJSC	318,745	1.13
81,802	Tata Power Co Ltd/The	134,374	0.48
47,300	Tenaga Nasional Bhd	111,542	0.39
<b>Total Utilities</b>		<b>2,771,330</b>	<b>9.81</b>
<b>Total Common Stock</b>		<b>27,917,011</b>	<b>98.88</b>
<b>Total Transferable Securities admitted to an official stock exchange listing</b>		<b>27,917,011</b>	<b>98.88</b>
<b>Total financial assets and liabilities at fair value through profit or loss</b>		<b>27,917,011</b>	<b>98.88</b>
<b>Cash at bank and at broker</b>		<b>126,426</b>	<b>0.45</b>
<b>Other Net Assets</b>		<b>190,776</b>	<b>0.67</b>
<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>		<b>28,234,213</b>	<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Emerging Markets AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

<b>Country Allocation</b>	<b>% of Net Assets</b>
Bermuda	1.71
Brazil	7.17
Cayman Islands	9.73
Chile	0.36
China	24.46
Hong Kong	6.09
India	12.64
Indonesia	2.19
Malaysia	0.58
Mexico	1.74
Philippines	0.21
Poland	2.89
Russia	2.30
South Africa	6.12
Taiwan	16.80
Thailand	0.95
Turkey	2.94
<b>Total Investments</b>	<b>98.88</b>
<b>Net Other Assets and Liabilities</b>	<b>1.12</b>
<b>Total</b>	<b>100.00</b>

<b>Analysis of Total Assets</b>	<b>% of Total Assets</b>
Transferable securities admitted to an official stock exchange listing	98.52
Cash	0.47
Other Assets	1.01
<b>Total Assets</b>	<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust United Kingdom AlphaDEX<sup>®</sup> UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value £	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: £13,885,800, 99.52%)</b>			
<b>Basic Materials (31 December 2020: £1,600,825, 11.47%)</b>			
9,471	Anglo American Plc	272,054	1.86
15,876	Antofagasta Plc	227,900	1.56
2,970	BHP Group Plc	63,261	0.43
60,616	Evraz Plc	358,847	2.45
10,124	Fresnillo Plc	78,117	0.53
122,688	Glencore Plc	379,658	2.60
4,715	Johnson Matthey Plc	144,892	0.99
16,625	Mondi Plc	316,041	2.16
3,394	Polymetal International Plc	52,760	0.36
3,168	Rio Tinto Plc	188,464	1.29
<b>Total Basic Materials</b>		<b>2,081,994</b>	<b>14.23</b>
<b>Communications (31 December 2020: £1,064,714, 7.63%)</b>			
5,977	ASOS Plc	296,459	2.03
216,154	BT Group Plc	419,339	2.86
160,597	ITV Plc	201,630	1.38
33,611	Pearson Plc	278,971	1.91
236,368	Vodafone Group Plc	286,809	1.96
<b>Total Communications</b>		<b>1,483,208</b>	<b>10.14</b>
<b>Consumer, Cyclical (31 December 2020: £4,613,144, 33.06%)</b>			
57,467	B&M European Value Retail SA	329,401	2.25
8,533	Barratt Developments Plc	59,321	0.41
1,935	Bellway Plc	62,694	0.43
1,206	Berkeley Group Holdings Plc	55,416	0.38
4,681	Bunzl Plc	111,829	0.76
20,176	Entain Plc	352,172	2.41
3,267	Ferguson Plc	328,334	2.24
378	Flutter Entertainment Plc	49,688	0.34
1,531	Games Workshop Group Plc	174,534	1.19
8,387	Howden Joinery Group Plc	68,488	0.47
26,592	JD Sports Fashion Plc	244,381	1.67
84,575	Kingfisher Plc	308,276	2.11
807	Next Plc	63,398	0.43
6,199	Persimmon Plc	183,366	1.25
103,449	Taylor Wimpey Plc	164,432	1.12
17,981	Travis Perkins Plc	303,699	2.08
2,505	Wizz Air Holdings Plc '144A'	116,958	0.80
<b>Total Consumer, Cyclical</b>		<b>2,976,387</b>	<b>20.34</b>
<b>Consumer, Non-cyclical (31 December 2020: £1,928,259, 13.82%)</b>			
3,689	Abcam Plc	50,945	0.35
6,652	Ashtead Group Plc	356,813	2.44
7,576	Associated British Foods Plc	167,884	1.15
10,556	British American Tobacco Plc	295,568	2.02
2,405	Coca-Cola HBC AG	62,867	0.43
8,521	GlaxoSmithKline Plc	120,947	0.83
4,541	Hikma Pharmaceuticals Plc	111,073	0.76
11,170	Imperial Brands Plc	173,917	1.19
16,659	IWG Plc	50,077	0.34
76,061	J Sainsbury Plc	206,734	1.41
42,388	Tate & Lyle Plc	312,993	2.14
49,487	Tesco Plc	110,331	0.75

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust United Kingdom AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value £	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: £13,885,800, 99.52%) (continued)</b>			
<b>Consumer, Non-cyclical (31 December 2020: £1,928,259, 13.82%) (continued)</b>			
161,186	Wm Morrison Supermarkets Plc	397,646	2.72
	<b>Total Consumer, Non-cyclical</b>	<b>2,417,795</b>	<b>16.53</b>
<b>Energy (31 December 2020: £387,033, 2.77%)</b>			
2,208	DCC Plc	130,670	0.89
	<b>Total Energy</b>	<b>130,670</b>	<b>0.89</b>
<b>Financial (31 December 2020: £2,391,105, 17.14%)</b>			
14,812	3i Group Plc	173,745	1.19
3,963	Admiral Group Plc	124,597	0.85
70,323	Aviva Plc	285,441	1.95
155,911	Barclays Plc	266,795	1.82
71,690	Direct Line Insurance Group Plc	204,317	1.40
26,530	IG Group Holdings Plc	224,709	1.54
152,623	Investec Plc	440,012	3.01
42,955	Legal & General Group Plc	110,652	0.76
470,686	Lloyds Banking Group Plc	219,763	1.50
115,530	M&G Plc	264,448	1.81
64,221	Melrose Industries Plc	99,607	0.68
102,307	Natwest Group Plc	207,888	1.42
12,733	Prudential Plc	174,888	1.19
12,067	Segro Plc (REIT)	132,073	0.90
49,086	Standard Chartered Plc	226,286	1.55
	<b>Total Financial</b>	<b>3,155,221</b>	<b>21.57</b>
<b>Industrial (31 December 2020: £1,447,181, 10.37%)</b>			
23,393	BAE Systems Plc	122,111	0.83
45,787	DS Smith Plc	191,344	1.31
19,703	Electrocomponents Plc	202,744	1.39
4,908	IMI Plc	84,418	0.58
36,767	Meggitt Plc	169,569	1.16
84,650	Royal Mail Plc	488,769	3.34
3,800	Smiths Group Plc	60,420	0.41
10,144	Spectris Plc	328,463	2.24
14,369	Weir Group Plc/The	265,970	1.82
	<b>Total Industrial</b>	<b>1,913,808</b>	<b>13.08</b>
<b>Technology (31 December 2020: £405,356, 2.91%)</b>			
9,823	Sage Group Plc/The	67,209	0.46
	<b>Total Technology</b>	<b>67,209</b>	<b>0.46</b>
<b>Utilities (31 December 2020: £48,183, 0.35%)</b>			
13,219	National Grid Plc	121,720	0.83
12,036	Pennon Group Plc	136,669	0.94

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust United Kingdom AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value £	% of Net Assets
	<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>		
	<b>Common Stock (31 December 2020: £13,885,800, 99.52%) (continued)</b>		
	<b>Utilities (31 December 2020: £48,183, 0.35%) (continued)</b>		
3,812	SSE Plc	57,199	0.39
	<b>Total Utilities</b>	<b>315,588</b>	<b>2.16</b>
	<b>Total Common Stock</b>	<b>14,541,880</b>	<b>99.40</b>
	<b>Total Transferable Securities admitted to an official stock exchange listing</b>	<b>14,541,880</b>	<b>99.40</b>
	<b>Total financial assets and liabilities at fair value through profit or loss</b>	<b>14,541,880</b>	<b>99.40</b>
	<b>Cash at bank and at broker</b>	<b>41,628</b>	<b>0.28</b>
	<b>Other Net Assets</b>	<b>46,224</b>	<b>0.32</b>
	<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>	<b>14,629,732</b>	<b>100.00</b>

<b>Country Allocation</b>	<b>% of Net Assets</b>
Ireland	1.23
Isle of Man	2.41
Jersey	6.34
Luxembourg	2.25
Switzerland	0.43
United Kingdom	86.74
<b>Total Investments</b>	<b>99.40</b>
<b>Net Other Assets and Liabilities</b>	<b>0.60</b>
<b>Total</b>	<b>100.00</b>

<b>Analysis of Total Assets</b>	<b>% of Total Assets</b>
Transferable securities admitted to an official stock exchange listing	99.35
Cash	0.28
Other Assets	0.37
<b>Total Assets</b>	<b>100.00</b>



# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Eurozone AlphaDEX® UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value €	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: €130,236,588, 99.93%)</b>			
<b>Basic Materials (31 December 2020: €13,000,227, 9.97%)</b>			
68,079	ArcelorMittal SA	1,759,842	1.14
6,490	Arkema SA	686,642	0.44
9,468	BASF SE	629,054	0.41
13,820	Brenntag SE	1,083,764	0.70
11,697	Covestro AG '144A'	637,019	0.41
11,119	Evonik Industries AG	314,445	0.20
26,675	LANXESS AG	1,542,349	1.00
90,982	OCI NV	1,866,951	1.21
16,701	Smurfit Kappa Group Plc	764,071	0.49
63,255	Stora Enso Oyj	973,178	0.63
147,281	thyssenkrupp AG	1,294,600	0.84
29,658	Umicore SA	1,527,387	0.99
37,969	voestalpine AG	1,303,855	0.84
5,527	Wacker Chemie AG	718,786	0.46
<b>Total Basic Materials</b>		<b>15,101,943</b>	<b>9.76</b>
<b>Communications (31 December 2020: €14,895,598, 11.43%)</b>			
41,972	1&1 AG	1,082,878	0.70
325,749	Bollore SA	1,472,385	0.95
58,595	Deutsche Telekom AG	1,043,694	0.68
73,544	Hellenic Telecommunications Organization SA	1,040,648	0.67
10,344	Iliad SA	1,276,450	0.83
115,880	Koninklijke KPN NV	305,228	0.20
162,733	Orange SA	1,564,678	1.01
96,174	ProSiebenSat.1 Media SE	1,613,319	1.04
90,344	Proximus SADP	1,471,704	0.95
32,221	Publicis Groupe SA	1,738,001	1.12
3,108	Reply SpA	430,769	0.28
3,635,714	Telecom Italia SpA/Milano	1,523,000	0.98
670,716	Telefonica Deutschland Holding AG	1,492,343	0.97
249,522	Telekom Austria AG - Class A	1,796,558	1.16
29,417	United Internet AG	1,014,298	0.66
<b>Total Communications</b>		<b>18,865,953</b>	<b>12.20</b>
<b>Consumer, Cyclical (31 December 2020: €10,905,239, 8.37%)</b>			
18,953	Bayerische Motoren Werke AG	1,692,692	1.10
7,881	Cie Generale des Etablissements Michelin SCA	1,059,994	0.69
21,470	Cie Plastic Omnium SA	565,520	0.37
22,060	Daimler AG	1,661,118	1.07
29,212	De' Longhi SpA	1,073,249	0.69
16,065	D'ieteren Group	1,638,630	1.06
18,544	Porsche Automobil Holding SE - Preference	1,675,636	1.08
18,162	Renault SA	619,052	0.40
99,218	Rexel SA	1,750,205	1.13
44,243	Schaeffler AG - Preference	344,211	0.22
7,028	Volkswagen AG - Preference	1,484,314	0.96
<b>Total Consumer, Cyclical</b>		<b>13,564,621</b>	<b>8.77</b>
<b>Consumer, Non-cyclical (31 December 2020: €17,986,508, 13.80%)</b>			
65,139	Carrefour SA	1,080,330	0.70
19,781	Etablissements Franz Colruyt NV	932,872	0.60
8,229	Eurofins Scientific SE	793,276	0.51
21,388	Fresenius Medical Care AG & Co KGaA	1,498,016	0.97

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Eurozone AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value €	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: €130,236,588, 99.93%) (continued)</b>			
<b>Consumer, Non-cyclical (31 December 2020: €17,986,508, 13.80%) (continued)</b>			
35,319	Fresenius SE & Co KGaA	1,553,859	1.00
52,771	Glanbia Plc	722,435	0.47
5,273	HelloFresh SE	432,281	0.28
18,338	Ipsen SA	1,608,609	1.04
38,577	Kesko Oyj	1,201,674	0.78
42,361	Koninklijke Ahold Delhaize NV	1,061,990	0.69
11,182	Randstad NV	721,239	0.46
19,903	Sanofi	1,758,629	1.14
955	Sartorius Stedim Biotech	380,949	0.25
8,268	UCB SA	728,907	0.47
<b>Total Consumer, Non-cyclical</b>		<b>14,475,066</b>	<b>9.36</b>
<b>Energy (31 December 2020: €5,029,840, 3.86%)</b>			
23,700	Koninklijke Vopak NV	907,710	0.59
31,009	OMV AG	1,487,502	0.96
31,757	Repsol SA	335,163	0.22
24,903	Rubis SCA	933,614	0.60
10,162	Siemens Gamesa Renewable Energy SA	286,162	0.18
<b>Total Energy</b>		<b>3,950,151</b>	<b>2.55</b>
<b>Financial (31 December 2020: €26,313,647, 20.19%)</b>			
32,371	ABN AMRO Bank NV Dutch Cert '144A'	329,925	0.21
331,218	Aegon NV	1,158,932	0.75
13,009	Ageas SA/NV	608,821	0.39
598,320	AIB Group Plc	1,300,149	0.84
3,090	Allianz SE	649,827	0.42
4,917	Amundi SA '144A'	365,579	0.24
110,497	Aroundtown SA	727,070	0.47
26,330	ASR Nederland NV	858,095	0.55
78,630	Assicurazioni Generali SpA	1,329,240	0.86
29,308	AXA SA	626,752	0.40
41,659	Banca Mediolanum SpA	341,770	0.22
75,770	Banco Bilbao Vizcaya Argentaria SA	396,126	0.26
688,621	Banco BPM SpA	1,868,229	1.21
115,761	Banco Santander SA	372,693	0.24
317,124	Bank of Ireland Group Plc	1,432,449	0.93
230,733	Bankinter SA	978,077	0.63
7,615	BAWAG Group AG '144A'	341,761	0.22
19,392	BNP Paribas SA	1,025,255	0.66
254,155	CaixaBank SA	659,278	0.43
87,037	CNP Assurances	1,248,981	0.81
4,594	Covivio (REIT)	331,319	0.21
54,331	Credit Agricole SA	641,866	0.41
65,834	Deutsche Bank AG	723,252	0.47
42,152	Deutsche Wohnen SE	2,174,200	1.41
34,788	Erste Group Bank AG	1,076,341	0.70
4,662	EXOR NV	314,965	0.20
62,801	Grand City Properties SA	1,430,607	0.92
3,800	Groupe Bruxelles Lambert SA	358,492	0.23
39,454	Grupo Catalana Occidente SA	1,284,228	0.83
2,153	Hannover Rueck SE	303,788	0.20
160,735	ING Groep NV	1,790,588	1.16
290,291	Intesa Sanpaolo SpA	676,233	0.44

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Eurozone AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value €	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: €130,236,588, 99.93%) (continued)</b>			
<b>Financial (31 December 2020: €26,313,647, 20.19%) (continued)</b>			
60,316	Kojamo Oyj	1,162,289	0.75
14,950	LEG Immobilien SE	1,815,677	1.17
377,656	Mapfre SA	673,172	0.43
106,395	Mediobanca Banca di Credito Finanziario SpA	1,047,991	0.68
1,277	Muenchener Rueckversicherungs-Gesellschaft AG in Muenchen	294,923	0.19
32,176	NN Group NV	1,279,961	0.83
71,619	Raiffeisen Bank International AG	1,367,923	0.88
34,573	SCOR SE	927,248	0.60
60,114	Societe Generale SA	1,494,434	0.97
5,812	Sofina SA	2,114,406	1.37
18,549	Talanx AG	639,570	0.41
4,907	Unibail-Rodamco-Westfield (REIT)	358,162	0.23
24,083	Vonovia SE	1,313,005	0.85
23,801	Warehouses De Pauw CVA (REIT)	766,392	0.50
<b>Total Financial</b>		<b>42,980,041</b>	<b>27.78</b>
<b>Industrial (31 December 2020: €22,710,680, 17.43%)</b>			
15,526	Aalberts NV	703,638	0.45
2,347	Acciona SA	298,773	0.19
4,946	Ackermans & van Haaren NV	704,310	0.45
35,601	ACS Actividades de Construcción y Servicios SA	804,227	0.52
8,747	ANDRITZ AG	414,433	0.27
39,235	Bouygues SA	1,223,740	0.79
75,633	Buzzi Unicem SpA	1,691,910	1.09
26,658	Cie de Saint-Gobain	1,480,585	0.96
16,780	CRH Plc	713,150	0.46
21,534	Deutsche Post AG	1,235,190	0.80
7,857	Eiffage SA	674,131	0.44
9,595	GEA Group AG	327,765	0.21
17,318	HeidelbergCement AG	1,252,784	0.81
24,538	Jungheinrich AG - Preference	1,011,456	0.65
194,298	Leonardo SpA	1,323,558	0.86
35,282	Metso Outotec Oyj	345,622	0.22
92,811	Poste Italiane SpA '144A'	1,034,843	0.67
1,577	Sartorius AG - Preference	692,303	0.45
39,199	Signify NV '144A'	2,090,875	1.35
34,889	Tenaris SA	320,700	0.21
54,073	Valmet Oyj	1,988,805	1.29
<b>Total Industrial</b>		<b>20,332,798</b>	<b>13.14</b>
<b>Technology (31 December 2020: €8,923,497, 6.85%)</b>			
5,409	ASM International NV	1,498,293	0.97
2,595	ASML Holding NV	1,503,543	0.97
25,207	Atos SE	1,293,119	0.84
23,471	BE Semiconductor Industries NV	1,679,115	1.08
9,245	Capgemini SE	1,497,690	0.97
37,102	Infineon Technologies AG	1,254,790	0.81
3,848	SOITEC	715,343	0.46
<b>Total Technology</b>		<b>9,441,893</b>	<b>6.10</b>
<b>Utilities (31 December 2020: €10,471,352, 8.03%)</b>			
864,325	A2A SpA	1,490,096	0.96
53,974	ACEA SpA	1,051,953	0.68

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Eurozone AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value €	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: €130,236,588, 99.93%) (continued)</b>			
<b>Utilities (31 December 2020: €10,471,352, 8.03%) (continued)</b>			
68,862	EDP - Energias de Portugal SA	307,813	0.20
117,258	Electricite de France SA	1,350,812	0.87
3,571	Elia Group SA/NV	317,819	0.21
90,539	Enagas SA	1,764,153	1.14
29,730	Endesa SA	608,276	0.39
27,704	Engie SA	320,092	0.21
73,673	Fortum Oyj	1,713,634	1.11
410,475	Hera SpA	1,430,095	0.93
30,529	Iberdrola SA	313,838	0.20
181,766	Italgas SpA	1,001,894	0.65
66,628	Red Electrica Corp SA	1,043,061	0.67
30,104	RWE AG	919,978	0.60
141,860	Snam SpA	691,568	0.45
52,090	Terna SPA	327,334	0.21
32,580	Uniper SE	1,011,935	0.65
<b>Total Utilities</b>		<b>15,664,351</b>	<b>10.13</b>
<b>Total Common Stock</b>		<b>154,376,817</b>	<b>99.79</b>
<b>Total Transferable Securities admitted to an official stock exchange listing</b>		<b>154,376,817</b>	<b>99.79</b>
<b>Total financial assets and liabilities at fair value through profit or loss</b>		<b>154,376,817</b>	<b>99.79</b>
<b>Cash at bank and at broker</b>		<b>185,377</b>	<b>0.12</b>
<b>Other Net Assets</b>		<b>134,894</b>	<b>0.09</b>
<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>		<b>154,697,088</b>	<b>100.00</b>

Country Allocation	% of Net Assets
Austria	5.03
Belgium	7.22
Finland	4.78
France	20.74
Germany	24.85
Greece	0.67
Ireland	3.19
Italy	11.86
Luxembourg	3.25
Netherlands	11.67
Portugal	0.20
Spain	6.33
<b>Total Investments</b>	<b>99.79</b>
<b>Net Other Assets and Liabilities</b>	<b>0.21</b>
<b>Total</b>	<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Eurozone AlphaDEX<sup>®</sup> UCITS ETF (continued)

As at 30 June 2021

	<b>% of Total</b>
<b>Analysis of Total Assets</b>	<b>Assets</b>
Transferable securities admitted to an official stock exchange listing	99.74
Cash	0.12
Other Assets	0.14
Total Assets	<u>100.00</u>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Indxx NextG UCITS ETF<sup>1</sup>

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: US\$1,206,296, 99.29%)</b>			
<b>Basic Materials (30 June 2021: Nil; 31 December 2020: US\$96,008, 7.90%)</b>			
<b>Communications (31 December 2020: US\$143,827, 11.84%)</b>			
9,000	Advanced Info Service PCL	48,019	0.62
244	Arista Networks Inc	88,404	1.15
1,708	AT&T Inc	49,156	0.64
982	BCE Inc	48,477	0.63
6,673	Bharti Airtel Ltd	47,190	0.61
32,422	BT Group Plc	86,891	1.13
12,034	Chunghwa Telecom Co Ltd	49,237	0.64
1,517	Ciena Corp	86,302	1.12
1,626	Cisco Systems Inc/Delaware	86,178	1.12
2,311	Deutsche Telekom AG	48,816	0.63
48,448	DiGi.Com Bhd	48,197	0.62
1,474	Elisa Oyj	87,960	1.14
8,298	Emirates Telecommunications Group Co PJSC	49,700	0.64
461	F5 Networks Inc	86,050	1.12
1,151	GDS Holdings Ltd ADR	90,342	1.17
1,306	Globe Telecom Inc	49,228	0.64
3,094	Juniper Networks Inc	84,621	1.10
1,500	KDDI Corp	46,829	0.61
6,060	KT Corp ADR	84,537	1.10
5,254	Mobile TeleSystems PJSC ADR	48,652	0.63
6,259	MTN Group	45,250	0.59
3,438	Nippon Telegraph & Telephone Corp	89,659	1.16
16,450	Nokia Oyj ADR	87,514	1.13
37,162	Ooredoo QPSC	74,456	0.96
3,916	Orange SA	44,652	0.58
1,789	PLDT Inc ADR	47,015	0.61
966	Rogers Communications Inc	51,409	0.67
28,350	Singapore Telecommunications Ltd	48,297	0.63
169	SK Telecom Co Ltd	48,022	0.62
6,900	SoftBank Corp	90,361	1.17
14,580	Spark New Zealand Ltd	48,901	0.63
84	Swisscom AG	48,000	0.62
23,922	Taiwan Mobile Co Ltd	87,575	1.13
3,565	Tele2 AB	48,605	0.63
90,324	Telecom Italia SpA/Milano	44,870	0.58
6,802	Telefonaktiebolaget LM Ericsson - Class B	85,548	1.11
5,303	Telefonica Brasil SA ADR	45,076	0.58
18,005	Telefonica Deutschland Holding AG	47,508	0.62
9,982	Telefonica SA	46,652	0.60
5,136	Telenor ASA	86,603	1.12
20,146	Telia Co AB	89,468	1.16
18,013	Telstra Corp Ltd	50,847	0.66
2,197	TELUS Corp	49,323	0.64
341	T-Mobile US Inc	49,387	0.64
869	Verizon Communications Inc	48,690	0.63
4,993	Vodacom Group Ltd	45,028	0.58
27,124	Vodafone Group Plc	45,467	0.59
30,600	ZTE Corp 'H'	95,553	1.24
<b>Total Communications</b>		<b>3,004,522</b>	<b>38.94</b>
<b>Consumer, Cyclical (31 December 2020: US\$336,115, 27.67%)</b>			
666	LG Electronics Inc	96,693	1.25

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Indxx NextG UCITS ETF<sup>1</sup> (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$1,206,296, 99.29%) (continued)</b>			
<b>Consumer, Cyclical (31 December 2020: US\$336,115, 27.67%) (continued)</b>			
900	Sony Group Corp	87,697	1.14
	<b>Total Consumer, Cyclical</b>	<b>184,390</b>	<b>2.39</b>
<b>Consumer, Non-cyclical (30 June 2021: Nil; 31 December 2020: US\$120,258, 9.90%)</b>			
<b>Energy (30 June 2021: Nil; 31 December 2020: US\$15,617, 1.28%)</b>			
<b>Financial (31 December 2020: US\$47,150, 3.88%)</b>			
330	American Tower Corp (REIT)	89,146	1.16
648	CoreSite Realty Corp (REIT)	87,221	1.13
448	Crown Castle International Corp (REIT)	87,405	1.13
1,090	CyrusOne Inc (REIT)	77,957	1.01
546	Digital Realty Trust Inc (REIT)	82,151	1.07
108	Equinix Inc (REIT)	86,681	1.12
281	SBA Communications Corp (REIT) - Class A	89,554	1.16
	<b>Total Financial</b>	<b>600,115</b>	<b>7.78</b>
<b>Industrial (31 December 2020: US\$232,618, 19.15%)</b>			
803	Cellnex Telecom SA '144A'	51,156	0.66
641,996	China Tower Corp Ltd 'H' '144A'	88,456	1.15
8,393	Delta Electronics Inc	91,273	1.18
7,762	Infrastrutture Wireless Italiane SpA '144A'	87,557	1.14
601	Keysight Technologies Inc	92,801	1.20
1,350	Kyocera Corp	83,537	1.08
5,550	Mitsubishi Electric Corp	80,632	1.05
	<b>Total Industrial</b>	<b>575,412</b>	<b>7.46</b>
<b>Technology (31 December 2020: US\$154,834, 12.74%)</b>			
1,097	Advanced Micro Devices Inc	103,041	1.34
1,111	Amdocs Ltd	85,947	1.11
534	Analog Devices Inc	91,933	1.19
709	Apple Inc	97,105	1.26
21,253	ASE Technology Holding Co Ltd	85,432	1.11
191	Broadcom Inc	91,076	1.18
450	Fujitsu Ltd	84,332	1.09
6,750	HCL Technologies Ltd	89,313	1.16
5,725	Hewlett Packard Enterprise Co	83,471	1.08
2,179	Infineon Technologies AG	87,393	1.13
4,499	Infosys Ltd ADR	95,334	1.24
1,560	Intel Corp	87,578	1.13
594	International Business Machines Corp	87,074	1.13
74,999	Lenovo Group Ltd	86,242	1.12
1,102	Lumentum Holdings Inc	90,397	1.17
1,728	Marvell Technology Inc	100,794	1.31
2,482	MediaTek Inc	85,695	1.11
583	Microchip Technology Inc	87,298	1.13
1,128	Micron Technology Inc	95,857	1.24
1,950	NEC Corp	100,496	1.30
130	NVIDIA Corp	104,013	1.35
445	NXP Semiconductors NV	91,545	1.19
490	Qorvo Inc	95,869	1.24
666	QUALCOMM Inc	95,191	1.23
8,250	Renesas Electronics Corp	89,272	1.16
1,234	Samsung Electronics Co Ltd	88,429	1.15
532	Samsung SDS Co Ltd	87,395	1.13

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Indxx NextG UCITS ETF<sup>1</sup> (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$1,206,296, 99.29%) (continued)</b>			
<b>Technology (31 December 2020: US\$154,834, 12.74%) (continued)</b>			
528	Skyworks Solutions Inc	101,244	1.31
2,364	STMicroelectronics NV	85,800	1.11
757	Taiwan Semiconductor Manufacturing Co Ltd ADR	90,961	1.18
2,033	Tata Consultancy Services Ltd	91,510	1.19
6,139	Tech Mahindra Ltd	90,474	1.17
48,077	United Microelectronics Corp	91,625	1.19
547	VMware Inc	87,504	1.13
10,860	Wipro Ltd ADR	84,817	1.10
695	Xilinx Inc	100,525	1.30
<b>Total Technology</b>		<b>3,291,982</b>	<b>42.66</b>
<b>Utilities (30 June 2021: Nil; 31 December 2020: US\$59,869, 4.93%)</b>			
<b>Total Common Stock</b>		<b>7,656,421</b>	<b>99.23</b>
<b>Total Transferable Securities admitted to an official stock exchange listing</b>		<b>7,656,421</b>	<b>99.23</b>
<b>Total financial assets and liabilities at fair value through profit or loss</b>		<b>7,656,421</b>	<b>99.23</b>
<b>Cash at bank and at broker</b>		<b>100,638</b>	<b>1.30</b>
<b>Other Net Liabilities</b>		<b>(41,237)</b>	<b>(0.53)</b>
<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>		<b>7,715,822</b>	<b>100.00</b>



# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Indxx NextG UCITS ETF<sup>1</sup> (continued)

As at 30 June 2021

<b>Country Allocation</b>	<b>% of Net Assets</b>
Australia	0.66
Brazil	0.58
Canada	1.94
Cayman Islands	1.17
China	2.39
Finland	2.27
France	0.58
Germany	2.38
Guernsey	1.11
Hong Kong	1.12
India	6.47
Italy	1.72
Japan	9.76
Korea, Republic of (South Korea)	5.25
Malaysia	0.62
Netherlands	2.30
New Zealand	0.63
Norway	1.12
Philippines	1.25
Qatar	0.96
Russia	0.63
Singapore	0.63
South Africa	1.17
Spain	1.26
Sweden	2.90
Switzerland	0.62
Taiwan	7.54
Thailand	0.62
United Arab Emirates	0.64
United Kingdom	1.72
United States	37.22
<b>Total Investments</b>	<b>99.23</b>
<b>Net Other Assets and Liabilities</b>	<b>0.77</b>
<b>Total</b>	<b>100.00</b>

	<b>% of Total Assets</b>
<b>Analysis of Total Assets</b>	
Transferable securities admitted to an official stock exchange listing	98.61
Cash	1.30
Other Assets	0.09
<b>Total Assets</b>	<b>100.00</b>

<sup>1</sup> Please refer to Note 14 for details of significant events during the financial period.

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust US Equity Opportunities UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: US\$13,150,445, 99.81%)</b>			
<b>Basic Materials (31 December 2020: US\$430,088, 3.26%)</b>			
893	Arconic Corp	31,809	0.19
6,051	Dow Inc	382,907	2.31
407	Zymergen Inc	16,284	0.10
<b>Total Basic Materials</b>		<b>431,000</b>	<b>2.60</b>
<b>Communications (31 December 2020: US\$3,930,191, 29.83%)</b>			
1,755	Airbnb Inc	268,761	1.62
768	Bumble Inc	44,237	0.27
1,434	Chewy Inc - Class A	114,304	0.69
6,567	Corning Inc	268,590	1.62
597	Expedia Group Inc	97,735	0.59
2,675	Lyft Inc	161,784	0.98
5,042	Pinterest Inc	398,066	2.41
1,843	RealReal Inc/The	36,417	0.22
17,010	Snap Inc	1,159,061	7.01
870	Stitch Fix Inc	52,461	0.32
981	Switch Inc	20,709	0.12
17,156	Uber Technologies Inc	859,859	5.20
<b>Total Communications</b>		<b>3,481,984</b>	<b>21.05</b>
<b>Consumer, Cyclical (31 December 2020: US\$1,020,395, 7.75%)</b>			
1,122	Academy Sports & Outdoors Inc	46,271	0.28
902	Acushnet Holdings Corp	44,559	0.27
1,670	BJ's Wholesale Club Holdings Inc	79,459	0.48
1,948	BorgWarner Inc 15/02/2020	94,556	0.57
1,575	Carvana Co - Class A	475,366	2.87
2,418	DraftKings Inc - Class A	126,147	0.76
468	Kontoor Brands Inc	26,400	0.16
2,438	Levi Strauss & Co	67,581	0.41
2,023	Sonos Inc	71,270	0.43
849	TuSimple Holdings Inc	60,483	0.37
2,479	YETI Holdings Inc	227,622	1.38
<b>Total Consumer, Cyclical</b>		<b>1,319,714</b>	<b>7.98</b>
<b>Consumer, Non-cyclical (31 December 2020: US\$2,750,669, 20.88%)</b>			
981	10X Genomics Inc (Units)	192,099	1.16
548	Accolade Inc	29,762	0.18
1,587	agilon health Inc	64,385	0.39
1,894	Albertsons Cos Inc	37,236	0.23
2,483	Alcon Inc	174,456	1.05
1,122	Alexion Pharmaceuticals Inc	206,123	1.25
11,818	Avantor Inc	419,657	2.54
512	Beyond Meat Inc	80,635	0.49
264	Biohaven Pharmaceutical Holding Co Ltd	25,629	0.15
175	C4 Therapeutics Inc	6,622	0.04
5,995	Corteva Inc	265,878	1.61
4,321	Elanco Animal Health Inc	149,896	0.91
1,306	Envista Holdings Corp	56,432	0.34
697	Exact Sciences Corp	86,644	0.52
508	HealthEquity Inc	40,884	0.25
1,825	Horizon Therapeutics Plc	170,893	1.03
359	Karuna Therapeutics Inc	40,922	0.25
5,755	Keurig Dr Pepper Inc	202,806	1.23

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust US Equity Opportunities UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$13,150,445, 99.81%) (continued)</b>			
<b>Consumer, Non-cyclical (31 December 2020: US\$2,750,669, 20.88%) (continued)</b>			
2,092	Maravai LifeSciences Holdings Inc - Class A	87,299	0.53
1,022	Medpace Holdings Inc	180,516	1.09
978	Oak Street Health Inc	57,282	0.35
954	Ortho Clinical Diagnostics Holdings Plc	20,425	0.12
4,278	PPD Inc	197,173	1.19
359	Progyny Inc	21,181	0.13
2,300	Shift4 Payments Inc - Class A	215,556	1.30
142	Shockwave Medical Inc	26,942	0.16
1,555	Simply Good Foods Co/The	56,773	0.34
556	Utz Brands Inc	12,115	0.07
<b>Total Consumer, Non-cyclical</b>		<b>3,126,221</b>	<b>18.90</b>
<b>Energy (31 December 2020: US\$55,412, 0.42%)</b>			
1,226	ChampionX Corp	31,447	0.19
5,270	Range Resources Corp	88,325	0.53
<b>Total Energy</b>		<b>119,772</b>	<b>0.72</b>
<b>Financial (31 December 2020: US\$616,663, 4.68%)</b>			
1,483	Coinbase Global Inc	375,644	2.27
5,934	DigitalBridge Group Inc (REIT)	46,879	0.28
1,739	Equitable Holdings Inc	52,952	0.32
1,464	Focus Financial Partners Inc - Class A	71,004	0.43
208	Jones Lang LaSalle Inc	40,656	0.25
3,940	KeyCorp	81,361	0.49
623	Lemonade Inc	68,162	0.41
6,045	Rocket Cos Inc	116,971	0.71
432	Safehold Inc (REIT)	33,912	0.21
2,653	Selectquote Inc	51,097	0.31
3,226	SoFi Technologies Inc	61,842	0.37
1,207	Synovus Financial Corp	52,963	0.32
6,600	Tradeweb Markets Inc - Class A	558,096	3.37
312	Upstart Holdings Inc	38,969	0.24
<b>Total Financial</b>		<b>1,650,508</b>	<b>9.98</b>
<b>Industrial (31 December 2020: US\$500,561, 3.80%)</b>			
489	Arcosa Inc	28,724	0.17
382	Atkore Inc	27,122	0.16
4,410	Carrier Global Corp	214,326	1.30
3,552	Gates Industrial Corp Plc	64,185	0.39
1,586	Jacobs Engineering Group Inc	211,604	1.28
1,122	Keysight Technologies Inc	173,248	1.05
2,614	Otis Worldwide Corp	213,747	1.29
1,430	Vertiv Holdings Co - Class A	39,039	0.24
1,842	WillScot Mobile Mini Holdings Corp	51,336	0.31
<b>Total Industrial</b>		<b>1,023,331</b>	<b>6.19</b>
<b>Technology (31 December 2020: US\$3,846,466, 29.19%)</b>			
1,481	AppLovin Corp	111,327	0.67
664	Asana Inc	41,188	0.25
758	Bill.com Holdings Inc	138,850	0.84
2,522	Cloudflare Inc	266,928	1.61
1,834	CrowdStrike Holdings Inc	460,903	2.79
3,721	Dell Technologies Inc	370,872	2.24

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust US Equity Opportunities UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$13,150,445, 99.81%) (continued)</b>			
<b>Technology (31 December 2020: US\$3,846,466, 29.19%) (continued)</b>			
1,780	DocuSign Inc - Class A	497,635	3.01
6,516	Dropbox Inc	197,500	1.19
4,607	Dynatrace Inc	269,141	1.63
181	Health Catalyst Inc	10,047	0.06
183	J2 Global Inc	25,172	0.15
23,290	Marvell Technology Inc	1,358,506	8.21
5,250	McAfee Corp	147,105	0.89
7,620	Palantir Technologies Inc	200,863	1.22
524	Procore Technologies Inc	49,754	0.30
1,851	ROBLOX Corp	166,553	1.01
1,741	Sprout Social Inc - Class A	155,680	0.94
701	Twilio Inc - Class A	276,306	1.67
264	Verint Systems Inc	11,898	0.07
1,316	Zoom Video Communications Inc - Class A	509,332	3.08
556	Zscaler Inc	120,129	0.73
<b>Total Technology</b>		<b>5,385,689</b>	<b>32.56</b>
<b>Total Common Stock</b>		<b>16,538,219</b>	<b>99.98</b>
<b>Total Transferable Securities admitted to an official stock exchange listing</b>		<b>16,538,219</b>	<b>99.98</b>
<b>Total financial assets and liabilities at fair value through profit or loss</b>		<b>16,538,219</b>	<b>99.98</b>
<b>Cash at bank and at broker</b>		<b>9,870</b>	<b>0.06</b>
<b>Other Net Liabilities</b>		<b>(6,079)</b>	<b>(0.04)</b>
<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>		<b>16,542,010</b>	<b>100.00</b>

<b>Country Allocation</b>	<b>% of Net Assets</b>
British Virgin Islands	0.15
Ireland	1.03
Switzerland	1.05
United Kingdom	0.51
United States	97.24
<b>Total Investments</b>	<b>99.98</b>
<b>Net Other Assets and Liabilities</b>	<b>0.02</b>
<b>Total</b>	<b>100.00</b>

<b>Analysis of Total Assets</b>	<b>% of Total Assets</b>
Transferable securities admitted to an official stock exchange listing	99.93
Cash	0.06
Other Assets	0.01
<b>Total Assets</b>	<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Global Equity Income UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: US\$21,515,629, 99.69%)</b>			
<b>Basic Materials (31 December 2020: US\$799,889, 3.71%)</b>			
213	Anglo American Platinum Ltd	24,605	0.10
14,000	China Hongqiao Group Ltd	18,965	0.08
2,948	Eregli Demir ve Celik Fabrikalari TAS	6,108	0.02
755	Evolution Mining Ltd	2,551	0.01
7	Evonik Industries AG	235	0.00
22,290	Fortescue Metals Group Ltd	390,575	1.65
4,104	Gold Fields Ltd	36,905	0.16
32,081	Grupo Mexico SAB de CV	151,072	0.64
6,457	Impala Platinum Holdings Ltd	106,491	0.45
111	International Paper Co	6,805	0.03
1,112	Kumba Iron Ore Ltd	49,888	0.21
361	LyondellBasell Industries NV	37,136	0.16
12,429	Magnitogorsk Iron & Steel Works PJSC	10,308	0.04
411	Mineral Resources Ltd	16,579	0.07
289	Mitsui Chemicals Inc	9,986	0.04
15,925	Nine Dragons Paper Holdings Ltd	20,424	0.09
250	Northern Star Resources Ltd	1,835	0.01
87	Nucor Corp	8,346	0.03
2,909	Polymetal International Plc	62,470	0.26
533	Polyus PJSC	103,348	0.44
3,797	Rio Tinto Ltd	360,998	1.53
4,016	Rio Tinto Plc	330,045	1.39
25,407	Sibanye Stillwater Ltd	106,156	0.45
6,188	United Tractors Tbk PT	8,642	0.04
6,980	Vale SA	157,535	0.67
131	Yara International ASA	6,899	0.03
<b>Total Basic Materials</b>		<b>2,034,907</b>	<b>8.60</b>
<b>Communications (31 December 2020: US\$2,144,240, 9.94%)</b>			
5,690	Cisco Systems Inc/Delaware	301,570	1.28
87	Elisa Oyj	5,192	0.02
437	Hellenic Telecommunications Organization SA	7,333	0.03
358	Interpublic Group of Cos Inc/The	11,631	0.05
127	Juniper Networks Inc	3,473	0.02
14,219	KDDI Corp	443,903	1.88
1,044	KT Corp	29,480	0.12
24,043	Nippon Telegraph & Telephone Corp	627,016	2.65
684	Omnicom Group Inc	54,713	0.23
1,247	Rogers Communications Inc	66,363	0.28
69,708	Telkom Indonesia Persero Tbk PT	15,144	0.06
1,367	TELUS Corp	30,689	0.13
8,124	Verizon Communications Inc	455,188	1.92
<b>Total Communications</b>		<b>2,051,695</b>	<b>8.67</b>
<b>Consumer, Cyclical (31 December 2020: US\$1,056,003, 4.89%)</b>			
108,516	Astra International Tbk PT	36,970	0.16
117	Berkeley Group Holdings Plc	7,427	0.03
1,600	Daiwa House Industry Co Ltd	48,076	0.20
1,098	Ford Otomotiv Sanayi AS	21,511	0.09
16	Hasbro Inc	1,512	0.01
145	Hero MotoCorp Ltd	5,662	0.02

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Global Equity Income UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$21,515,629, 99.69%) (continued)</b>			
<b>Consumer, Cyclical (31 December 2020: US\$1,056,003, 4.89%) (continued)</b>			
6,300	Honda Motor Co Ltd	201,505	0.85
622	Jardine Cycle & Carriage Ltd	9,884	0.04
74	Leggett & Platt Inc	3,834	0.02
7,500	Marubeni Corp	65,283	0.28
4,235	Mitsubishi Corp	115,538	0.49
8,277	Mitsui & Co Ltd	186,473	0.79
100	Nintendo Co Ltd	58,222	0.25
1,029	Porsche Automobil Holding SE - Preference	110,265	0.47
146	President Chain Store Corp	1,378	0.00
3,341	Sekisui House Ltd	68,587	0.29
986	Volkswagen AG - Preference	246,955	1.04
<b>Total Consumer, Cyclical</b>		<b>1,189,082</b>	<b>5.03</b>
<b>Consumer, Non-cyclical (31 December 2020: US\$1,955,543, 9.06%)</b>			
286	Amgen Inc	69,713	0.30
592	Arca Continental SAB de CV	3,438	0.02
61	Britannia Industries Ltd	2,995	0.01
7,667	British American Tobacco Plc	296,564	1.25
948	Campbell Soup Co	43,219	0.18
15,600	Charoen Pokphand Foods PCL	12,899	0.06
573	Coca-Cola Co/The	31,005	0.13
425	Conagra Brands Inc	15,462	0.07
2,379	Danone SA	167,497	0.71
134	Etablissements Franz Colruyt NV	7,494	0.03
2,682	General Mills Inc	163,414	0.69
4,573	Hengan International Group Co Ltd	30,621	0.13
275	ICA Gruppen AB	12,808	0.05
7,096	ITC Ltd	19,351	0.08
275	J M Smucker Co/The	35,632	0.15
2,651	Japan Tobacco Inc	50,123	0.21
13,953	JBS SA	80,918	0.34
965	Kellogg Co	62,078	0.26
842	Kimberly-Clark Corp	112,643	0.48
600	Kirin Holdings Co Ltd	11,709	0.05
3,046	Koninklijke Ahold Delhaize NV	90,559	0.38
734	KT&G Corp	55,010	0.23
3,695	Merck & Co Inc	287,360	1.22
1,286	Novartis AG	117,309	0.50
1,252	Orkla ASA	12,760	0.05
1,217	PepsiCo Inc	180,323	0.76
3,932	Pfizer Inc	153,977	0.65
1,069	Roche Holding AG	403,092	1.70
6,964	Sanofi	729,728	3.08
677	Sonic Healthcare Ltd	19,517	0.08
2,000	Tingyi Cayman Islands Holding Corp	3,992	0.02
2,983	Unilever Plc	174,333	0.74
6,206	Uni-President Enterprises Corp	16,304	0.07
12,105	Want Want China Holdings Ltd	8,573	0.04
110,007	WH Group Ltd '144A'	98,875	0.42

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Global Equity Income UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$21,515,629, 99.69%) (continued)</b>			
<b>Consumer, Non-cyclical (31 December 2020: US\$1,955,543, 9.06%) (continued)</b>			
944	Woolworths Group Ltd	27,023	0.11
	<b>Total Consumer, Non-cyclical</b>	<b>3,608,318</b>	<b>15.25</b>
<b>Diversified (31 December 2020: US\$372,873, 1.73%)</b>			
37,297	CK Hutchison Holdings Ltd	290,564	1.23
	<b>Total Diversified</b>	<b>290,564</b>	<b>1.23</b>
<b>Energy (31 December 2020: US\$601,004, 2.78%)</b>			
4,944	Bharat Petroleum Corp Ltd	31,135	0.13
210	Canadian Natural Resources Ltd	7,631	0.03
92,144	China Shenhua Energy Co Ltd 'H'	180,590	0.76
3,900	ENEOS Holdings Inc	16,336	0.07
77,838	Kunlun Energy Co Ltd	71,766	0.30
694	Lundin Energy AB	24,572	0.11
1,894	OMV AG	107,745	0.46
298,000	PetroChina Co Ltd 'H'	145,051	0.61
15,299	Petroleo Brasileiro SA - Preference	89,730	0.38
2,262	PTT Exploration & Production PCL	8,257	0.04
	<b>Total Energy</b>	<b>682,813</b>	<b>2.89</b>
<b>Financial (31 December 2020: US\$11,659,998, 54.03%)</b>			
5,272	3i Group Plc	85,430	0.36
109	Admiral Group Plc	4,734	0.02
1,600	Ageas SA/NV	88,800	0.38
606,114	Agricultural Bank of China Ltd 'H'	210,732	0.89
826	Allianz SE	205,999	0.87
258	Amundi SA '144A'	22,748	0.10
190	B3 SA - Brasil Bolsa Balcao	637	0.00
77	Baloise Holding AG	12,020	0.05
93,244	Banco de Chile	9,283	0.04
54,039	Bank Mandiri Persero Tbk PT	21,988	0.09
628,211	Bank of China Ltd 'H'	225,695	0.95
380,984	Bank of Communications Co Ltd 'H'	256,088	1.08
1,063	Bank of Montreal	109,073	0.46
1,393	Bank of New York Mellon Corp/The	71,363	0.30
1,773	Bank of Nova Scotia/The	115,432	0.49
14,895	Bank Rakyat Indonesia Persero Tbk PT	4,047	0.02
56	Banque Cantonale Vaudoise	5,034	0.02
56,933	BOC Hong Kong Holdings Ltd	193,178	0.82
175	Boston Properties Inc (REIT)	20,053	0.08
397	Canadian Imperial Bank of Commerce	45,240	0.19
2,948	Castellum AB	75,077	0.32
115,984	Cathay Financial Holding Co Ltd	224,371	0.95
389,581	China CITIC Bank Corp Ltd 'H'	184,611	0.78
287,393	China Construction Bank Corp 'H'	226,115	0.96
24,209	China Development Financial Holding Corp	11,426	0.05
111,104	China Life Insurance Co Ltd 'H'	220,325	0.93
32,739	China Overseas Land & Investment Ltd	74,366	0.31
33,513	China Pacific Insurance Group Co Ltd 'H'	105,513	0.45
53,284	China Resources Land Ltd	215,789	0.91
40,039	China Vanke Co Ltd 'H'	125,286	0.53

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Global Equity Income UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$21,515,629, 99.69%) (continued)</b>			
<b>Financial (31 December 2020: US\$11,659,998, 54.03%) (continued)</b>			
84,556	CIFI Holdings Group Co Ltd	65,983	0.28
1,729	Citigroup Inc	122,327	0.52
863	Citizens Financial Group Inc	39,586	0.17
30,986	CK Asset Holdings Ltd	213,866	0.90
383	Comerica Inc	27,323	0.12
123,496	Country Garden Holdings Co Ltd	138,352	0.58
456	Covivio (REIT)	39,000	0.16
103,074	CTBC Financial Holding Co Ltd	83,976	0.36
3,365	DBS Group Holdings Ltd	74,625	0.32
1,109	Dexus (Units) (REIT)	8,884	0.04
5,879	DNB ASA	128,167	0.54
23,047	E.Sun Financial Holding Co Ltd	21,755	0.09
3,242	Fidelity National Financial Inc	140,897	0.60
1,108	Fifth Third Bancorp	42,359	0.18
510	First American Financial Corp	31,799	0.13
4,542	First Horizon Corp	78,486	0.33
1,129	Franklin Resources Inc	36,117	0.15
231	Gecina SA (REIT)	35,393	0.15
4,654	Great-West Lifeco Inc	138,383	0.59
3,918	Hana Financial Group Inc	160,213	0.68
1,430	Hang Seng Bank Ltd	28,560	0.12
65	Hannover Rueck SE	10,876	0.05
9,377	Henderson Land Development Co Ltd	44,435	0.19
1,431	Hulic Co Ltd	16,116	0.07
1,514	Huntington Bancshares Inc/OH	21,605	0.09
350,216	Industrial & Commercial Bank of China Ltd 'H'	205,643	0.87
13	Intermediate Capital Group Plc	381	0.00
390	Julius Baer Group Ltd	25,475	0.11
2,500	Kasikornbank PCL	9,204	0.04
3,035	KeyCorp	62,673	0.27
130	Kilroy Realty Corp (REIT)	9,053	0.04
1,021	LEG Immobilien SE	147,052	0.62
52,825	Logan Group Co Ltd	79,042	0.33
37,918	Longfor Group Holdings Ltd '144A'	212,396	0.90
301	M&T Bank Corp	43,738	0.18
44	Magellan Financial Group Ltd	1,779	0.01
13,119	Manulife Financial Corp	258,502	1.09
1,676	Mapletree Logistics Trust (Units) (REIT)	2,556	0.01
20,848	Mega Financial Holding Co Ltd	24,580	0.10
5,313	MetLife Inc	317,983	1.34
2,700	Mitsubishi HC Capital Inc	14,474	0.06
1,418	National Bank of Canada	106,233	0.45
2,912	NN Group NV	137,373	0.58
19,087	Nomura Holdings Inc	97,645	0.41
2,417	Old Republic International Corp	60,208	0.25
8,002	ORIX Corp	135,145	0.57
7,414	Oversea-Chinese Banking Corp Ltd	65,911	0.28
32,298	PICC Property & Casualty Co Ltd 'H'	28,281	0.12
11,000	Ping An Insurance Group Co of China Ltd 'H'	107,722	0.46
215,000	Postal Savings Bank of China Co Ltd 'H' '144A'	144,795	0.61



# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Global Equity Income UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$21,515,629, 99.69%) (continued)</b>			
<b>Financial (31 December 2020: US\$11,659,998, 54.03%) (continued)</b>			
305	Principal Financial Group Inc	19,273	0.08
31	PSP Swiss Property AG	3,941	0.02
58,200	Public Bank Bhd	57,618	0.24
1,226	Regions Financial Corp	24,741	0.10
5,851	Royal Bank of Canada	593,416	2.51
429	Samsung Life Insurance Co Ltd	30,399	0.13
682	SBI Holdings Inc/Japan	16,148	0.07
576	Schroders Plc	27,954	0.12
40,377	Seazen Group Ltd	38,267	0.16
11,477	Shanghai Commercial & Savings Bank Ltd/The	18,639	0.08
47,378	Shimao Group Holdings Ltd	116,160	0.49
2,745	Shinhan Financial Group Co Ltd	98,963	0.42
1,846	Singapore Exchange Ltd	15,354	0.07
2,212	Sompo Holdings Inc	81,831	0.35
9,203	Sun Hung Kai Properties Ltd	137,112	0.58
2,448	Sun Life Financial Inc	126,364	0.53
39,149	Sunac China Holdings Ltd	134,348	0.57
231	Synovus Financial Corp	10,136	0.04
6,466	T&D Holdings Inc	83,658	0.35
1,585	Tokio Marine Holdings Inc	72,945	0.31
10,500	Toronto-Dominion Bank/The	736,602	3.11
3,340	Truist Financial Corp	185,370	0.78
828	Tryg A/S	20,329	0.09
11,127	UBS Group AG	170,452	0.72
1,644	United Overseas Bank Ltd	31,579	0.13
3,907	US Bancorp	222,582	0.94
1,106	VICI Properties Inc (REIT)	34,308	0.15
3,214	Vonovia SE	207,801	0.88
1,953	Western Union Co/The	44,860	0.19
15,304	Yuanta Financial Holding Co Ltd	14,748	0.06
225	Zurich Insurance Group AG	90,355	0.38
<b>Total Financial</b>		<b>10,683,633</b>	<b>45.16</b>
<b>Industrial (31 December 2020: US\$968,007, 4.48%)</b>			
1,371	3M Co	272,322	1.15
375	ACS Actividades de Construcción y Servicios SA	10,046	0.04
100	AGC Inc	4,199	0.02
38,269	Anhui Conch Cement Co Ltd 'H'	203,028	0.86
17,073	BAE Systems Plc	123,116	0.52
71,346	China Resources Cement Holdings Ltd	67,801	0.29
8,167	CK Infrastructure Holdings Ltd	48,692	0.20
88	Eiffage SA	8,954	0.04
5,800	Hartalega Holdings Bhd	10,269	0.04
2,100	Kajima Corp	26,621	0.11
243	Lockheed Martin Corp	91,939	0.39
1,200	Nippon Yusen KK	60,870	0.26
1,800	Obayashi Corp	14,320	0.06
178	Siemens AG	28,206	0.12
652	SKF AB	16,612	0.07
2,721	Taisei Corp	89,237	0.38

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Global Equity Income UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$21,515,629, 99.69%) (continued)</b>			
<b>Industrial (31 December 2020: US\$968,007, 4.48%) (continued)</b>			
23,218	Taiwan Cement Corp	42,499	0.18
94,600	Top Glove Corp Bhd	95,022	0.40
243	Vinci SA	25,933	0.11
443	Yageo Corp	8,824	0.04
	<b>Total Industrial</b>	<b>1,248,510</b>	<b>5.28</b>
<b>Technology (31 December 2020: US\$614,040, 2.84%)</b>			
108	Computershare Ltd	1,370	0.00
379	International Business Machines Corp	55,558	0.23
38,925	Lenovo Group Ltd	44,760	0.19
7,238	Quanta Computer Inc	22,731	0.10
927	Sage Group Plc/The	8,762	0.04
396	Seagate Technology Holdings Plc	34,820	0.15
	<b>Total Technology</b>	<b>168,001</b>	<b>0.71</b>
<b>Utilities (31 December 2020: US\$1,344,032, 6.23%)</b>			
1,836	A2A SpA	3,754	0.02
22,167	Centrais Eletricas Brasileiras SA	190,709	0.81
11,321	Chubu Electric Power Co Inc	138,516	0.59
6,142	CLP Holdings Ltd	60,741	0.26
197	DTE Energy Co	25,531	0.11
3,217	E.ON SE	37,212	0.16
53,407	Enel Americas SA	7,907	0.03
247	Evergy Inc	14,926	0.06
1,837	Fortum Oyj	50,672	0.21
5,067	GAIL India Ltd	10,202	0.04
7,104	Guangdong Investment Ltd	10,209	0.04
2,663	Hydro One Ltd '144A'	64,430	0.27
35,372	Iberdrola SA	431,220	1.82
1,465,971	Inter RAO UES PJSC	94,014	0.40
37	Northland Power Inc	1,264	0.00
2,473	NRG Energy Inc	99,662	0.42
6,419	Power Grid Corp of India Ltd	20,070	0.08
2,083	Public Service Enterprise Group Inc	124,438	0.53
882	Red Electrica Corp SA	16,375	0.07
2,938	Snam SpA	16,985	0.07
1,249	UGI Corp	57,841	0.24
425	Uniper SE	15,654	0.07
855	Vistra Corp	15,860	0.07
	<b>Total Utilities</b>	<b>1,508,192</b>	<b>6.37</b>
	<b>Total Common Stock</b>	<b>23,465,715</b>	<b>99.19</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Global Equity Income UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Corporate Bonds (31 December 2020: US\$–, 0.00%)</b>			
<b>Consumer, Non-cyclical (31 December 2020: US\$–, 0.00%)</b>			
3,132	Britannia Industries Ltd 5.5% 03/06/2024	43	0.00
	<b>Total Consumer, Non-cyclical</b>	<b>43</b>	<b>0.00</b>
	<b>Total Corporate Bonds</b>	<b>43</b>	<b>0.00</b>
	<b>Total Transferable Securities admitted to an official stock exchange listing</b>	<b>23,465,758</b>	<b>99.19</b>
	<b>Total financial assets and liabilities at fair value through profit or loss</b>	<b>23,465,758</b>	<b>99.19</b>
	<b>Cash at bank and at broker</b>	<b>52,782</b>	<b>0.22</b>
	<b>Other Net Assets</b>	<b>139,490</b>	<b>0.59</b>
	<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>	<b>23,658,030</b>	<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Global Equity Income UCITS ETF (continued)

As at 30 June 2021

<b>Country Allocation</b>	<b>% of Net Assets</b>
Australia	3.51
Austria	0.46
Belgium	0.41
Bermuda	0.59
Brazil	2.20
Canada	10.13
Cayman Islands	7.33
Chile	0.07
China	10.86
Denmark	0.09
Finland	0.23
France	4.35
Germany	4.28
Greece	0.03
Hong Kong	2.51
India	0.36
Indonesia	0.37
Ireland	0.15
Italy	0.09
Japan	11.66
Jersey	0.26
Korea, Republic of (South Korea)	1.58
Malaysia	0.68
Mexico	0.66
Netherlands	1.12
Norway	0.62
Russia	0.88
Singapore	0.85
South Africa	1.37
Spain	1.93
Sweden	0.55
Switzerland	3.50
Taiwan	2.08
Thailand	0.14
Turkey	0.11
United Kingdom	4.47
United States	18.71
<b>Total Investments</b>	<b>99.19</b>
<b>Net Other Assets and Liabilities</b>	<b>0.81</b>
<b>Total</b>	<b>100.00</b>

<b>Analysis of Total Assets</b>	<b>% of Total Assets</b>
Transferable securities admitted to an official stock exchange listing	98.99
Cash	0.32
Other Assets	0.69
<b>Total Assets</b>	<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Germany AlphaDEX® UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value €	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: €2,735,097, 99.96%)</b>			
<b>Basic Materials (31 December 2020: €354,039, 12.94%)</b>			
1,082	Brenntag SE	84,850	2.72
905	Covestro AG '144A'	49,286	1.58
856	Evonik Industries AG	24,208	0.78
1,819	LANXESS AG	105,175	3.38
978	Wacker Chemie AG	127,189	4.08
<b>Total Basic Materials</b>		<b>390,708</b>	<b>12.54</b>
<b>Communications (31 December 2020: €362,651, 13.25%)</b>			
5,586	1&1 AG	144,119	4.63
719	Delivery Hero SE '144A'	80,097	2.57
1,527	Deutsche Telekom AG	27,199	0.87
1,149	RTL Group SA	57,737	1.85
20,253	Telefonica Deutschland Holding AG	45,063	1.45
1,990	United Internet AG	68,615	2.20
<b>Total Communications</b>		<b>422,830</b>	<b>13.57</b>
<b>Consumer, Cyclical (31 December 2020: €624,280, 22.82%)</b>			
1,265	Bayerische Motoren Werke AG	112,977	3.62
565	Continental AG	70,049	2.25
1,581	Daimler AG	119,049	3.82
432	Hella GmbH & Co KGaA	24,952	0.80
2,024	Porsche Automobil Holding SE - Preference	182,889	5.87
742	Puma SE	74,608	2.39
599	Volkswagen AG - Preference	126,509	4.06
1,254	Zalando SE '144A'	127,845	4.10
<b>Total Consumer, Cyclical</b>		<b>838,878</b>	<b>26.91</b>
<b>Consumer, Non-cyclical (31 December 2020: €181,673, 6.64%)</b>			
2,262	Evotec SE	86,476	2.77
670	Fresenius Medical Care AG & Co KGaA	46,927	1.51
2,414	Fresenius SE & Co KGaA	106,204	3.41
1,807	HelloFresh SE	148,138	4.75
651	Merck KGaA	105,267	3.38
<b>Total Consumer, Non-cyclical</b>		<b>493,012</b>	<b>15.82</b>
<b>Financial (31 December 2020: €338,495, 12.37%)</b>			
114	Allianz SE	23,974	0.77
7,463	Aroundtown SA	49,107	1.58
8,673	Commerzbank AG	51,882	1.66
2,552	Deutsche Bank AG	28,036	0.90
1,045	Deutsche Wohnen SE	53,901	1.73
3,268	Grand City Properties SA	74,445	2.39
719	LEG Immobilien SE	87,323	2.80
2,645	TAG Immobilien AG	70,780	2.27
764	Vonovia SE	41,653	1.34
<b>Total Financial</b>		<b>481,101</b>	<b>15.44</b>
<b>Industrial (31 December 2020: €539,846, 19.73%)</b>			
564	Deutsche Post AG	32,351	1.04
1,865	HeidelbergCement AG	134,914	4.33
3,120	Jungheinrich AG - Preference	128,607	4.12
<b>Total Industrial</b>		<b>295,872</b>	<b>9.49</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Germany AlphaDEX® UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value €	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: €2,735,097, 99.96%) (continued)</b>			
<b>Technology (31 December 2020: €188,779, 6.90%)</b>			
128	Bechtle AG	20,051	0.64
2,910	Infineon Technologies AG	98,416	3.16
	<b>Total Technology</b>	<b>118,467</b>	<b>3.80</b>
<b>Utilities (31 December 2020: €145,334, 5.31%)</b>			
1,982	RWE AG	60,570	1.94
809	Uniper SE	25,127	0.81
	<b>Total Utilities</b>	<b>85,697</b>	<b>2.75</b>
	<b>Total Common Stock</b>	<b>3,126,565</b>	<b>100.32</b>
	<b>Total Transferable Securities admitted to an official stock exchange listing</b>	<b>3,126,565</b>	<b>100.32</b>
	<b>Total financial assets and liabilities at fair value through profit or loss</b>	<b>3,126,565</b>	<b>100.32</b>
	<b>Cash at bank and at broker</b>	<b>(8,183)</b>	<b>(0.26)</b>
	<b>Other Net Liabilities</b>	<b>(1,693)</b>	<b>(0.06)</b>
	<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>	<b>3,116,689</b>	<b>100.00</b>
<b>Country Allocation</b>			<b>% of Net Assets</b>
Germany			94.50
Luxembourg			5.82
<b>Total Investments</b>			<b>100.32</b>
<b>Net Other Assets and Liabilities</b>			<b>(0.32)</b>
<b>Total</b>			<b>100.00</b>

<b>Analysis of Total Assets</b>		<b>% of Total Assets</b>
Transferable securities admitted to an official stock exchange listing		100.00
Total Assets		100.00

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust US Equity Income UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: US\$65,373,181, 99.79%)</b>			
<b>Basic Materials (31 December 2020: US\$1,465,995, 2.24%)</b>			
6,794	Chemours Co/The	236,431	0.16
3,370	International Paper Co	206,615	0.14
10,848	LyondellBasell Industries NV	1,115,934	0.75
10,397	Newmont Corp	658,962	0.45
6,306	Nucor Corp	604,934	0.41
2,390	Schweitzer-Mauduit International Inc	96,508	0.06
<b>Total Basic Materials</b>		<b>2,919,384</b>	<b>1.97</b>
<b>Communications (31 December 2020: US\$5,813,252, 8.87%)</b>			
86,782	Cisco Systems Inc/Delaware	4,599,446	3.11
11,846	Interpublic Group of Cos Inc/The	384,876	0.26
4,422	Juniper Networks Inc	120,942	0.08
19,425	Omnicom Group Inc	1,553,806	1.05
23,034	Telephone and Data Systems Inc	521,950	0.35
50,521	Verizon Communications Inc	2,830,692	1.91
19,748	ViacomCBS Inc - Class B	892,610	0.61
<b>Total Communications</b>		<b>10,904,322</b>	<b>7.37</b>
<b>Consumer, Cyclical (31 December 2020: US\$879,833, 1.34%)</b>			
469	Hasbro Inc	44,330	0.03
2,816	Healthcare Services Group Inc	88,901	0.06
996	HNI Corp	43,794	0.03
3,351	Leggett & Platt Inc	173,615	0.12
3,858	MDC Holdings Inc	195,215	0.13
6,236	Nu Skin Enterprises Inc - Class A	353,269	0.24
589	PetMed Express Inc	18,760	0.01
<b>Total Consumer, Cyclical</b>		<b>917,884</b>	<b>0.62</b>
<b>Consumer, Non-cyclical (31 December 2020: US\$4,334,110, 6.62%)</b>			
11,893	ACCO Brands Corp	102,637	0.07
15,209	Amgen Inc	3,707,194	2.51
409	B&G Foods Inc	13,415	0.01
34,707	Campbell Soup Co	1,582,292	1.07
6,536	Clorox Co/The	1,175,892	0.79
24,511	Coca-Cola Co/The	1,326,290	0.90
10,152	Colgate-Palmolive Co	825,865	0.56
92,660	Conagra Brands Inc	3,370,971	2.28
72,966	General Mills Inc	4,445,818	3.00
393	H&R Block Inc	9,228	0.01
6,541	Ingredion Inc	591,961	0.40
19,338	J M Smucker Co/The	2,505,625	1.69
4,515	Johnson & Johnson	743,801	0.50
26,807	Kellogg Co	1,724,494	1.17
23,057	Kimberly-Clark Corp	3,084,565	2.08
48,336	Merck & Co Inc	3,759,091	2.54
25,119	PepsiCo Inc	3,721,882	2.51
94,237	Pfizer Inc	3,690,321	2.49
5,983	Procter & Gamble Co/The	807,286	0.55
2,503	SpartanNash Co	48,333	0.03
282	Strategic Education Inc	21,449	0.01
3,913	Triton International Ltd	204,806	0.14
131	Universal Corp/VA	7,463	0.01
<b>Total Consumer, Non-cyclical</b>		<b>37,470,679</b>	<b>25.32</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust US Equity Income UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$65,373,181, 99.79%) (continued)</b>			
<b>Energy (30 June 2021: Nil; 31 December 2020: US\$1,141,674, 1.74%)</b>			
<b>Financial (31 December 2020: US\$41,314,354, 63.07%)</b>			
36,506	Associated Banc Corp	747,643	0.50
7,809	Atlantic Union Bankshares Corp	282,842	0.19
3,924	B Riley Financial Inc	296,262	0.20
3,789	BancorpSouth Bank	107,342	0.07
2,497	Bank of Hawaii Corp 15/05/2018	210,297	0.14
48,463	Bank of New York Mellon Corp/The	2,482,759	1.68
20,707	Bank OZK	873,007	0.59
2,566	Banner Corp	139,103	0.09
6,534	Boston Properties Inc (REIT)	748,731	0.51
46,043	Brandywine Realty Trust (REIT)	631,250	0.43
4,269	Brookline Bancorp Inc	63,822	0.04
12,419	Cathay General Bancorp	488,812	0.33
9,992	Citizens Financial Group Inc	458,333	0.31
1,880	City Holding Co	141,451	0.10
4,429	Columbia Banking System Inc	170,782	0.12
10,481	Comerica Inc	747,715	0.51
3,225	Cullen/Frost Bankers Inc	361,200	0.24
17,844	CVB Financial Corp	367,408	0.25
805	Dime Community Bancshares Inc	27,064	0.02
4,442	Employers Holdings Inc	190,118	0.13
1,389	Everest Re Group Ltd	350,042	0.24
657	Extra Space Storage Inc (REIT)	107,630	0.07
20,605	Federated Hermes Inc	698,716	0.47
90,310	Fidelity National Financial Inc	3,924,873	2.65
85,087	Fifth Third Bancorp	3,252,876	2.20
31,633	First American Financial Corp	1,972,318	1.33
13,251	First Commonwealth Financial Corp	186,442	0.13
11,212	First Financial Bancorp	264,940	0.18
7,817	First Hawaiian Inc	221,534	0.15
163,572	First Horizon Corp	2,826,524	1.91
5,200	First Interstate BancSystem Inc	217,516	0.15
3,620	First Midwest Bancorp Inc/IL	71,785	0.05
39,606	Franklin Resources Inc	1,266,996	0.86
27,001	Fulton Financial Corp	426,076	0.29
113	Highwoods Properties Inc (REIT)	5,104	0.00
11,876	Hope Bancorp Inc	168,402	0.11
12,443	Horace Mann Educators Corp	465,617	0.31
45,642	Huntington Bancshares Inc/OH	651,311	0.44
3,683	International Bancshares Corp	158,148	0.11
33,459	Investors Bancorp Inc	477,125	0.32
4,909	JPMorgan Chase & Co	763,546	0.52
1,551	Kearny Financial Corp/MD	18,534	0.01
105,698	KeyCorp	2,182,664	1.47
7,574	Kilroy Realty Corp (REIT)	527,453	0.36
12,675	Lazard Ltd - Class A	573,544	0.39
16,691	M&T Bank Corp	2,425,369	1.64
14,518	Mercury General Corp	942,944	0.64
49,398	MetLife Inc	2,956,470	2.00
5,429	NBT Bancorp Inc	195,281	0.13
597	NexPoint Residential Trust Inc (REIT)	32,823	0.02
13,465	Northern Trust Corp	1,556,823	1.05



# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust US Equity Income UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$65,373,181, 99.79%) (continued)</b>			
<b>Financial (31 December 2020: US\$41,314,354, 63.07%) (continued)</b>			
28,969	Old National Bancorp/IN	510,144	0.34
69,889	Old Republic International Corp	1,740,935	1.18
1,624	Park National Corp	190,690	0.13
12,102	PNC Financial Services Group Inc/The	2,308,578	1.56
5,360	PotlatchDeltic Corp (REIT)	284,884	0.19
13,771	Prosperity Bancshares Inc	988,758	0.67
6,402	Provident Financial Services Inc	146,542	0.10
60,886	Regions Financial Corp	1,228,679	0.83
3,709	Safety Insurance Group Inc	290,340	0.20
4,069	Sandy Spring Bancorp Inc	179,565	0.12
699	SL Green Realty Corp (REIT)	55,920	0.04
2,898	Southside Bancshares Inc	110,791	0.07
27,575	State Street Corp	2,268,871	1.53
16,018	Synovus Financial Corp	702,870	0.47
76,221	Truist Financial Corp	4,230,265	2.86
13,260	United Bankshares Inc/WV	483,990	0.33
102,975	Unum Group	2,924,490	1.98
79,605	US Bancorp	4,535,097	3.06
39,774	VICI Properties Inc (REIT)	1,233,789	0.83
13,675	Washington Federal Inc	434,591	0.29
7,325	Webster Financial Corp	390,715	0.26
4,389	WesBanco Inc	156,380	0.11
2,676	Westamerica BanCorp	155,288	0.10
71,063	Western Union Co/The	1,632,317	1.10
6,096	Zions Bancorp NA	322,235	0.22
<b>Total Financial</b>		<b>66,932,091</b>	<b>45.22</b>
<b>Industrial (31 December 2020: US\$1,607,670, 2.45%)</b>			
23,116	3M Co	4,591,531	3.10
12,745	General Dynamics Corp	2,399,374	1.62
1,399	Greif Inc	84,709	0.06
9,682	Lockheed Martin Corp	3,663,185	2.47
26,225	MDU Resources Group Inc	821,892	0.56
180	National Instruments Corp	7,610	0.01
1,619	Packaging Corp of America	219,245	0.15
2,522	Sonoco Products Co	168,722	0.11
<b>Total Industrial</b>		<b>11,956,268</b>	<b>8.08</b>
<b>Technology (31 December 2020: US\$6,308,849, 9.63%)</b>			
50,846	HP Inc	1,535,041	1.04
13,882	Intel Corp	779,335	0.52
5,182	International Business Machines Corp	759,629	0.51
9,801	NetApp Inc	801,918	0.54
7,156	Paychex Inc	767,839	0.52
19,823	Seagate Technology Holdings Plc	1,743,036	1.18
<b>Total Technology</b>		<b>6,386,798</b>	<b>4.31</b>
<b>Utilities (31 December 2020: US\$2,507,444, 3.83%)</b>			
5,943	DTE Energy Co	770,213	0.52
6,739	Evergy Inc	407,238	0.27
6,348	Hawaiian Electric Industries Inc	268,393	0.18
5,122	IDACORP Inc	499,395	0.34
586	NorthWestern Corp	35,289	0.02

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust US Equity Income UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$65,373,181, 99.79%) (continued)</b>			
<b>Utilities (31 December 2020: US\$2,507,444, 3.83%) (continued)</b>			
42,529	NRG Energy Inc	1,713,919	1.16
56,931	Public Service Enterprise Group Inc	3,401,058	2.30
44,331	UGI Corp	2,052,969	1.39
39,059	Vistra Corp	724,544	0.49
3,874	WEC Energy Group Inc	344,592	0.23
<b>Total Utilities</b>		<b>10,217,610</b>	<b>6.90</b>
<b>Total Common Stock</b>		<b>147,705,036</b>	<b>99.79</b>
<b>Total Transferable Securities admitted to an official stock exchange listing</b>		<b>147,705,036</b>	<b>99.79</b>
<b>Total financial assets and liabilities at fair value through profit or loss</b>		<b>147,705,036</b>	<b>99.79</b>
<b>Cash at bank and at broker</b>		<b>103,126</b>	<b>0.07</b>
<b>Other Net Assets</b>		<b>202,976</b>	<b>0.14</b>
<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>		<b>148,011,138</b>	<b>100.00</b>
<b>Country Allocation</b>			<b>% of Net Assets</b>
Bermuda			0.77
Ireland			1.18
Netherlands			0.75
United States			97.09
<b>Total Investments</b>			<b>99.79</b>
<b>Net Other Assets and Liabilities</b>			<b>0.21</b>
<b>Total</b>			<b>100.00</b>
<b>Analysis of Total Assets</b>			<b>% of Total Assets</b>
Transferable securities admitted to an official stock exchange listing			99.75
Cash			0.07
Other Assets			0.18
<b>Total Assets</b>			<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Dow Jones International Internet UCITS ETF<sup>1</sup>

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: US\$1,551,310, 100.01%)</b>			
<b>Basic Materials (30 June 2021: Nil; 31 December 2020: US\$59,927, 3.86%)</b>			
<b>Communications (31 December 2020: US\$104,238, 6.72%)</b>			
2,676	Alibaba Group Holding Ltd ADR	606,863	10.02
3,417	Auto Trader Group Plc '144A'	29,899	0.49
961	Baidu Inc ADR	195,948	3.24
659	Delivery Hero SE '144A'	87,060	1.44
3,164	JD.com Inc ADR	252,519	4.17
1,064	Kakao Corp	154,004	2.54
3,000	Kuaishou Technology '144A'	75,253	1.24
1,500	M3 Inc	109,645	1.81
14,720	Meituan '144A'	607,313	10.03
1,536	Naspers Ltd	322,522	5.33
510	NAVER Corp	189,073	3.12
57	NCSOFT Corp	41,504	0.69
1,237	Pinduoduo Inc ADR	157,124	2.60
1,489	Prosus NV	145,625	2.41
3,200	Rakuten Group Inc	36,155	0.60
3,087	Rightmove Plc	27,694	0.46
325	Scout24 AG '144A'	27,411	0.45
1,296	Sea Ltd ADR	355,882	5.88
398	Shopify Inc - Class A	582,671	9.63
417	Spotify Technology SA	114,921	1.90
7,352	Tencent Holdings Ltd	552,880	9.13
1,628	Trip.com Group Ltd ADR	57,729	0.95
1,398	Vipshop Holdings Ltd ADR	28,072	0.46
198	Wix.com Ltd	57,475	0.95
1,129	Yandex NV - Class A	79,877	1.32
9,400	Z Holdings Corp	47,157	0.78
<b>Total Communications</b>		<b>4,942,276</b>	<b>81.64</b>
<b>Consumer, Cyclical (31 December 2020: US\$177,705, 11.46%)</b>			
2,064	Entain Plc	49,770	0.82
1,992	Ping An Healthcare and Technology Co Ltd '144A'	24,804	0.41
598	Zalando SE '144A'	72,300	1.20
<b>Total Consumer, Cyclical</b>		<b>146,874</b>	<b>2.43</b>
<b>Consumer, Non-cyclical (31 December 2020: US\$261,045, 16.83%)</b>			
107	Adyen NV '144A'	261,459	4.32
837	Afterpay Ltd	74,255	1.22
521	HelloFresh SE	50,651	0.84
2,139	Ocado Group Plc	59,187	0.98
<b>Total Consumer, Non-cyclical</b>		<b>445,552</b>	<b>7.36</b>
<b>Financial (31 December 2020: US\$445,825, 28.74%)</b>			
710	Pagseguro Digital Ltd - Class A	39,703	0.65
<b>Total Financial</b>		<b>39,703</b>	<b>0.65</b>
<b>Industrial (30 June 2021: Nil; 31 December 2020: US\$373,027, 24.05%)</b>			
<b>Technology (31 December 2020: US\$77,470, 4.99%)</b>			
477	Atlassian Corp Plc	122,522	2.02
766	Bilibili Inc ADR	93,330	1.54
1,413	NetEase Inc ADR	162,848	2.69
1,600	Nexon Co Ltd	35,693	0.59
560	TeamViewer AG '144A'	21,065	0.35

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Dow Jones International Internet UCITS ETF<sup>1</sup> (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
	<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>		
	<b>Common Stock (31 December 2020: US\$1,551,310, 100.01%) (continued)</b>		
	<b>Technology (31 December 2020: US\$77,470, 4.99%) (continued)</b>		
428	Xero Ltd	44,053	0.73
	<b>Total Technology</b>	<b>479,511</b>	<b>7.92</b>
	<b>Utilities (30 June 2021: Nil; 31 December 2020: US\$52,073, 3.36%)</b>		
	<b>Total Common Stock</b>	<b>6,053,916</b>	<b>100.00</b>
	<b>Total Transferable Securities admitted to an official stock exchange listing</b>	<b>6,053,916</b>	<b>100.00</b>
	<b>Total financial assets and liabilities at fair value through profit or loss</b>	<b>6,053,916</b>	<b>100.00</b>
	<b>Cash at bank and at broker</b>	<b>2,640</b>	<b>0.04</b>
	<b>Other Net Liabilities</b>	<b>(2,766)</b>	<b>(0.04)</b>
	<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>	<b>6,053,790</b>	<b>100.00</b>

<b>Country Allocation</b>	<b>% of Net Assets</b>
Australia	1.22
Canada	9.63
Cayman Islands	53.01
Germany	4.28
Isle of Man	0.82
Israel	0.95
Japan	3.78
Korea, Republic of (South Korea)	6.35
Luxembourg	1.90
Netherlands	8.05
New Zealand	0.73
South Africa	5.33
United Kingdom	3.95
<b>Total Investments</b>	<b>100.00</b>
<b>Net Other Assets and Liabilities</b>	<b>–</b>
<b>Total</b>	<b>100.00</b>

<b>Analysis of Total Assets</b>	<b>% of Total Assets</b>
Transferable securities admitted to an official stock exchange listing	99.96
Cash	0.04
<b>Total Assets</b>	<b>100.00</b>

<sup>1</sup> Please refer to Note 14 for details of significant events during the financial period.

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF<sup>1</sup>

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: US\$1,462,938, 99.72%)</b>			
<b>Basic Materials (31 December 2020: US\$56,932, 3.88%)</b>			
6,547	Albemarle Corp	1,102,907	5.90
3,417	Daqo New Energy Corp ADR	222,173	1.19
8,591	Livent Corp	166,322	0.89
3,202	Sociedad Quimica y Minera de Chile SA ADR	151,551	0.81
<b>Total Basic Materials</b>		<b>1,642,953</b>	<b>8.79</b>
<b>Communications (30 June 2021: Nil; 31 December 2020: US\$99,554, 6.79%)</b>			
<b>Consumer, Cyclical (31 December 2020: US\$187,230, 12.76%)</b>			
5,181	CBAK Energy Technology Inc	24,403	0.13
1,224	GreenPower Motor Co Inc (Units)	24,027	0.13
34,622	NIO Inc ADR	1,841,890	9.85
2,587	Niu Technologies ADR	84,466	0.45
2,139	Tesla Inc	1,453,878	7.78
7,225	Workhorse Group Inc	119,863	0.64
20,807	XPeng Inc ADR	924,247	4.94
<b>Total Consumer, Cyclical</b>		<b>4,472,774</b>	<b>23.92</b>
<b>Consumer, Non-cyclical (30 June 2021: Nil; 31 December 2020: US\$244,618, 16.68%)</b>			
<b>Energy (31 December 2020: US\$59,175, 4.03%)</b>			
7,444	Array Technologies Inc	116,126	0.62
6,494	Atlantica Sustainable Infrastructure Plc	241,707	1.29
2,792	Azure Power Global Ltd	75,161	0.40
17,436	Ballard Power Systems IncNpv	315,940	1.69
3,516	Canadian Solar Inc	157,657	0.84
7,611	Enphase Energy Inc	1,397,608	7.48
6,231	First Solar Inc	563,968	3.02
776	Flux Power Holdings Inc	9,025	0.05
18,899	FuelCell Energy Inc	168,201	0.90
2,336	JinkoSolar Holding Co Ltd ADR	130,863	0.70
2,523	Maxon Solar Technologies Ltd	54,068	0.29
4,449	NextEra Energy Partners LP (Units)	339,726	1.82
31,875	Plug Power Inc	1,089,806	5.83
2,346	ReneSola Ltd ADR	21,348	0.11
2,794	Renewable Energy Group Inc	174,178	0.93
2,591	SolarEdge Technologies Inc	716,075	3.83
6,563	Sunnova Energy International Inc	247,162	1.32
10,112	SunPower Corp	295,473	1.58
11,953	Sunrun Inc	666,738	3.57
2,152	TPI Composites Inc	104,200	0.56
<b>Total Energy</b>		<b>6,885,030</b>	<b>36.83</b>
<b>Financial (31 December 2020: US\$474,197, 32.32%)</b>			
4,611	Hannon Armstrong Sustainable Infrastructure Capital Inc (REIT)	258,908	1.38
<b>Total Financial</b>		<b>258,908</b>	<b>1.38</b>
<b>Industrial (31 December 2020: US\$194,790, 13.28%)</b>			
2,092	Acuity Brands Inc	391,267	2.09
2,252	Advanced Energy Industries Inc	253,823	1.36
1,636	American Superconductor Corp	28,450	0.15
2,461	Blink Charging Co	101,319	0.54
8,476	Bloom Energy Corp	227,750	1.22
2,510	EnerSys	245,302	1.31
1,456	Infrastructure and Energy Alternatives Inc	18,724	0.10

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF<sup>1</sup> (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$1,462,938, 99.72%) (continued)</b>			
<b>Industrial (31 December 2020: US\$194,790, 13.28%) (continued)</b>			
2,645	Itron Inc	264,447	1.41
1,439	Littelfuse Inc	366,643	1.96
1,553	LSI Industries Inc	12,440	0.07
1,803	Orion Energy Systems Inc	10,331	0.06
2,763	Universal Display Corp	614,298	3.29
1,862	Vicor Corp	196,888	1.05
	<b>Total Industrial</b>	<b>2,731,682</b>	<b>14.61</b>
<b>Technology (31 December 2020: US\$129,109, 8.80%)</b>			
6,684	Cree Inc	654,564	3.50
1,956	O2Micro International Ltd ADR	14,103	0.08
16,697	ON Semiconductor Corp	639,161	3.42
3,555	Power Integrations Inc	291,723	1.56
	<b>Total Technology</b>	<b>1,599,551</b>	<b>8.56</b>
<b>Utilities (31 December 2020: US\$17,333, 1.18%)</b>			
1,950	Ameresco Inc - Class A	122,304	0.66
16,112	Brookfield Renewable Partner Npv	621,440	3.32
4,792	Clearway Energy Inc	126,892	0.68
3,281	Ormat Technologies Inc	228,128	1.22
	<b>Total Utilities</b>	<b>1,098,764</b>	<b>5.88</b>
	<b>Total Common Stock</b>	<b>18,689,662</b>	<b>99.97</b>
	<b>Total Transferable Securities admitted to an official stock exchange listing</b>	<b>18,689,662</b>	<b>99.97</b>
	<b>Total financial assets and liabilities at fair value through profit or loss</b>	<b>18,689,662</b>	<b>99.97</b>
	<b>Cash at bank and at broker</b>	<b>11,040</b>	<b>0.06</b>
	<b>Other Net Liabilities</b>	<b>(6,042)</b>	<b>(0.03)</b>
	<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>	<b>18,694,660</b>	<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Nasdaq<sup>®</sup> Clean Edge<sup>®</sup> Green Energy UCITS ETF<sup>1</sup> (continued)

As at 30 June 2021

<b>Country Allocation</b>	<b>% of Net Assets</b>
Bermuda	3.32
British Virgin Islands	0.11
Canada	2.66
Cayman Islands	17.21
Chile	0.81
Mauritius	0.40
Singapore	0.29
United Kingdom	1.29
United States	73.88
<b>Total Investments</b>	<b>99.97</b>
<b>Net Other Assets and Liabilities</b>	<b>0.03</b>
<b>Total</b>	<b>100.00</b>

<b>Analysis of Total Assets</b>	<b>% of Total Assets</b>
Transferable securities admitted to an official stock exchange listing	99.93
Cash	0.06
Other Assets	0.01
<b>Total Assets</b>	<b>100.00</b>

<sup>1</sup> Please refer to Note 14 for details of significant events during the financial period.

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust FactorFX UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets			
Transferable Securities admitted to an official stock exchange listing						
Treasury Notes/Bonds (31 December 2020: US\$7,636,420, 85.91%)						
Government (31 December 2020: US\$7,636,420, 85.91%)						
820,000	Canadian Government Bond 0.5% 01/03/2022	663,524	7.67			
520,000	New Zealand Government Bond 5.5% 15/04/2023	395,582	4.57			
1,310,000	Singapore Government Bond 1.75% 01/04/2022	984,983	11.39			
370,000	United Kingdom Gilt 3.75% 07/09/2021	514,667	5.95			
800,000	United States Treasury Note/Bond 0.125% 30/06/2022	800,227	9.25			
580,000	United States Treasury Note/Bond 0.375% 31/03/2022	581,245	6.72			
600,000	United States Treasury Note/Bond 1.125% 28/02/2022	604,239	6.99			
735,000	United States Treasury Note/Bond 1.5% 30/11/2021	739,379	8.55			
470,000	United States Treasury Note/Bond 1.875% 31/01/2022	474,934	5.49			
600,000	United States Treasury Note/Bond 2% 31/12/2021	605,757	7.00			
430,000	United States Treasury Note/Bond 2% 15/02/2022	435,187	5.03			
800,000	United States Treasury Note/Bond 2.5% 15/01/2022	810,491	9.37			
525,000	United States Treasury Note/Bond 2.625% 15/07/2021	525,525	6.08			
Total Government		8,135,740	94.06			
Total Treasury Notes/Bonds		8,135,740	94.06			
Total Transferable Securities admitted to an official stock exchange listing		8,135,740	94.06			
Forward Currency Contracts* (31 December 2020: US\$302,469, 3.40%)						
Currency	Purchased	Currency	Sold	Maturity Date	Unrealised Gain US\$	% of Net Assets
BRL	1,805,000	USD	357,516	16/07/2021	1,600	0.02
MXN	19,600,000	USD	972,107	16/07/2021	10,336	0.12
RUB	16,340,000	USD	222,707	16/07/2021	474	0.01
TRY	1,132,000	USD	128,680	16/07/2021	508	0.01
USD	2,318,202	CHF	2,082,000	16/07/2021	64,831	0.75
USD	100,130	EUR	84,000	16/07/2021	481	0.01
USD	83,457	EUR	70,000	19/07/2021	410	0.00
USD	8,347	GBP	6,000	19/07/2021	58	0.00
USD	108,808	IDR	1,580,000,000	16/07/2021	201	0.00
USD	83,348	JPY	9,155,000	16/07/2021	852	0.01
USD	95,318	NOK	820,000	16/07/2021	18	0.00
USD	157,427	PHP	7,690,000	16/07/2021	150	0.00
USD	68,728	RUB	5,000,000	16/07/2021	435	0.00
USD	147,140	SEK	1,230,000	16/07/2021	3,295	0.04
USD	62,911	THB	1,963,000	16/07/2021	1,665	0.02
Total unrealised gain on forward currency contracts					85,314	0.99
Total financial assets at fair value through profit or loss					8,221,054	95.05



# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust FactorFX UCITS ETF (continued)

As at 30 June 2021

Forward Currency Contracts\* (31 December 2020: (US\$21,197), (0.24%))

Currency	Purchased	Currency	Sold	Maturity Date	Unrealised Loss US\$	% of Net Assets
CLP	148,820,000	USD	204,401	19/07/2021	(121)	(0.00)
COP	264,100,000	USD	71,311	16/07/2021	(639)	(0.01)
CZK	7,748,000	USD	368,524	16/07/2021	(8,326)	(0.10)
EUR	4,835,000	USD	5,768,726	19/07/2021	(32,592)	(0.38)
GBP	403,530	USD	562,110	19/07/2021	(4,622)	(0.05)
HUF	82,000,000	USD	283,266	16/07/2021	(6,288)	(0.07)
IDR	4,510,000,000	USD	315,981	16/07/2021	(5,970)	(0.07)
ILS	1,058,000	USD	326,881	16/07/2021	(2,148)	(0.02)
INR	49,780,000	USD	676,195	16/07/2021	(7,802)	(0.09)
KRW	235,000,000	USD	210,272	16/07/2021	(1,985)	(0.02)
PHP	14,420,000	USD	299,730	16/07/2021	(4,810)	(0.06)
PLN	657,000	USD	176,485	16/07/2021	(3,895)	(0.05)
RUB	77,915,000	USD	1,076,897	16/07/2021	(12,689)	(0.15)
USD	131,991	CLP	99,000,000	19/07/2021	(3,902)	(0.05)
USD	54,387	CZK	1,170,000	16/07/2021	(5)	(0.00)
USD	62,713	ILS	205,000	16/07/2021	(208)	(0.00)
USD	179,775	KRW	204,000,000	16/07/2021	(1,035)	(0.01)
USD	150,571	MXN	3,116,000	16/07/2021	(5,618)	(0.06)
USD	80,259	PLN	307,000	16/07/2021	(388)	(0.00)
USD	157,095	SEK	1,344,000	16/07/2021	(82)	(0.00)
ZAR	11,080,000	USD	802,672	16/07/2021	(28,280)	(0.33)
<b>Total unrealised loss on forward currency contracts</b>					<b>(131,405)</b>	<b>(1.52)</b>
<b>Total underlying exposure on forward currency contracts: (30 June 2021: US\$16,716,337; 31 December 2020: US\$15,441,211)</b>						
<b>Total financial liabilities at fair value through profit or loss</b>					<b>(131,405)</b>	<b>(1.52)</b>
<b>Total financial assets and liabilities at fair value through profit or loss</b>					<b>8,089,649</b>	<b>93.53</b>
<b>Cash at bank and at broker</b>					<b>1,106,204</b>	<b>12.79</b>
<b>Other Net Liabilities</b>					<b>(545,887)</b>	<b>(6.32)</b>
<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>					<b>8,649,966</b>	<b>100.00</b>

Country Allocation	% of Net Assets
Canada	7.67
New Zealand	4.57
Singapore	11.39
United Kingdom	5.95
United States	64.48
<b>Total Investments</b>	<b>94.06</b>
<b>Financial Derivative Instruments</b>	<b>(0.53)</b>
<b>Net Other Assets and Liabilities</b>	<b>6.47</b>
<b>Total</b>	<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust FactorFX UCITS ETF (continued)

As at 30 June 2021

	<b>% of Total Assets</b>
<b>Analysis of Total Assets</b>	
Transferable securities admitted to an official stock exchange listing	86.84
OTC financial derivative instruments	0.91
Cash	11.81
Other Assets	0.44
Total Assets	<u>100.00</u>

\*The counterparty for the Forward Currency Contracts is The Bank of New York Mellon.

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Indxx Innovative Transaction & Process UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: US\$54,872,821, 99.61%)</b>			
<b>Basic Materials (31 December 2020: US\$434,478, 0.79%)</b>			
23,110	BHP Group Ltd	842,678	0.77
	<b>Total Basic Materials</b>	<b>842,678</b>	<b>0.77</b>
<b>Communications (31 December 2020: US\$8,724,729, 15.84%)</b>			
5,688	Alibaba Group Holding Ltd ADR	1,289,924	1.19
437	Amazon.com Inc	1,503,350	1.38
46,405	AT&T Inc	1,335,536	1.23
5,033	Baidu Inc ADR	1,026,229	0.94
17,216	Cisco Systems Inc/Delaware	912,448	0.84
70,653	Deutsche Telekom AG	1,492,416	1.37
15,235	JD.com Inc ADR	1,215,905	1.12
204,909	Nokia Oyj ADR	1,090,116	1.00
1,391	Samsung SDI Co Ltd	862,157	0.79
103,200	SoftBank Corp	1,351,484	1.24
2,656	Swisscom AG	1,517,706	1.39
102,558	Telefonaktiebolaget LM Ericsson - Class B	1,289,864	1.18
284,393	Telefonica SA ADR	1,336,647	1.23
15,132	Verizon Communications Inc	847,846	0.78
	<b>Total Communications</b>	<b>17,071,628</b>	<b>15.68</b>
<b>Consumer, Cyclical (31 December 2020: US\$1,307,316, 2.37%)</b>			
8,949	Bayerische Motoren Werke AG	947,810	0.87
9,742	Daimler AG	869,941	0.80
371,652	Haier Smart Home Co Ltd	705,626	0.65
8,137	Sony Group Corp ADR	791,079	0.73
1,199	Tesla Inc	814,960	0.75
6,361	Walmart Inc	897,028	0.82
	<b>Total Consumer, Cyclical</b>	<b>5,026,444</b>	<b>4.62</b>
<b>Consumer, Non-cyclical (31 December 2020: US\$3,347,134, 6.08%)</b>			
24,038	Kroger Co/The	920,896	0.85
5,398	PayPal Holdings Inc	1,573,409	1.44
18,500	Recruit Holdings Co Ltd	911,749	0.84
470	SGS SA	1,451,153	1.33
14,924	Worldline SA/France '144A'	1,397,104	1.28
	<b>Total Consumer, Non-cyclical</b>	<b>6,254,311</b>	<b>5.74</b>
<b>Energy (31 December 2020: US\$-, 0.00%)</b>			
618,200	PTT PCL	757,078	0.69
19,122	Royal Dutch Shell Plc ADR	772,529	0.71
	<b>Total Energy</b>	<b>1,529,607</b>	<b>1.40</b>
<b>Financial (31 December 2020: US\$21,038,552, 38.19%)</b>			
64,190	AIA Group Ltd	797,640	0.73
3,286	Allianz SE	819,508	0.75
17,499	American International Group Inc	832,952	0.77
30,937	AXA SA	784,574	0.72
80,521	Axis Bank Ltd	810,626	0.74
150,286	Banco Bilbao Vizcaya Argentaria SA	931,753	0.86
240,598	Banco Santander SA	918,601	0.84
2,271,226	Bank of China Ltd 'H'	815,975	0.75
1,417,082	Bank of Communications Co Ltd 'H'	952,528	0.87
18,366	Bank of New York Mellon Corp/The	940,890	0.86
345,128	Barclays Plc	815,861	0.75

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Indxx Innovative Transaction & Process UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$54,872,821, 99.61%) (continued)</b>			
<b>Financial (31 December 2020: US\$21,038,552, 38.19%) (continued)</b>			
13,426	BNP Paribas SA	841,788	0.77
230,290	BOC Hong Kong Holdings Ltd	781,390	0.72
2,755,246	China CITIC Bank Corp Ltd 'H'	1,305,631	1.20
1,623,775	China Construction Bank Corp 'H'	1,277,554	1.17
1,901,010	China Everbright Bank Co Ltd 'H'	775,990	0.71
78,003	China Life Insurance Co Ltd ADR	776,130	0.71
106,827	China Merchants Bank Co Ltd 'H'	911,338	0.84
11,464	Citigroup Inc	811,078	0.75
4,081	CME Group Inc	867,947	0.80
62,765	Credit Suisse Group AG	658,101	0.60
40,200	DBS Group Holdings Ltd	891,503	0.82
5,042	Deutsche Boerse AG	880,151	0.81
2,455	Goldman Sachs Group Inc/The	931,746	0.86
10,027	HDFC Bank Ltd ADR	733,174	0.67
141,492	HSBC Holdings Plc	815,672	0.75
48,171	ICICI Bank Ltd ADR 30/06/2022	823,724	0.76
70,962	ING Groep NV ADR	939,537	0.86
5,447	JPMorgan Chase & Co	847,226	0.78
12,175	KBC Group NV	928,382	0.85
31,198	Kotak Mahindra Bank Ltd	715,984	0.66
375,062	Lloyds Banking Group Plc ADR	956,408	0.88
7,783	London Stock Exchange Group Plc	856,921	0.79
2,183	Mastercard Inc	796,991	0.73
5,826	Nasdaq Inc	1,024,211	0.94
139,989	Nordea Bank Abp	1,560,184	1.43
693	Partners Group Holding AG	1,050,722	0.97
1,081,538	Postal Savings Bank of China Co Ltd 'H' '144A'	728,376	0.67
9,088	Royal Bank of Canada	921,717	0.85
109,683	Skandinaviska Enskilda Banken AB	1,417,822	1.30
54,411	UBS Group AG	833,511	0.77
77,695	UniCredit SpA	916,775	0.84
3,714	Visa Inc - Class A	868,408	0.80
44,095	Westpac Banking Corp	854,419	0.78
<b>Total Financial</b>		<b>39,721,419</b>	<b>36.48</b>
<b>Industrial (31 December 2020: US\$2,254,737, 4.09%)</b>			
7,083	Airbus SE	910,863	0.84
604	AP Moller - Maersk A/S - Class B	1,736,228	1.59
6,448	Honeywell International Inc	1,414,369	1.30
<b>Total Industrial</b>		<b>4,061,460</b>	<b>3.73</b>
<b>Technology (31 December 2020: US\$15,696,190, 28.49%)</b>			
5,152	Accenture Plc - Class A	1,518,758	1.40
16,874	Advanced Micro Devices Inc	1,584,975	1.46
7,863	Capgemini SE	1,510,601	1.39
17,684	Cognizant Technology Solutions Corp	1,224,794	1.13
8,800	Fujitsu Ltd	1,649,157	1.51
20,678	Infineon Technologies AG	829,332	0.76
71,838	Infosys Ltd ADR	1,522,247	1.40
21,647	Intel Corp	1,215,263	1.12
10,781	International Business Machines Corp	1,580,387	1.45
15,345	Micron Technology Inc	1,304,018	1.20
5,786	Microsoft Corp	1,567,427	1.44

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Indxx Innovative Transaction & Process UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$54,872,821, 99.61%) (continued)</b>			
<b>Technology (31 December 2020: US\$15,696,190, 28.49%) (continued)</b>			
2,642	NVIDIA Corp	2,113,864	1.94
20,328	Oracle Corp	1,582,332	1.45
3,896	salesforce.com Inc	951,676	0.87
11,635	Samsung Electronics Co Ltd	833,765	0.77
10,831	SAP SE	1,526,433	1.40
11,362	Taiwan Semiconductor Manufacturing Co Ltd ADR	1,365,258	1.25
32,548	Tata Consultancy Services Ltd	1,465,054	1.35
7,836	Texas Instruments Inc	1,506,863	1.38
203,682	Wipro Ltd ADR	1,590,756	1.46
10,868	Xilinx Inc	1,571,948	1.44
<b>Total Technology</b>		<b>30,014,908</b>	<b>27.57</b>
<b>Utilities (31 December 2020: US\$2,069,685, 3.76%)</b>			
32,390	Endesa SA	785,892	0.72
94,244	Engie SA	1,291,316	1.19
64,594	Iberdrola SA	787,466	0.72
10,493	Verbund AG	966,246	0.89
<b>Total Utilities</b>		<b>3,830,920</b>	<b>3.52</b>
<b>Total Common Stock</b>		<b>108,353,375</b>	<b>99.51</b>
<b>Total Transferable Securities admitted to an official stock exchange listing</b>		<b>108,353,375</b>	<b>99.51</b>
<b>Total financial assets and liabilities at fair value through profit or loss</b>		<b>108,353,375</b>	<b>99.51</b>
<b>Cash at bank and at broker</b>		<b>503,105</b>	<b>0.46</b>
<b>Other Net Assets</b>		<b>28,534</b>	<b>0.03</b>
<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>		<b>108,885,014</b>	<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Indxx Innovative Transaction & Process UCITS ETF (continued)

As at 30 June 2021

<b>Country Allocation</b>	<b>% of Net Assets</b>
Australia	1.55
Austria	0.89
Belgium	0.85
Canada	0.85
Cayman Islands	3.25
China	7.57
Denmark	1.59
Finland	2.43
France	5.35
Germany	6.76
Hong Kong	1.45
India	7.04
Ireland	1.40
Italy	0.84
Japan	4.32
Korea, Republic of (South Korea)	1.56
Netherlands	1.70
Singapore	0.82
Spain	4.37
Sweden	2.48
Switzerland	5.06
Taiwan	1.25
Thailand	0.69
United Kingdom	3.88
United States	31.56
<b>Total Investments</b>	<b>99.51</b>
<b>Net Other Assets and Liabilities</b>	<b>0.49</b>
<b>Total</b>	<b>100.00</b>

	<b>% of Total Assets</b>
<b>Analysis of Total Assets</b>	
Transferable securities admitted to an official stock exchange listing	99.41
Cash	0.46
Other Assets	0.13
<b>Total Assets</b>	<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Dow Jones Internet UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: US\$30,857,896, 99.74%)</b>			
<b>Communications (31 December 2020: US\$20,271,671, 65.52%)</b>			
759	Alphabet Inc	1,902,297	4.67
800	Alphabet Inc - Class A	1,953,432	4.79
1,123	Amazon.com Inc	3,863,300	9.48
1,741	Arista Networks Inc	630,782	1.55
5,167	Bumble Inc	297,619	0.73
7,360	Ciena Corp	418,710	1.03
32,560	Cisco Systems Inc/Delaware	1,725,680	4.24
14,641	CommScope Holding Co Inc	312,000	0.77
13,674	eBay Inc	960,052	2.36
3,878	Etsy Inc	798,248	1.96
4,016	Expedia Group Inc	657,459	1.61
8,747	Facebook Inc - Class A	3,041,419	7.47
6,456	GoDaddy Inc - Class A	561,414	1.38
15,546	Juniper Networks Inc	425,183	1.04
6,037	Match Group Inc	973,466	2.39
3,524	Netflix Inc	1,861,412	4.57
3,362	Okta Inc	822,614	2.02
12,589	Pinterest Inc	993,902	2.44
16,880	Snap Inc	1,150,203	2.82
15,932	Twitter Inc	1,096,281	2.69
3,000	VeriSign Inc	683,070	1.68
19,350	Vonage Holdings Corp	278,834	0.68
2,120	Wayfair Inc	669,305	1.64
4,801	Zillow Group Inc	586,778	1.44
1,102	Zillow Group Inc - Class A	135,028	0.33
<b>Total Communications</b>		<b>26,798,488</b>	<b>65.78</b>
<b>Consumer, Non-cyclical (31 December 2020: US\$2,540,629, 8.21%)</b>			
7,674	PayPal Holdings Inc	2,236,817	5.49
4,161	Teladoc Health Inc	691,933	1.70
<b>Total Consumer, Non-cyclical</b>		<b>2,928,750</b>	<b>7.19</b>
<b>Technology (31 December 2020: US\$8,045,596, 26.01%)</b>			
5,239	Akamai Technologies Inc	610,867	1.50
11,662	Box Inc	297,964	0.73
4,599	Citrix Systems Inc	539,325	1.32
17,866	Cloudera Inc	283,355	0.70
2,603	Coupa Software Inc	682,272	1.67
6,858	Datadog Inc	713,781	1.75
3,943	DocuSign Inc - Class A	1,102,345	2.71
14,638	Dropbox Inc	443,678	1.09
5,949	Fastly Inc	354,560	0.87
11,222	Nutanix Inc	428,905	1.05
7,304	salesforce.com Inc	1,784,148	4.38
2,249	Snowflake Inc	543,808	1.34
3,010	Veeva Systems Inc - Class A	935,959	2.30
3,997	Workday Inc - Class A	954,244	2.34

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Dow Jones Internet UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$30,857,896, 99.74%) (continued)</b>			
<b>Technology (31 December 2020: US\$8,045,596, 26.01%) (continued)</b>			
3,438	Zoom Video Communications Inc - Class A	1,330,609	3.27
	<b>Total Technology</b>	<b>11,005,820</b>	<b>27.02</b>
	<b>Total Common Stock</b>	<b>40,733,058</b>	<b>99.99</b>
	<b>Total Transferable Securities admitted to an official stock exchange listing</b>	<b>40,733,058</b>	<b>99.99</b>
	<b>Total financial assets and liabilities at fair value through profit or loss</b>	<b>40,733,058</b>	<b>99.99</b>
	<b>Cash at bank and at broker</b>	<b>21,612</b>	<b>0.05</b>
	<b>Other Net Liabilities</b>	<b>(17,537)</b>	<b>(0.04)</b>
	<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>	<b>40,737,133</b>	<b>100.00</b>
<b>Country Allocation</b>			<b>% of Net Assets</b>
United States			99.99
<b>Total Investments</b>			<b>99.99</b>
<b>Net Other Assets and Liabilities</b>			<b>0.01</b>
<b>Total</b>			<b>100.00</b>
<b>Analysis of Total Assets</b>			<b>% of Total Assets</b>
Transferable securities admitted to an official stock exchange listing			99.95
Cash			0.05
<b>Total Assets</b>			<b>100.00</b>



# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Cloud Computing UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: US\$518,842,695, 99.61%)</b>			
<b>Communications (31 December 2020: US\$127,953,561, 24.57%)</b>			
102,045	Alibaba Group Holding Ltd ADR	23,141,765	3.59
9,264	Alphabet Inc - Class A	22,620,743	3.51
6,774	Amazon.com Inc	23,303,644	3.61
70,645	Anaplan Inc	3,765,378	0.58
64,334	Arista Networks Inc	23,308,852	3.62
275,155	Cisco Systems Inc/Delaware	14,583,215	2.26
1,314,643	Lumen Technologies Inc	17,865,998	2.77
72,793	Mimecast Ltd	3,861,669	0.60
10,018	Palo Alto Networks Inc	3,717,179	0.58
38,333	Q2 Holdings Inc	3,932,199	0.61
8,784	Shopify Inc	12,833,248	1.99
26,627	Zendesk Inc	3,843,341	0.60
<b>Total Communications</b>		<b>156,777,231</b>	<b>24.32</b>
<b>Consumer, Non-cyclical (31 December 2020: US\$3,036,120, 0.58%)</b>			
27,532	Avalara Inc	4,454,677	0.69
21,427	Paylocity Holding Corp	4,088,272	0.64
<b>Total Consumer, Non-cyclical</b>		<b>8,542,949</b>	<b>1.33</b>
<b>Technology (31 December 2020: US\$387,853,014, 74.46%)</b>			
21,636	Adobe Inc	12,670,907	1.97
127,447	Akamai Technologies Inc	14,860,320	2.31
26,977	Appfolio Inc	3,809,152	0.59
80,437	Appian Corp - Class A	11,080,197	1.72
98,938	Asana Inc	6,137,124	0.95
46,797	Atlassian Corp Plc	12,020,277	1.87
35,000	Blackline Inc	3,894,450	0.60
156,111	Box Inc	3,988,636	0.62
126,616	Citrix Systems Inc	14,848,258	2.30
44,345	Cloudflare Inc	4,693,475	0.73
15,278	Coupa Software Inc	4,004,517	0.62
16,381	CrowdStrike Holdings Inc	4,116,709	0.64
39,967	Datadog Inc	4,159,765	0.65
18,048	DocuSign Inc - Class A	5,045,679	0.78
109,442	Domo Inc	8,846,197	1.37
133,051	Dropbox Inc	4,032,776	0.63
30,783	Elastic NV	4,486,930	0.70
30,970	Everbridge Inc	4,214,398	0.65
231,337	Fastly Inc	13,787,685	2.14
61,642	Five9 Inc	11,304,526	1.75
1,140,016	Hewlett Packard Enterprise Co	16,621,433	2.58
21,644	HubSpot Inc	12,612,392	1.96
101,264	International Business Machines Corp	14,844,290	2.30
8,288	Intuit Inc	4,062,529	0.63
558,976	Kingsoft Cloud Holdings Ltd ADR	18,966,056	2.94
87,446	Microsoft Corp	23,689,121	3.68
23,227	MicroStrategy Inc - Class A	15,434,342	2.40
187,092	Momentive Global Inc	3,942,029	0.61
62,323	MongoDB Inc - Class A	22,531,011	3.50
141,099	NetApp Inc	11,544,720	1.79
77,457	Open Text Corp	3,934,816	0.61
277,287	Oracle Corp	21,584,020	3.35
11,040	Paycom Software Inc	4,012,709	0.62

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Cloud Computing UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$518,842,695, 99.61%) (continued)</b>			
<b>Technology (31 December 2020: US\$387,853,014, 74.46%) (continued)</b>			
573,061	Pure Storage Inc	11,191,881	1.74
37,639	Qualys Inc	3,789,871	0.59
1,081,943	Rackspace Technology Inc	21,216,902	3.29
45,849	salesforce.com Inc	11,199,535	1.74
52,011	SAP SE ADR	7,305,465	1.13
23,037	ServiceNow Inc	12,659,983	1.96
61,593	Smartsheet Inc - Class A	4,454,406	0.69
15,287	Snowflake Inc	3,696,397	0.57
90,072	Splunk Inc	13,022,610	2.02
52,419	Sprout Social Inc - Class A	4,687,307	0.73
84,215	Tabula Rasa HealthCare Inc	4,210,750	0.65
32,490	Twilio Inc - Class A	12,806,258	1.99
12,490	Veeva Systems Inc - Class A	3,883,766	0.60
138,284	VMware Inc	22,121,292	3.43
15,910	Workday Inc - Class A	3,798,353	0.59
38,345	Workiva Inc - Class A	4,268,949	0.66
10,976	Zoom Video Communications Inc - Class A	4,248,041	0.66
18,738	Zscaler Inc	4,048,532	0.63
<b>Total Technology</b>		<b>478,391,744</b>	<b>74.23</b>
<b>Total Common Stock</b>		<b>643,711,924</b>	<b>99.88</b>
<b>Total Transferable Securities admitted to an official stock exchange listing</b>		<b>643,711,924</b>	<b>99.88</b>
<b>Total financial assets and liabilities at fair value through profit or loss</b>		<b>643,711,924</b>	<b>99.88</b>
<b>Cash at bank and at broker</b>		<b>1,013,633</b>	<b>0.16</b>
<b>Other Net Liabilities</b>		<b>(227,934)</b>	<b>(0.04)</b>
<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>		<b>644,497,623</b>	<b>100.00</b>

Country Allocation	% of Net Assets
Canada	2.60
Cayman Islands	6.53
Germany	1.13
Jersey	0.60
Netherlands	0.70
United Kingdom	1.87
United States	86.45
<b>Total Investments</b>	<b>99.88</b>
<b>Net Other Assets and Liabilities</b>	<b>0.12</b>
<b>Total</b>	<b>100.00</b>

Analysis of Total Assets	% of Total Assets
Transferable securities admitted to an official stock exchange listing	99.48
Cash	0.16
Other Assets	0.36
<b>Total Assets</b>	<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Low Duration Global Government Bond UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value €	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Treasury Notes/Bonds (31 December 2020: €26,964,546, 96.71%)</b>			
<b>Government (31 December 2020: €26,964,546, 96.71%)</b>			
300,000	Australia Government Bond 0.25% 21/11/2024	188,900	0.73
70,000	Australia Government Bond 3.25% 21/04/2025	48,866	0.19
1,930,000	Australia Government Bond 3.25% 21/04/2029	1,406,243	5.44
915,000	Australia Government Bond 4.5% 21/04/2033	760,642	2.94
630,000,000	Bonos de la Tesoreria de la Republica en pesos 4.5% 01/03/2026	760,830	2.94
720,000,000	Bonos de la Tesoreria de la Republica en pesos '144A' 4.7% 01/09/2030	841,913	3.26
380,000	Bundesrepublik Deutschland Bundesanleihe 2.25% 04/09/2021	381,946	1.48
1,550,000	Canadian Government Bond 0.25% 01/03/2026	1,020,709	3.95
735,000	Canadian Government Bond 0.5% 01/03/2022	501,514	1.94
300,000	Canadian Government Bond 1.75% 01/03/2023	208,826	0.81
2,100,000	Canadian Government Bond 2% 01/09/2023	1,475,947	5.71
29,500,000	Czech Republic Government Bond 1% 26/06/2026	1,121,043	4.34
4,600,000	Czech Republic Government Bond 4.7% 12/09/2022	189,748	0.73
3,000,000	Czech Republic Government Bond 5.7% 25/05/2024	132,435	0.51
6,480,000	Denmark Government Bond 3% 15/11/2021	882,959	3.42
1,350,000	Denmark Government Bond 7% 10/11/2024	227,853	0.88
20,000	French Republic Government Bond OAT 0% 25/02/2022	20,088	0.08
111,600,000	Hungary Government Bond 5.5% 24/06/2025	361,629	1.40
283,630,000	Hungary Government Bond 6.75% 22/10/2028	1,045,224	4.05
13,420,000,000	Indonesia Treasury Bond 8.25% 15/05/2036	858,211	3.32
465,000	Israel Government Bond - Fixed 5.5% 31/01/2022	124,215	0.48
1,240,000	Israel Government Bond - Fixed 6.25% 30/10/2026	417,797	1.62
140,000	Italy Buoni Poliennali Del Tesoro 5.25% 01/11/2029	193,255	0.75
65,000	Italy Buoni Poliennali Del Tesoro 6% 01/05/2031	97,343	0.38
5,000,000	Mexican Bonos 7.75% 23/11/2034	223,638	0.87
25,500,000	Mexican Bonos 8.5% 31/05/2029	1,189,474	4.60
1,500,000	Mexican Bonos 10% 20/11/2036	80,141	0.31
585,000	Netherlands Government Bond '144A' 0% 15/01/2022	587,045	2.27
730,000	New Zealand Government Bond 1.5% 15/05/2031	419,767	1.62
238,000	New Zealand Government Bond 3.5% 14/04/2033	162,985	0.63
1,420,000	New Zealand Government Bond 4.5% 15/04/2027	989,143	3.83
8,000,000	Norway Government Bond '144A' 1.5% 19/02/2026	798,915	3.09
3,500,000	Norway Government Bond '144A' 2% 24/05/2023	351,792	1.36
2,200,000	Peru Government Bond 6.15% 12/08/2032	508,277	1.97
3,070,000	Peru Government Bond 6.95% 12/08/2031	759,828	2.94
235,000	Peru Government Bond 8.2% 12/08/2026	64,356	0.25
3,445,000	Republic of Poland Government Bond 2.5% 25/07/2026	810,140	3.14
100,000	Republic of Poland Government Bond 2.5% 25/07/2027	23,598	0.09
3,350,000	Republic of Poland Government Bond 2.75% 25/04/2028	804,829	3.12
1,000,000	Republic of Poland Government Bond 5.75% 25/04/2029	290,084	1.12
430,000	Romania Government Bond 4.5% 17/06/2024	92,496	0.36
745,000	Singapore Government Bond 1.25% 01/10/2021	468,428	1.81
465,000	Singapore Government Bond 2.875% 01/07/2029	325,045	1.26
280,000	Singapore Government Bond 3% 01/09/2024	188,770	0.73
190,000	Singapore Government Bond 3.375% 01/09/2033	140,347	0.54
825,000	Singapore Government Bond 3.5% 01/03/2027	586,112	2.27
2,305,000	Sweden Government Bond 3.5% 01/06/2022	235,279	0.91
1,170,000	United States Treasury Note/Bond 1.625% 15/08/2029	1,010,008	3.91
45,000	United States Treasury Note/Bond 2.125% 31/12/2021	38,334	0.15

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Low Duration Global Government Bond UCITS ETF (continued)

As at 30 June 2021

					Fair Value €	% of Net Assets
Nominal	Security Description					
Transferable Securities admitted to an official stock exchange listing (continued)						
Treasury Notes/Bonds (31 December 2020: €26,964,546, 96.71 %) (continued)						
Government (31 December 2020: €26,964,546, 96.71 %) (continued)						
760,000	United States Treasury Note/Bond 2.25% 31/03/2026				683,423	2.65
Total Government					25,100,390	97.15
Total Treasury Notes/Bonds					25,100,390	97.15
Total Transferable Securities admitted to an official stock exchange listing					25,100,390	97.15
Forward Currency Contracts* (31 December 2020: €127,810, 0.46%)						
Currency	Purchased	Currency	Sold	Maturity Date	Unrealised Gain €	% of Net Assets
EUR	2,293,659	AUD	3,555,000	16/07/2021	43,699	0.17
EUR	17,554	GBP	15,000	19/07/2021	86	0.00
EUR	1,195,008	NOK	12,010,000	16/07/2021	18,420	0.07
EUR	1,625,011	NZD	2,723,000	16/07/2021	21,169	0.08
EUR	247,254	SEK	2,500,000	16/07/2021	799	0.00
MXN	1,350,000	EUR	55,324	18/08/2021	1,442	0.01
Total unrealised gain on forward currency contracts					85,615	0.33
Open Futures Contracts** (31 December 2020: €10,923, 0.04%)						
No. of Contracts	Cost	Description	Maturity		Unrealised Gain €	% of Net Assets
4	581,072	Canadian Government 10 Year Bond Future	21/09/2021		686	0.00
13	1,718,150	United States 10 Year Treasury Note Future	21/09/2021		3,726	0.02
Total unrealised gain on future contracts					4,412	0.02
Total financial assets at fair value through profit or loss					25,190,417	97.50

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Low Duration Global Government Bond UCITS ETF (continued)

As at 30 June 2021

Forward Currency Contracts\* (31 December 2020: (€198,119), (0.71%))

Currency	Purchased	Currency	Sold	Maturity Date	Unrealised Loss €	% of Net Assets
AUD	35,000	EUR	22,548	16/07/2021	(397)	(0.00)
EUR	181,459	AUD	287,700	16/07/2021	(626)	(0.00)
EUR	3,157,187	CAD	4,735,000	16/07/2021	(66,085)	(0.26)
EUR	1,649,811	CLP	1,460,000,000	19/07/2021	(39,439)	(0.15)
EUR	1,470,384	CZK	37,625,000	18/08/2021	(2,729)	(0.01)
EUR	1,156,088	DKK	8,600,000	16/07/2021	(377)	(0.00)
EUR	1,448,486	HUF	510,600,000	18/08/2021	(3,579)	(0.01)
EUR	835,879	IDR	14,500,000,000	16/07/2021	(4,303)	(0.02)
EUR	535,309	ILS	2,133,000	18/08/2021	(16,384)	(0.06)
EUR	1,525,449	MXN	37,241,000	18/08/2021	(40,484)	(0.16)
EUR	1,322,751	PEN	6,250,000	16/07/2021	(54,026)	(0.21)
EUR	1,967,866	PLN	8,936,000	18/08/2021	(9,761)	(0.04)
EUR	70,971	RON	350,000	16/07/2021	(42)	(0.00)
EUR	1,729,261	SGD	2,780,000	16/07/2021	(14,023)	(0.06)
EUR	1,706,524	USD	2,049,000	16/07/2021	(20,693)	(0.08)
GBP	1,550,000	EUR	1,810,671	19/07/2021	(5,709)	(0.02)
NZD	60,000	EUR	35,359	16/07/2021	(20)	(0.00)
PLN	150,000	EUR	33,440	18/08/2021	(243)	(0.00)
<b>Total unrealised loss on forward currency contracts</b>					<b>(278,920)</b>	<b>(1.08)</b>

**Total underlying exposure on forward currency contracts: (30 June 2021: €26,302,739; 31 December 2020: €25,202,191)**

Open Futures Contracts\*\* (31 December 2020: (€25,598), (0.09%))

No. of Contracts	Cost	Description	Maturity	Unrealised Loss €	% of Net Assets
7	992,815	Australian Government 10 Year Bond Future	15/09/2021	(2,884)	(0.01)
(13)	(2,233,876)	Euro Bund Future	08/09/2021	(10,054)	(0.04)
(28)	(424,367,168)	Japanese Mini Government 10 Year Bond Future	10/09/2021	(4,226)	(0.02)
4	503,777,006	Korean Government 10 Year Bond Future	17/09/2021	(447)	0.00
(7)	(891,129)	Long Gilt Future	28/09/2021	(6,461)	(0.03)
<b>Total unrealised Loss on future contracts</b>				<b>(24,072)</b>	<b>(0.10)</b>

**Total underlying exposure on future contracts: (30 June 2021: €5,606,346; 31 December 2020: € 6,546,940)**

<b>Total financial liabilities at fair value through profit or loss</b>	<b>(302,992)</b>	<b>(1.18)</b>
<b>Total financial assets and liabilities at fair value through profit or loss</b>	<b>24,887,425</b>	<b>96.32</b>
<b>Cash at bank and at broker</b>	<b>718,538</b>	<b>2.78</b>
<b>Other Net Assets</b>	<b>231,500</b>	<b>0.90</b>
<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>	<b>25,837,463</b>	<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Low Duration Global Government Bond UCITS ETF (continued)

As at 30 June 2021

<b>Country Allocation</b>	<b>% of Net Assets</b>
Australia	9.30
Canada	12.41
Chile	6.20
Czech Republic	5.58
Denmark	4.30
France	0.08
Germany	1.48
Hungary	5.45
Indonesia	3.32
Israel	2.10
Italy	1.13
Mexico	5.78
Netherlands	2.27
New Zealand	6.08
Norway	4.45
Peru	5.16
Poland	7.47
Romania	0.36
Singapore	6.61
Sweden	0.91
United States	6.71
<b>Total Investments</b>	<b>97.15</b>
<b>Financial Derivative Instruments</b>	<b>(0.83)</b>
<b>Net Other Assets and Liabilities</b>	<b>3.68</b>
<b>Total</b>	<b>100.00</b>

<b>Analysis of Total Assets</b>	<b>% of Total Assets</b>
Transferable securities admitted to an official stock exchange listing	95.49
OTC financial derivative instruments	0.34
Cash	3.21
Other Assets	0.96
<b>Total Assets</b>	<b>100.00</b>

\* The counterparty for the Forward Currency Contracts is The Bank of New York Mellon.

\*\* The counterparty for the Open Futures Contracts is J.P. Morgan Securities LLC.

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Capital Strength UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: US\$6,695,166, 99.77%)</b>			
<b>Basic Materials (30 June 2021: Nil; 31 December 2020: US\$118,304, 1.76%)</b>			
<b>Communications (31 December 2020: US\$259,895, 3.87%)</b>			
3,705	Cisco Systems Inc/Delaware	196,365	1.95
	<b>Total Communications</b>	<b>196,365</b>	<b>1.95</b>
<b>Consumer, Cyclical (31 December 2020: US\$637,839, 9.51%)</b>			
528	Costco Wholesale Corp	208,914	2.08
903	Dollar General Corp	195,400	1.94
596	Home Depot Inc/The	190,058	1.89
939	Lowe's Cos Inc	182,138	1.81
1,457	NIKE Inc	225,092	2.24
1,391	Walmart Inc	196,159	1.95
	<b>Total Consumer, Cyclical</b>	<b>1,197,761</b>	<b>11.91</b>
<b>Consumer, Non-cyclical (31 December 2020: US\$2,403,278, 35.81%)</b>			
3,740	Altria Group Inc	178,323	1.77
765	Amgen Inc	186,469	1.86
1,014	Automatic Data Processing Inc	201,401	2.00
2,635	Brown-Forman Corp	197,467	1.96
3,645	Coca-Cola Co/The	197,231	1.96
2,198	Edwards Lifesciences Corp	227,647	2.27
3,192	General Mills Inc	194,489	1.94
1,215	Hershey Co/The	211,629	2.11
449	Humana Inc	198,781	1.98
1,206	Johnson & Johnson	198,676	1.98
2,624	Merck & Co Inc	204,068	2.03
1,993	Monster Beverage Corp	182,060	1.81
608	Moody's Corp	220,321	2.19
1,357	PepsiCo Inc	201,067	2.00
1,425	Procter & Gamble Co/The	192,275	1.91
1,504	Quest Diagnostics Inc	198,483	1.97
517	S&P Global Inc	212,203	2.11
500	UnitedHealth Group Inc	200,220	1.99
1,167	Zoetis Inc	217,482	2.16
	<b>Total Consumer, Non-cyclical</b>	<b>3,820,292</b>	<b>38.00</b>
<b>Financial (31 December 2020: US\$946,583, 14.11%)</b>			
1,601	Allstate Corp/The	208,834	2.08
818	Aon Plc	195,306	1.94
1,535	Marsh & McLennan Cos Inc	215,944	2.15
1,221	Nasdaq Inc	214,652	2.13
1,981	Progressive Corp/The	194,554	1.94
	<b>Total Financial</b>	<b>1,029,290</b>	<b>10.24</b>
<b>Industrial (31 December 2020: US\$1,903,926, 28.37%)</b>			
985	3M Co	195,651	1.95
1,454	Agilent Technologies Inc	214,916	2.14
1,473	AMETEK Inc	196,645	1.96
2,150	Ball Corp	174,193	1.73
5,949	CSX Corp	190,844	1.90
1,759	Expeditors International of Washington Inc	222,689	2.21
1,392	Garmin Ltd	201,339	2.00
1,061	General Dynamics Corp	199,744	1.99
843	Honeywell International Inc	184,912	1.84

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Capital Strength UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$6,695,166, 99.77%) (continued)</b>			
<b>Industrial (31 December 2020: US\$1,903,926, 28.37%) (continued)</b>			
887	IDEX Corp	195,184	1.94
873	Illinois Tool Works Inc	195,168	1.94
499	Lockheed Martin Corp	188,797	1.88
565	Northrop Grumman Corp	205,338	2.04
878	Union Pacific Corp	193,098	1.92
1,089	United Parcel Service Inc - Class B	226,479	2.25
<b>Total Industrial</b>		<b>2,984,997</b>	<b>29.69</b>
<b>Technology (31 December 2020: US\$425,341, 6.34%)</b>			
682	Accenture Plc - Class A	201,047	2.00
2,598	Cerner Corp	203,060	2.02
1,387	Electronic Arts Inc	199,492	1.99
750	Microsoft Corp	203,175	2.02
<b>Total Technology</b>		<b>806,774</b>	<b>8.03</b>
<b>Total Common Stock</b>		<b>10,035,479</b>	<b>99.82</b>
<b>Total Transferable Securities admitted to an official stock exchange listing</b>		<b>10,035,479</b>	<b>99.82</b>
<b>Total financial assets and liabilities at fair value through profit or loss</b>		<b>10,035,479</b>	<b>99.82</b>
<b>Cash at bank and at broker</b>		<b>14,428</b>	<b>0.14</b>
<b>Other Net Assets</b>		<b>4,107</b>	<b>0.04</b>
<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>		<b>10,054,014</b>	<b>100.00</b>
<b>Country Allocation</b>			<b>% of Net Assets</b>
Ireland			3.94
Switzerland			2.00
United States			93.88
<b>Total Investments</b>			<b>99.82</b>
<b>Net Other Assets and Liabilities</b>			<b>0.18</b>
<b>Total</b>			<b>100.00</b>

<b>Analysis of Total Assets</b>		<b>% of Total Assets</b>
Transferable securities admitted to an official stock exchange listing		99.77
Cash		0.14
Other Assets		0.09
<b>Total Assets</b>		<b>100.00</b>



# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Value Line® Dividend Index UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: US\$7,779,616, 99.57%)</b>			
<b>Basic Materials (31 December 2020: US\$247,473, 3.17%)</b>			
149	Air Products & Chemicals Inc	42,865	0.48
297	International Flavors & Fragrances Inc	44,372	0.50
1,102	Kirkland Lake Gold Ltd	42,460	0.48
153	Linde Plc	44,232	0.49
141	NewMarket Corp	45,399	0.51
500	Sensient Technologies Corp	43,280	0.48
<b>Total Basic Materials</b>		<b>262,608</b>	<b>2.94</b>
<b>Communications (31 December 2020: US\$539,135, 6.90%)</b>			
1,526	AT&T Inc	43,918	0.49
891	BCE Inc	43,944	0.49
830	Cisco Systems Inc/Delaware	43,990	0.49
770	Comcast Corp	43,905	0.49
1,120	Corning Inc	45,808	0.51
1,597	Juniper Networks Inc	43,678	0.49
209	Motorola Solutions Inc	45,322	0.51
847	Rogers Communications Inc	45,010	0.51
1,966	TELUS Corp (Units)	44,097	0.50
448	Thomson Reuters Corp	44,495	0.50
783	Verizon Communications Inc	43,872	0.49
<b>Total Communications</b>		<b>488,039</b>	<b>5.47</b>
<b>Consumer, Cyclical (31 December 2020: US\$411,840, 5.27%)</b>			
187	Cummins Inc	45,592	0.51
858	Fastenal Co	44,616	0.50
143	Home Depot Inc/The	45,601	0.51
234	Lowe's Cos Inc	45,389	0.51
189	McDonald's Corp	43,657	0.49
494	MSC Industrial Direct Co Inc - Class A	44,327	0.50
505	PACCAR Inc	45,071	0.51
398	Starbucks Corp	44,500	0.50
189	Target Corp	45,689	0.51
247	Toyota Motor Corp ADR	43,186	0.48
324	Walmart Inc	45,691	0.51
157	Watsco Inc	45,003	0.50
99	WW Grainger Inc	43,362	0.49
378	Yum! Brands Inc	43,481	0.49
<b>Total Consumer, Cyclical</b>		<b>625,165</b>	<b>7.01</b>
<b>Consumer, Non-cyclical (31 December 2020: US\$1,580,274, 20.23%)</b>			
396	Abbott Laboratories	45,908	0.52
378	AmerisourceBergen Corp - Class A	43,277	0.49
184	Amgen Inc	44,850	0.50
714	Archer-Daniels-Midland Co	43,268	0.49
757	AstraZeneca Plc ADR	45,344	0.51
225	Automatic Data Processing Inc	44,690	0.50
541	Baxter International Inc	43,550	0.49
183	Becton Dickinson and Co	44,504	0.50
660	Bristol-Myers Squibb Co	44,101	0.49
969	Campbell Soup Co	44,177	0.50
255	Clorox Co/The	45,877	0.51
812	Coca-Cola Co/The	43,937	0.49
542	Colgate-Palmolive Co	44,092	0.49

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Value Line® Dividend Index UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$7,779,616, 99.57%) (continued)</b>			
<b>Consumer, Non-cyclical (31 December 2020: US\$1,580,274, 20.23%) (continued)</b>			
195	Constellation Brands Inc - Class A	45,609	0.51
525	CVS Health Corp	43,806	0.49
227	Diageo Plc ADR	43,514	0.49
198	Eli Lilly & Co	45,445	0.51
1,064	Fresenius Medical Care AG & Co KGaA ADR	44,230	0.50
734	General Mills Inc	44,723	0.50
655	Gilead Sciences Inc	45,103	0.51
1,109	GlaxoSmithKline Plc ADR	44,160	0.50
256	Hershey Co/The	44,590	0.50
923	Hormel Foods Corp	44,073	0.49
486	Ingredion Inc	43,983	0.49
255	J & J Snack Foods Corp	44,475	0.50
337	J M Smucker Co/The	43,665	0.49
269	Johnson & Johnson	44,315	0.50
688	Kellogg Co	44,259	0.50
341	Kimberly-Clark Corp	45,619	0.51
229	Lancaster Colony Corp	44,314	0.50
506	McCormick & Co Inc/MD	44,690	0.50
352	Medtronic Plc	43,694	0.49
575	Merck & Co Inc	44,718	0.50
704	Mondelez International Inc	43,958	0.49
470	Novartis AG ADR	42,883	0.48
534	Novo Nordisk A/S ADR	44,733	0.50
301	PepsiCo Inc	44,599	0.50
1,120	Pfizer Inc	43,859	0.49
1,284	Premier Inc - Class A	44,670	0.50
332	Procter & Gamble Co/The	44,797	0.50
341	Quest Diagnostics Inc	45,002	0.50
502	Robert Half International Inc	44,663	0.50
839	Sanofi ADR	44,182	0.50
736	Unilever Plc ADR	43,056	0.48
111	UnitedHealth Group Inc	44,449	0.50
<b>Total Consumer, Non-cyclical</b>		<b>1,997,411</b>	<b>22.40</b>
<b>Energy (31 December 2020: US\$-, 0.00%)</b>			
1,103	Enbridge Inc	44,164	0.50
<b>Total Energy</b>		<b>44,164</b>	<b>0.50</b>
<b>Financial (31 December 2020: US\$1,630,963, 20.87%)</b>			
832	Aflac Inc	44,645	0.50
344	Allstate Corp/The	44,871	0.50
165	American Tower Corp (REIT)	44,573	0.50
316	Arthur J Gallagher & Co	44,265	0.50
285	Assurant Inc	44,511	0.50
903	Axis Capital Holdings Ltd	44,256	0.50
426	Bank of Montreal	43,691	0.49
889	Bank of New York Mellon Corp/The	45,544	0.51
683	Bank of Nova Scotia/The	44,443	0.50
51	BlackRock Inc	44,624	0.50
326	Camden Property Trust (REIT)	43,250	0.49
372	Canadian Imperial Bank of Commerce	42,356	0.48
374	Cboe Global Markets Inc	44,525	0.50
278	Chubb Ltd	44,185	0.50

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Value Line® Dividend Index UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$7,779,616, 99.57%) (continued)</b>			
<b>Financial (31 December 2020: US\$1,630,963, 20.87%) (continued)</b>			
204	CME Group Inc	43,387	0.49
967	CNA Financial Corp	43,989	0.49
601	Commerce Bancshares Inc/MO	44,811	0.50
223	Crown Castle International Corp (REIT)	43,507	0.49
905	Duke Realty Corp (REIT)	42,852	0.48
232	Erie Indemnity Co - Class A	44,857	0.50
181	Everest Re Group Ltd	45,614	0.51
1,361	Franklin Resources Inc	43,538	0.49
123	Goldman Sachs Group Inc/The	46,682	0.52
329	Hanover Insurance Group Inc/The	44,626	0.50
710	Hartford Financial Services Group Inc/The	43,999	0.49
566	Houlihan Lokey Inc - Class A	46,293	0.52
293	JPMorgan Chase & Co	45,573	0.51
299	M&T Bank Corp	43,448	0.49
324	Marsh & McLennan Cos Inc	45,580	0.51
258	Mid-America Apartment Communities Inc (REIT)	43,452	0.49
514	Morgan Stanley	47,129	0.53
240	PNC Financial Services Group Inc/The	45,782	0.51
360	Prologis Inc (REIT)	43,031	0.48
145	Public Storage (REIT)	43,600	0.49
432	Royal Bank of Canada	43,766	0.49
869	Sun Life Financial Inc	44,788	0.50
230	T Rowe Price Group Inc	45,533	0.51
622	Toronto-Dominion Bank/The	43,571	0.49
297	Travelers Cos Inc/The	44,464	0.50
788	US Bancorp	44,892	0.50
<b>Total Financial</b>		<b>1,778,503</b>	<b>19.95</b>
<b>Industrial (31 December 2020: US\$1,207,686, 15.46%)</b>			
226	3M Co	44,890	0.50
652	A O Smith Corp	46,983	0.53
1,308	ABB Ltd ADR	44,459	0.50
1,120	Avnet Inc	44,890	0.50
420	Canadian National Railway Co	44,318	0.50
207	Caterpillar Inc	45,049	0.51
468	CH Robinson Worldwide Inc	43,838	0.49
710	Donaldson Co Inc	45,106	0.51
302	Dover Corp	45,481	0.51
465	Emerson Electric Co	44,752	0.50
311	Garmin Ltd	44,983	0.50
231	General Dynamics Corp	43,488	0.49
204	Honeywell International Inc	44,747	0.50
245	Hubbell Inc - Class B	45,776	0.51
198	Illinois Tool Works Inc	44,265	0.50
665	Johnson Controls International plc	45,639	0.51
198	L3Harris Technologies Inc	42,798	0.48
346	Lincoln Electric Holdings Inc	45,572	0.51
115	Lockheed Martin Corp	43,510	0.49
167	Norfolk Southern Corp	44,323	0.50
118	Northrop Grumman Corp	42,885	0.48
326	Packaging Corp of America	44,147	0.50
502	Raytheon Technologies Corp	42,826	0.48
407	Republic Services Inc - Class A	44,774	0.50

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Value Line® Dividend Index UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$7,779,616, 99.57%) (continued)</b>			
<b>Industrial (31 December 2020: US\$1,207,686, 15.46%) (continued)</b>			
157	Rockwell Automation Inc	44,905	0.50
1,077	Silgan Holdings Inc	44,696	0.50
200	Snap-on Inc	44,686	0.50
671	Sonoco Products Co	44,890	0.50
335	TE Connectivity Ltd	45,295	0.51
203	Union Pacific Corp	44,646	0.50
217	United Parcel Service Inc - Class B	45,129	0.51
317	Waste Management Inc	44,415	0.50
<b>Total Industrial</b>		<b>1,428,161</b>	<b>16.02</b>
<b>Technology (31 December 2020: US\$463,222, 5.93%)</b>			
557	Amdocs Ltd	43,090	0.48
269	Analog Devices Inc	46,311	0.52
274	Broadridge Financial Solutions Inc	44,259	0.50
1,879	Canon Inc ADR	42,522	0.48
634	Cognizant Technology Solutions Corp	43,911	0.49
2,150	Infosys Ltd ADR	45,558	0.51
790	Intel Corp	44,351	0.50
301	International Business Machines Corp	44,124	0.50
883	Open Text Corp	44,856	0.50
566	Oracle Corp	44,057	0.50
426	Paychex Inc	45,710	0.51
313	SAP SE ADR	43,964	0.49
387	Taiwan Semiconductor Manufacturing Co Ltd ADR	46,502	0.52
237	Texas Instruments Inc	45,575	0.51
<b>Total Technology</b>		<b>624,790</b>	<b>7.01</b>
<b>Utilities (31 December 2020: US\$1,699,023, 21.74%)</b>			
645	ALLETE Inc	45,137	0.51
770	Alliant Energy Corp	42,935	0.48
529	Ameren Corp	42,341	0.47
531	American Electric Power Co Inc	44,917	0.50
540	American States Water Co	42,962	0.48
446	Atmos Energy Corp	42,865	0.48
843	Avangrid Inc	43,355	0.49
994	Avista Corp	42,414	0.48
635	Black Hills Corp	41,675	0.47
369	Chesapeake Utilities Corp	44,402	0.50
754	CMS Energy Corp	44,546	0.50
587	Consolidated Edison Inc	42,100	0.47
581	Dominion Energy Inc	42,744	0.48
332	DTE Energy Co	43,027	0.48
436	Duke Energy Corp	43,042	0.48
422	Entergy Corp	42,073	0.47
693	Evergy Inc	41,878	0.47
547	Eversource Energy	43,891	0.49
966	Fortis Inc/Canada	42,726	0.48
1,027	Hawaiian Electric Industries Inc	43,422	0.49
439	IDACORP Inc	42,803	0.48
583	MGE Energy Inc	43,399	0.49
1,046	New Jersey Resources Corp	41,390	0.46
594	NextEra Energy Inc	43,528	0.49
709	NorthWestern Corp	42,696	0.48

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Value Line® Dividend Index UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$7,779,616, 99.57%) (continued)</b>			
<b>Utilities (31 December 2020: US\$1,699,023, 21.74%) (continued)</b>			
1,286	OGE Energy Corp	43,274	0.49
583	ONE Gas Inc	43,212	0.48
904	Otter Tail Corp	44,124	0.49
534	Pinnacle West Capital Corp	43,772	0.49
902	PNM Resources Inc	43,991	0.49
1,569	PPL Corp	43,885	0.49
735	Public Service Enterprise Group Inc	43,909	0.49
319	Sempra Energy	42,261	0.47
706	Southern Co/The (Units)	42,720	0.48
591	Spire Inc	42,712	0.48
954	UGI Corp	44,180	0.50
487	WEC Energy Group Inc	43,319	0.49
657	Xcel Energy Inc	43,283	0.49
<b>Total Utilities</b>		<b>1,640,910</b>	<b>18.40</b>
<b>Total Common Stock</b>		<b>8,889,751</b>	<b>99.70</b>
<b>Total Transferable Securities admitted to an official stock exchange listing</b>		<b>8,889,751</b>	<b>99.70</b>
<b>Total financial assets and liabilities at fair value through profit or loss</b>		<b>8,889,751</b>	<b>99.70</b>
<b>Cash at bank and at broker</b>		<b>19,419</b>	<b>0.22</b>
<b>Other Net Assets</b>		<b>6,943</b>	<b>0.08</b>
<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>		<b>8,916,113</b>	<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Value Line® Dividend Index UCITS ETF (continued)

As at 30 June 2021

<b>Country Allocation</b>	<b>% of Net Assets</b>
Bermuda	1.01
Canada	7.41
Denmark	0.50
France	0.50
Germany	0.99
Guernsey	0.48
India	0.51
Ireland	1.49
Japan	0.96
Switzerland	2.49
Taiwan	0.52
United Kingdom	1.98
United States	80.86
<b>Total Investments</b>	<b>99.70</b>
<b>Net Other Assets and Liabilities</b>	<b>0.30</b>
<b>Total</b>	<b>100.00</b>

<b>Analysis of Total Assets</b>	<b>% of Total Assets</b>
Transferable securities admitted to an official stock exchange listing	99.65
Cash	0.22
Other Assets	0.13
<b>Total Assets</b>	<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust NYSE Arca Biotechnology UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: US\$11,293,832, 99.91%)</b>			
<b>Consumer, Non-cyclical (31 December 2020: US\$11,293,832, 99.91%)</b>			
20,337	ACADIA Pharmaceuticals Inc	496,019	3.61
7,861	Agios Pharmaceuticals Inc	433,220	3.16
2,627	Alexion Pharmaceuticals Inc	482,606	3.52
21,635	Alkermes Plc	530,490	3.87
3,094	Alnylam Pharmaceuticals Inc	524,495	3.82
1,682	Amgen Inc	409,987	2.99
1,591	Biogen Inc	550,916	4.02
5,416	BioMarin Pharmaceutical Inc	451,911	3.29
1,026	Bio-Techne Corp	461,967	3.37
15,186	Bluebird Bio Inc	485,648	3.54
1,328	Charles River Laboratories International Inc	491,254	3.58
3,306	Exact Sciences Corp	410,969	3.00
18,226	Exelixis Inc	332,078	2.42
21,593	FibroGen Inc	575,022	4.19
6,431	Gilead Sciences Inc	442,839	3.23
23,912	Grifols SA ADR	414,873	3.02
1,078	Illumina Inc	510,120	3.72
5,199	Incyte Corp	437,392	3.19
10,543	Ionis Pharmaceuticals Inc	420,560	3.06
1,994	IQVIA Holdings Inc	483,186	3.52
2,514	Moderna Inc	590,740	4.31
22,625	Nektar Therapeutics - Class A	388,245	2.83
4,451	Neurocrine Biosciences Inc	433,171	3.16
8,150	QIAGEN NV	394,297	2.87
854	Regeneron Pharmaceuticals Inc	476,993	3.48
5,988	Sarepta Therapeutics Inc	465,507	3.39
2,955	Seagen Inc	466,535	3.40
3,955	Ultragenyx Pharmaceutical Inc	377,109	2.75
2,111	United Therapeutics Corp	378,735	2.76
1,958	Vertex Pharmaceuticals Inc	394,792	2.88
<b>Total Consumer, Non-cyclical</b>		<b>13,711,676</b>	<b>99.95</b>
<b>Total Common Stock</b>		<b>13,711,676</b>	<b>99.95</b>
<b>Total Transferable Securities admitted to an official stock exchange listing</b>		<b>13,711,676</b>	<b>99.95</b>
<b>Total financial assets and liabilities at fair value through profit or loss</b>		<b>13,711,676</b>	<b>99.95</b>
<b>Cash at bank and at broker</b>		<b>1,014,819</b>	<b>7.40</b>
<b>Other Net Liabilities</b>		<b>(1,007,809)</b>	<b>(7.35)</b>
<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>		<b>13,718,686</b>	<b>100.00</b>
<b>Country Allocation</b>			<b>% of Net Assets</b>
Ireland			3.87
Netherlands			2.87
Spain			3.02
United States			90.19
<b>Total Investments</b>			<b>99.95</b>
<b>Net Other Assets and Liabilities</b>			<b>0.05</b>
<b>Total</b>			<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS

### First Trust NYSE Arca Biotechnology UCITS ETF (continued)

As at 30 June 2021

	<b>% of Total</b>
<b>Analysis of Total Assets</b>	<b>Assets</b>
Transferable securities admitted to an official stock exchange listing	93.11
Cash	6.89
Total Assets	<u>100.00</u>



# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Nasdaq Cybersecurity UCITS ETF

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock (31 December 2020: US\$62,634,866, 99.94%)</b>			
<b>Communications (31 December 2020: US\$20,064,527, 32.01%)</b>			
54,073	A10 Networks Inc	608,862	0.32
205,121	Cisco Systems Inc/Delaware	10,871,413	5.70
29,259	F5 Networks Inc	5,461,485	2.86
242,533	FireEye Inc	4,904,017	2.57
206,056	Juniper Networks Inc	5,635,632	2.95
51,403	Mimecast Ltd	2,726,929	1.43
48,781	Okta Inc	11,935,735	6.25
14,936	Palo Alto Networks Inc	5,542,003	2.90
31,396	Proofpoint Inc	5,455,369	2.86
63,732	Ribbon Communications Inc	485,001	0.26
55,672	Trend Micro Inc/Japan	2,919,281	1.53
24,670	VeriSign Inc	5,617,112	2.94
66,246	Zix Corp	467,034	0.25
<b>Total Communications</b>		<b>62,629,873</b>	<b>32.82</b>
<b>Consumer, Non-cyclical (31 December 2020: US\$1,660,604, 2.65%)</b>			
63,882	Booz Allen Hamilton Holding Corp - Class A	5,441,469	2.85
<b>Total Consumer, Non-cyclical</b>		<b>5,441,469</b>	<b>2.85</b>
<b>Industrial (31 December 2020: US\$2,874,379, 4.59%)</b>			
621,365	BAE Systems Plc	4,480,768	2.35
24,236	Thales SA	2,472,907	1.29
13,329	Ultra Electronics Holdings Plc	421,666	0.22
<b>Total Industrial</b>		<b>7,375,341</b>	<b>3.86</b>
<b>Technology (31 December 2020: US\$38,035,356, 60.69%)</b>			
38,457	Accenture Plc - Class A	11,336,739	5.94
47,504	Akamai Technologies Inc	5,538,966	2.90
241,536	Avast Plc '144A'	1,634,315	0.86
46,379	Check Point Software Technologies Ltd	5,385,993	2.82
66,116	Cloudflare Inc	6,997,717	3.67
48,845	CrowdStrike Holdings Inc	12,275,237	6.43
42,875	CyberArk Software Ltd	5,585,326	2.93
24,826	Fortinet Inc	5,913,305	3.10
36,413	Infosys Ltd ADR	771,592	0.40
52,803	Leidos Holdings Inc	5,338,383	2.80
14,343	ManTech International Corp/VA - Class A	1,241,243	0.65
17,069	OneSpan Inc	435,942	0.23
37,979	Qualys Inc	3,824,106	2.00
27,668	Radware Ltd	851,621	0.45
54,076	Rapid7 Inc	5,117,212	2.68
106,918	Sailpoint Technologies Holdings Inc	5,460,302	2.86
40,197	Science Applications International Corp	3,526,483	1.85
44,765	Splunk Inc	6,472,124	3.39
86,237	Tenable Holdings Inc	3,565,900	1.87
24,205	Tufin Software Technologies Ltd	220,750	0.11
111,876	Varonis Systems Inc - Class B	6,446,295	3.38
34,362	VMware Inc	5,496,889	2.88

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Nasdaq Cybersecurity UCITS ETF (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (31 December 2020: US\$62,634,866, 99.94%) (continued)</b>			
<b>Technology (31 December 2020: US\$38,035,356, 60.69%) (continued)</b>			
55,875	Zscaler Inc	12,072,353	6.32
	<b>Total Technology</b>	<b>115,508,793</b>	<b>60.52</b>
	<b>Total Common Stock</b>	<b>190,955,476</b>	<b>100.05</b>
	<b>Total Transferable Securities admitted to an official stock exchange listing</b>	<b>190,955,476</b>	<b>100.05</b>
	<b>Total financial assets and liabilities at fair value through profit or loss</b>	<b>190,955,476</b>	<b>100.05</b>
	<b>Cash at bank and at broker</b>	<b>(4,919)</b>	<b>–</b>
	<b>Other Net Liabilities</b>	<b>(90,969)</b>	<b>(0.05)</b>
	<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>	<b>190,859,588</b>	<b>100.00</b>
<b>Country Allocation</b>			<b>% of Net Assets</b>
	France		1.29
	India		0.40
	Ireland		5.94
	Israel		6.31
	Japan		1.53
	Jersey		1.43
	United Kingdom		3.43
	United States		79.72
	<b>Total Investments</b>		<b>100.05</b>
	<b>Net Other Assets and Liabilities</b>		<b>(0.05)</b>
	<b>Total</b>		<b>100.00</b>
<b>Analysis of Total Assets</b>			<b>% of Total Assets</b>
	Transferable securities admitted to an official stock exchange listing		100.00
	Total Assets		100.00

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Strategic Metal and Energy Equity UCITS Fund<sup>1</sup>

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock</b>			
<b>Basic Materials</b>			
209	Agnico Eagle Mines Ltd	12,634	1.97
449	Alamos Gold Inc	3,435	0.54
480	AngloGold Ashanti Ltd ADR	8,918	1.39
369	Argonaut Gold Inc	885	0.14
1,217	B2Gold Corp	5,124	0.80
1,283	Barrick Gold Corp	26,532	4.14
346	Centerra Gold Inc	2,629	0.41
404	Chalice Mining Ltd	2,250	0.35
322	Cia de Minas Buenaventura SAA ADR	2,914	0.45
293	Coeur Mining Inc	2,602	0.41
104	DRDGOLD Ltd ADR	1,130	0.18
232	Dundee Precious Metals Inc	1,407	0.22
213	Eldorado Gold Corp	2,119	0.33
336	Endeavour Mining PLC	7,223	1.13
207	Endeavour Silver Corp	1,269	0.20
339	Equinox Gold Corp	2,357	0.37
2,016	Evolution Mining Ltd	6,811	1.06
281	First Majestic Silver Corp	4,443	0.69
214	Fortuna Silver Mines Inc	1,188	0.19
194	Franco-Nevada Corp	28,144	4.39
613	Fresnillo Plc	6,534	1.02
1,067	Gold Fields Ltd ADR	9,496	1.48
83	Gold Resource Corp	214	0.03
99	Gran Colombia Gold Corp (Units)	408	0.06
709	Harmony Gold Mining Co Ltd ADR	2,645	0.41
624	Hecla Mining Co (Units)	4,643	0.72
591	Hochschild Mining Plc	1,252	0.20
556	IAMGOLD Corp	1,640	0.26
259	K92 Mining Inc	1,874	0.29
170	Karora Resources Inc (Units)	548	0.09
1,516	Kinross Gold Corp	9,627	1.50
307	Kirkland Lake Gold Ltd	11,829	1.84
240	Lundin Gold Inc	2,018	0.31
694	Newcrest Mining Ltd	13,171	2.05
428	Newmont Corp	27,127	4.23
1,390	Northern Star Resources Ltd	10,206	1.59
192	Osisko Gold Royalties Ltd	2,630	0.41
243	Pan American Silver Corp	6,942	1.08
543	Polymetal International Plc	11,661	1.82
204	Pretium Resources Inc	1,950	0.30
75	Royal Gold Inc	8,557	1.33
217	Sandstorm Gold Ltd	1,712	0.27
253	SSR Mining Inc	3,944	0.62
97	Torex Gold Resources Inc	1,119	0.17
72	Victoria Gold Corp	1,073	0.17
158	Wesdome Gold Mines Ltd	1,500	0.23
493	Wheaton Precious Metals Corp	21,727	3.39
1,131	Yamana Gold Inc (Units)	4,768	0.74
<b>Total Basic Materials</b>		<b>294,829</b>	<b>45.97</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Strategic Metal and Energy Equity UCITS Fund<sup>1</sup> (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (continued)</b>			
<b>Consumer, Cyclical</b>			
28	World Fuel Services Corp	888	0.14
	<b>Total Consumer, Cyclical</b>	<b>888</b>	<b>0.14</b>
<b>Energy</b>			
85	Advantage Energy Ltd	347	0.05
136	Antero Resources Corp	2,044	0.32
169	APA Corp	3,655	0.57
315	ARC Resources Ltd	2,684	0.42
117	Birchcliff Energy Ltd	491	0.08
90	Black Stone Minerals LP	968	0.15
14	Bonanza Creek Energy Inc	659	0.10
26	Brigham Minerals Inc - Class A	554	0.09
175	Cabot Oil & Gas Corp	3,055	0.48
36	California Resources Corp	1,085	0.17
20	Callon Petroleum Co	1,154	0.18
402	Canadian Natural Resources Ltd	14,609	2.28
62	Cardinal Energy Ltd (Units)	175	0.03
869	Cenovus Energy Inc	8,323	1.30
125	Centennial Resource Development Inc/DE - Class A	847	0.13
43	Chesapeake Energy Corp	2,233	0.35
279	Chevron Corp	29,222	4.56
46	Cimarex Energy Co	3,333	0.52
101	CNX Resources Corp	1,380	0.22
101	Comstock Resources Inc	674	0.11
499	ConocoPhillips	30,389	4.74
165	Continental Resources Inc/OK	6,275	0.98
254	Crescent Point Energy Corp	1,151	0.18
44	CVR Energy Inc	790	0.12
34	Delek US Holdings Inc	735	0.11
22	Denbury Inc	1,689	0.26
303	Devon Energy Corp	8,845	1.38
81	Diamondback Energy Inc	7,605	1.19
111	Enerplus Corp	799	0.12
245	EOG Resources Inc	20,443	3.19
125	EQT Corp	2,782	0.43
12	Extraction Oil & Gas Inc	659	0.10
485	Exxon Mobil Corp	30,594	4.77
56	Freehold Royalties Ltd	442	0.07
8	Gulfport Energy Operating Corp	518	0.08
85	Headwater Exploration Inc (Units)	299	0.05
135	Hess Corp	11,788	1.84
72	HollyFrontier Corp	2,369	0.37
319	Imperial Oil Ltd	9,733	1.52
189	Kosmos Energy Ltd	654	0.10
6	Laredo Petroleum Inc	557	0.09
105	Magnolia Oil & Gas Corp - Class A	1,641	0.26
354	Marathon Oil Corp	4,821	0.75
241	Marathon Petroleum Corp	14,561	2.27
53	Matador Resources Co	1,909	0.30
68	Murphy Oil Corp	1,583	0.25
28	Northern Oil and Gas Inc	582	0.09
95	NuVista Energy Ltd	305	0.05
8	Oasis Petroleum Inc	804	0.13

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Strategic Metal and Energy Equity UCITS Fund<sup>1</sup> (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (continued)</b>			
<b>Energy (continued)</b>			
419	Occidental Petroleum Corp	13,102	2.04
117	Ovintiv Inc	3,682	0.57
28	Par Pacific Holdings Inc	471	0.07
60	Paramount Resources Ltd - Class A	831	0.13
65	Parkland Corp/Canada	2,103	0.33
56	PBF Energy Inc - Class A	857	0.13
44	PDC Energy Inc	2,015	0.31
17	Penn Virginia Corp	401	0.06
70	Peyto Exploration & Development Corp	472	0.07
167	Phillips 66	14,332	2.23
92	Pioneer Natural Resources Co	14,952	2.33
119	Range Resources Corp	1,994	0.31
42	Ring Energy Inc	125	0.02
53	SM Energy Co	1,305	0.20
305	Southwestern Energy Co	1,729	0.27
597	Suncor Energy Inc	14,314	2.23
43	Sunoco LP (Units)	1,621	0.25
190	Tellurian Inc	883	0.14
180	Valero Energy Corp	14,054	2.19
71	Vermilion Energy Inc	623	0.10
24	Vertex Energy Inc	318	0.05
69	W&T Offshore Inc	335	0.05
18	Whiting Petroleum Corp	982	0.15
<b>Total Energy</b>		<b>334,315</b>	<b>52.13</b>
<b>Total Common Stock</b>		<b>630,032</b>	<b>98.24</b>
<b>Total Transferable Securities admitted to an official stock exchange listing</b>		<b>630,032</b>	<b>98.24</b>
<b>Total financial assets and liabilities at fair value through profit or loss</b>		<b>630,032</b>	<b>98.24</b>
<b>Cash at bank and at broker</b>		<b>10,360</b>	<b>1.62</b>
<b>Other Net Assets</b>		<b>952</b>	<b>0.14</b>
<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>		<b>641,344</b>	<b>100.00</b>
<b>Country Allocation</b>			<b>% of Net Assets</b>
Australia			5.05
Canada			35.13
Jersey			1.82
Peru			0.45
South Africa			3.46
United Kingdom			2.35
United States			49.98
<b>Total Investments</b>			<b>98.24</b>
<b>Net Other Assets and Liabilities</b>			<b>1.76</b>
<b>Total</b>			<b>100.00</b>

## First Trust Global Funds plc

### SCHEDULES OF INVESTMENTS (continued)

#### First Trust Strategic Metal and Energy Equity UCITS Fund<sup>1</sup> (continued)

As at 30 June 2021

	<b>% of Total</b>
<b>Analysis of Total Assets</b>	<b>Assets</b>
Transferable securities admitted to an official stock exchange listing	96.97
Cash	1.59
Other Assets	1.44
Total Assets	<u>100.00</u>

<sup>1</sup> Please refer to Note 14 for details of significant events during the financial period.

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Global Capital Strength ESG Leaders UCITS ETF<sup>1</sup>

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock</b>			
<b>Communications</b>			
1,265	Cisco Systems Inc/Delaware	67,045	1.98
11,157	Emirates Telecommunications Group Co PJSC	66,824	1.98
679	Thomson Reuters Corp	67,438	2.00
6,852	Vodacom Group Ltd	61,793	1.83
	<b>Total Communications</b>	<b>263,100</b>	<b>7.79</b>
<b>Consumer, Cyclical</b>			
1,824	Alimentation Couche-Tard Inc - Class B	67,094	1.99
487	Ferguson Plc	67,613	2.00
212	Home Depot Inc/The	67,605	2.00
6,851	President Chain Store Corp	64,668	1.91
	<b>Total Consumer, Cyclical</b>	<b>266,980</b>	<b>7.90</b>
<b>Consumer, Non-cyclical</b>			
1,203	Coca-Cola Co/The	65,094	1.93
807	Colgate-Palmolive Co	65,649	1.94
1,910	Essity AB	63,383	1.87
1,061	General Mills Inc	64,647	1.91
156	Humana Inc	69,064	2.04
3,422	Jeronimo Martins SGPS SA	62,414	1.85
402	Johnson & Johnson	66,226	1.96
885	Merck & Co Inc	68,826	2.04
202	Moody's Corp	73,199	2.17
839	Novo Nordisk A/S	70,299	2.08
451	PepsiCo Inc	66,825	1.98
501	Procter & Gamble Co/The	67,600	2.00
9,799	Rentokil Initial Plc	67,007	1.98
192	Roche Holding AG	72,398	2.14
180	S&P Global Inc	73,881	2.19
22	SGS SA	67,926	2.01
1,109	Unilever Plc	64,812	1.92
24,552	Uni-President Enterprises Corp	64,503	1.91
382	Zoetis Inc	71,190	2.11
	<b>Total Consumer, Non-cyclical</b>	<b>1,284,943</b>	<b>38.03</b>
<b>Financial</b>			
504	Allstate Corp/The	65,742	1.95
258	American Tower Corp (REIT)	69,696	2.06
76	BlackRock Inc	66,498	1.97
20,727	Commercial International Bank Egypt SAE	68,896	2.04
406	Deutsche Boerse AG	70,873	2.10
493	Intact Financial Corp	67,048	1.98
479	Marsh & McLennan Cos Inc	67,386	1.99
28,848	Moscow Exchange MICEX-RTS PJSC	67,403	2.00
863	National Bank of Canada	64,654	1.91
708	Progressive Corp/The	69,533	2.06
294	Visa Inc - Class A	68,743	2.03
	<b>Total Financial</b>	<b>746,472</b>	<b>22.09</b>
<b>Industrial</b>			
328	3M Co	65,151	1.93
485	Agilent Technologies Inc	71,688	2.12
1,989	CSX Corp	63,807	1.89
541	Expeditors International of Washington Inc	68,491	2.03

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust Global Capital Strength ESG Leaders UCITS ETF<sup>1</sup> (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value US\$	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (continued)</b>			
<b>Industrial (continued)</b>			
465	Garmin Ltd	67,258	1.99
286	Illinois Tool Works Inc	63,938	1.89
198	Kuehne + Nagel International AG	67,817	2.01
206	Sika AG	67,414	1.99
296	Union Pacific Corp	65,099	1.92
	<b>Total Industrial</b>	<b>600,663</b>	<b>17.77</b>
<b>Technology</b>			
238	Accenture Plc - Class A	70,160	2.08
855	Cerner Corp	66,827	1.98
1,536	Tata Consultancy Services Ltd	69,138	2.04
	<b>Total Technology</b>	<b>206,125</b>	<b>6.10</b>
	<b>Total Common Stock</b>	<b>3,368,283</b>	<b>99.68</b>
	<b>Total Transferable Securities admitted to an official stock exchange listing</b>	<b>3,368,283</b>	<b>99.68</b>
	<b>Total financial assets and liabilities at fair value through profit or loss</b>	<b>3,368,283</b>	<b>99.68</b>
	<b>Cash at bank and at broker</b>	<b>10,300</b>	<b>0.30</b>
	<b>Other Net Assets</b>	<b>438</b>	<b>0.02</b>
	<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>	<b>3,379,021</b>	<b>100.00</b>
<b>Country Allocation</b>			<b>% of Net Assets</b>
	Canada		7.88
	Denmark		2.08
	Egypt		2.04
	Germany		2.10
	India		2.04
	Ireland		2.08
	Jersey		2.00
	Portugal		1.85
	Russia		2.00
	South Africa		1.83
	Sweden		1.87
	Switzerland		10.14
	Taiwan		3.82
	United Arab Emirates		1.98
	United Kingdom		3.90
	United States		52.07
	<b>Total Investments</b>		<b>99.68</b>
	<b>Net Other Assets and Liabilities</b>		<b>0.32</b>
	<b>Total</b>		<b>100.00</b>



## First Trust Global Funds plc

### SCHEDULES OF INVESTMENTS (continued)

#### First Trust Global Capital Strength ESG Leaders UCITS ETF<sup>1</sup> (continued)

As at 30 June 2021

	<b>% of Total</b>
<b>Analysis of Total Assets</b>	<b>Assets</b>
Transferable securities admitted to an official stock exchange listing	99.62
Cash	0.30
Other Assets	0.08
Total Assets	<u>100.00</u>

<sup>1</sup> Please refer to Note 14 for details of significant events during the financial period.

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust IPOX® Europe Equity Opportunities UCITS ETF<sup>1</sup>

As at 30 June 2021

Nominal	Security Description	Fair Value €	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing</b>			
<b>Common Stock</b>			
<b>Basic Materials</b>			
246	Atotech Ltd	5,296	0.26
211	IMCD NV	28,295	1.40
	<b>Total Basic Materials</b>	<b>33,591</b>	<b>1.66</b>
<b>Communications</b>			
252	About You Holding AG	6,426	0.32
1,270	Adevinta ASA	20,535	1.02
9,293	Airtel Africa Plc '144A'	8,341	0.41
113	Auction Technology Group Plc	1,516	0.08
66	Fiverr International Ltd	13,496	0.67
1,340	Global Fashion Group SA	17,112	0.85
309	HeadHunter Group Plc ADR	11,040	0.55
125	Hemnet Group AB	2,373	0.12
846	Moonpig Group Plc	4,226	0.21
107	MYT Netherlands Parent BV ADR	2,745	0.14
315	Ozon Holdings Plc ADR	15,571	0.77
502	Pexip Holding ASA	3,783	0.18
1,193	Prosus NV	98,387	4.87
84	SimilarWeb Ltd	1,395	0.07
1,280	Tele2 AB	14,716	0.73
1,012	Trustpilot Group Plc '144A'	4,025	0.20
	<b>Total Communications</b>	<b>225,687</b>	<b>11.19</b>
<b>Consumer, Cyclical</b>			
233	Adient Plc	8,881	0.44
425	Aptiv Plc	56,384	2.79
561	Capri Holdings Ltd	27,054	1.34
2,546	Cineworld Group Plc	2,297	0.11
137	D'ieteren Group	13,974	0.69
1,236	Dr. Martens Plc	6,407	0.32
337	Dufry AG	16,847	0.84
378	Europri ASA '144A'	2,000	0.10
333	Evolution AB '144A'	44,404	2.20
1,000	La Francaise des Jeux SAEM '144A'	49,580	2.46
112	Maisons du Monde SA '144A'	2,341	0.12
194	MIPS AB	14,079	0.70
3,709	Pirelli & C SpA '144A'	18,159	0.90
646	Thule Group AB '144A'	24,166	1.20
364	Tokmanni Group Corp	8,489	0.42
1,570	Traton SE	41,982	2.08
691	Veoneer Inc	13,431	0.67
	<b>Total Consumer, Cyclical</b>	<b>350,475</b>	<b>17.38</b>
<b>Consumer, Non-cyclical</b>			
64	Adyen NV '144A'	131,872	6.54
1,556	Allfunds Group Plc	22,839	1.13
160	Ambea AB '144A'	1,005	0.05
316	BioNTech SE ADR	59,656	2.96
504	Bravida Holding AB '144A'	6,152	0.30
210	CELLINK AB - Class B	8,783	0.43
4,980	ConvaTec Group Plc '144A'	13,958	0.69
725	Danone SA	43,043	2.13
811	Genmab A/S ADR	27,923	1.38

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust IPOX® Europe Equity Opportunities UCITS ETF<sup>1</sup> (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value €	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (continued)</b>			
<b>Consumer, Non-cyclical (continued)</b>			
546	HelloFresh SE	44,761	2.22
236	Inmode Ltd	18,842	0.93
1,685	Lifco AB	33,228	1.65
559	Medicover AB - Class B	12,925	0.64
3,943	Nexi SpA '144A'	72,985	3.62
732	Oatly Group AB ADR	15,098	0.75
147	Olink Holding AB ADR	4,267	0.21
844	Scandinavian Tobacco Group A/S '144A'	14,528	0.72
2,952	Siemens Healthineers AG '144A'	152,559	7.56
633	Terveystalo Oyj '144A'	7,216	0.36
56	Valneva SE ADR	1,244	0.06
<b>Total Consumer, Non-cyclical</b>		<b>692,884</b>	<b>34.33</b>
<b>Energy</b>			
329	Energean Plc	2,736	0.14
<b>Total Energy</b>		<b>2,736</b>	<b>0.14</b>
<b>Financial</b>			
508	AJ Bell Plc	2,567	0.13
220	BAWAG Group AG '144A'	9,874	0.49
148	Cibus Nordic Real Estate AB	2,983	0.15
1,058	Civitas Social Housing Plc (REIT)	1,422	0.07
982	CTP NV '144A'	16,694	0.83
247	DWS Group GmbH & Co KGaA '144A'	9,441	0.47
1,562	EQT AB	47,836	2.37
213	Janus Henderson Group Plc	6,970	0.35
2,567	Just Group Plc	2,785	0.14
306	Kojamo Oyj	5,897	0.29
1,346	Linea Directa Aseguradora SA Cia de Seguros y Reaseguros	2,378	0.12
15,173	M&G Plc	40,458	2.00
24,236	Natwest Group Plc	57,369	2.84
1,360	Network International Holdings Plc '144A'	5,794	0.29
2,282	Ninety One Plc	5,854	0.29
1,236	Nordnet AB publ	17,604	0.87
2,141	Quilter Plc '144A'	3,710	0.19
3,906	Unicaja Banco SA '144A'	3,379	0.16
5,341	Virgin Money UK Plc	12,353	0.61
<b>Total Financial</b>		<b>255,368</b>	<b>12.66</b>
<b>Industrial</b>			
1,134	Biffa Plc '144A'	4,293	0.21
293	DSV PANALPINA A/S	57,625	2.86
2,372	Elkem ASA '144A'	7,280	0.36
518	Forterra Plc '144A'	1,629	0.08
161	Instalco AB	5,635	0.28
171	Kornit Digital Ltd	17,928	0.89
1,244	nVent Electric Plc	32,770	1.62
417	SIG Combibloc Group AG	9,564	0.47
334	Technip Energies NV	3,861	0.19
1,251	Vantage Towers AG	33,977	1.68
111	VAT Group AG '144A'	31,147	1.54
142	ZIM Integrated Shipping Services Ltd	5,380	0.27
<b>Total Industrial</b>		<b>211,089</b>	<b>10.45</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust IPOX<sup>®</sup> Europe Equity Opportunities UCITS ETF<sup>1</sup> (continued)

As at 30 June 2021

Nominal	Security Description	Fair Value €	% of Net Assets
<b>Transferable Securities admitted to an official stock exchange listing (continued)</b>			
<b>Common Stock (continued)</b>			
<b>Technology</b>			
92	Better Collective A/S	1,841	0.09
154	Cint Group AB	1,461	0.07
278	Dassault Systemes SE	56,851	2.82
619	Embracer Group AB - Class B	14,129	0.70
272	Endava Plc ADR	26,005	1.29
176	Global-e Online Ltd	8,471	0.42
155	Netcompany Group A/S '144A'	14,851	0.74
289	NXP Semiconductors NV	50,134	2.48
1,787	Sinch AB '144A'	25,373	1.26
81	Talend SA ADR	4,481	0.22
146	TietoEVRY Oyj	3,889	0.19
<b>Total Technology</b>		<b>207,486</b>	<b>10.28</b>
<b>Utilities</b>			
2,001	Italgas SpA	11,030	0.54
905	Uniper SE	28,109	1.39
<b>Total Utilities</b>		<b>39,139</b>	<b>1.93</b>
<b>Total Common Stock</b>		<b>2,018,455</b>	<b>100.02</b>
<b>Total Transferable Securities admitted to an official stock exchange listing</b>		<b>2,018,455</b>	<b>100.02</b>
<b>Total financial assets and liabilities at fair value through profit or loss</b>		<b>2,018,455</b>	<b>100.02</b>
<b>Cash at bank and at broker</b>		<b>(714)</b>	<b>(0.04)</b>
<b>Other Net Assets</b>		<b>231</b>	<b>0.02</b>
<b>Net Assets Attributable to Holders of Redeemable Participating Shares</b>		<b>2,017,972</b>	<b>100.00</b>

# First Trust Global Funds plc

## SCHEDULES OF INVESTMENTS (continued)

### First Trust IPOX<sup>®</sup> Europe Equity Opportunities UCITS ETF<sup>1</sup> (continued)

As at 30 June 2021

<b>Country Allocation</b>	<b>% of Net Assets</b>
Austria	0.49
Belgium	0.69
British Virgin Islands	1.34
Cyprus	1.32
Denmark	5.79
Finland	1.26
France	7.81
Germany	18.68
Ireland	2.06
Israel	3.25
Italy	5.06
Jersey	3.40
Luxembourg	0.85
Netherlands	16.45
Norway	1.66
Spain	0.28
Sweden	14.68
Switzerland	2.85
United Kingdom	11.43
United States	0.67
<b>Total Investments</b>	<b>100.02</b>
<b>Net Other Assets and Liabilities</b>	<b>(0.02)</b>
<b>Total</b>	<b>100.00</b>

<b>Analysis of Total Assets</b>	<b>% of Total Assets</b>
Transferable securities admitted to an official stock exchange listing	99.62
Cash	0.30
Other Assets	0.08
<b>Total Assets</b>	<b>100.00</b>

<sup>1</sup> Please refer to Note 14 for details of significant events during the financial period.

## First Trust Global Funds plc

### First Trust US Large Cap Core AlphaDEX® UCITS ETF

For the financial period ended 30 June 2021

#### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
3,809	Entegris Inc	463,715
3,094	PTC Inc	452,212
9,420	Ally Financial Inc	445,640
6,655	Intel Corp	443,905
4,899	Dell Technologies Inc	438,153
8,550	Avangrid Inc	437,420
1,300	Generac Holdings Inc	430,615
2,349	Novavax Inc	411,940
9,799	Discovery Inc	406,775
1,522	Biogen Inc	405,516
2,243	GameStop Corp	382,735
5,355	Twitter Inc	378,771
16,577	Occidental Petroleum Corp	367,432
5,093	Fortive Corp	361,014
1,176	Charles River Laboratories International Inc	360,095
12,341	VICI Properties Inc (REIT)	360,015
1,882	10X Genomics Inc (Units)	356,547
2,232	Guardant Health Inc	353,206
1,172	Estee Lauder Cos Inc/The - Class A	350,141
21,673	Huntington Bancshares Inc/OH	347,692

#### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
9,997	Exxon Mobil Corp	553,344
3,493	Pioneer Natural Resources Co	497,502
9,317	PulteGroup Inc	492,988
6,816	Valero Energy Corp	459,923
7,237	Evergy Inc	437,871
1,781	Whirlpool Corp	412,499
4,210	Atmos Energy Corp	411,968
6,274	EOG Resources Inc	406,777
4,447	Chegg Inc	403,258
707	ServiceNow Inc	373,781
6,839	Western Digital Corp	359,118
9,520	Rollins Inc	353,325
7,462	ConocoPhillips	351,676
1,632	CrowdStrike Holdings Inc	347,762
1,221	Veeva Systems Inc	345,695
15,466	NortonLifeLock Inc	340,711
1,060	RingCentral Inc - Class A	340,588
845	Roku Inc	336,072
6,237	Alliant Energy Corp	335,553
2,157	Zillow Group Inc	323,850

The significant portfolio changes reflect the top 20 purchases for the financial period (the aggregate purchases exceeding one per cent of the total value of purchases for the financial period did not amount to 20 purchases and therefore the top 20 purchases have been included) and top 20 sales for the financial period (the aggregate disposals exceeding one per cent of the total value of sales for the financial period did not amount to 20 sales and therefore the top 20 sales have been included).

# First Trust Global Funds plc

## First Trust Emerging Markets AlphaDEX® UCITS ETF

For the financial period ended 30 June 2021

### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
56,901	Braskem SA - Preference	467,193
541,195	Innolux Corp	464,383
326,000	Seazen Group Ltd	409,398
1,540,000	Metallurgical Corp of China Ltd 'H'	408,388
200,777	Evergreen Marine Corp Taiwan Ltd	401,745
356,000	China Resources Cement Holdings Ltd	401,436
215,948	GAIL India Ltd	400,458
73,410	Sasa Polyester Sanayi AS	381,939
68,128	Jindal Steel & Power Ltd	372,595
282,000	Lenovo Group Ltd	365,503
572,000	MMG Ltd	347,842
22,332	Sasol Ltd	346,177
60,067	JBS SA	340,050
274,207	Fibra Uno Administracion SA de CV (REIT)	337,494
376,717	AU Optronics Corp	336,225
30,552,078	RusHydro PJSC	327,557
2,197	Anglo American Platinum Ltd	324,261
602,000	China Petroleum & Chemical Corp 'H'	323,427
75,000	Sunac China Holdings Ltd	301,964
482,000	Angang Steel Co Ltd 'H'	299,943
219,697	NTPC Ltd	295,143
64,257	State Bank of India	292,540
25,806	Nan Ya Printed Circuit Board Corp	290,460
62,454	Hindalco Industries Ltd	289,984
57,500	China Conch Venture Holdings Ltd	272,388
44,500	Kingboard Holdings Ltd	271,185
99,200	Sinopharm Group Co Ltd 'H'	265,200
119,900	Huaxin Cement Co Ltd 'A'	262,709
6,400	CanSino Biologics Inc 'H' '144A'	257,923
20,745	Exxaro Resources Ltd	254,794
21,625	Tata Steel Ltd	253,874
13,831	Vale SA	250,750
11,017	AngloGold Ashanti Ltd	236,651
42,360	Gerdau SA - Preference	236,494
1,624,000	China Tower Corp Ltd 'H' '144A'	236,433
74,868	Hindustan Petroleum Corp Ltd	231,594
12,344	Shriram Transport Finance Co Ltd	227,957
12,103	Grasim Industries Ltd	224,986
76,750	Vedanta Ltd	223,858
143,000	Logan Group Co Ltd	222,578

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of purchases for the financial period.

## First Trust Global Funds plc

### First Trust Emerging Markets AlphaDEX® UCITS ETF (continued)

For the financial period ended 30 June 2021 (continued)

#### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
645,562	COSCO SHIPPING Holdings Co Ltd 'H'	942,021
354,206	United Microelectronics Corp	680,063
439,364	China Hongqiao Group Ltd	608,867
30,771	Northam Platinum Ltd	548,024
416,923	Zijin Mining Group Co Ltd 'H'	539,972
180,500	Great Wall Motor Co Ltd	530,880
361,527	China Longyuan Power Group Corp Ltd 'H'	521,657
28,190	Impala Platinum Holdings Ltd	520,135
148,599	Unimicron Technology Corp	463,184
144,000	Flat Glass Group Co Ltd	434,798
121,500	PTT Exploration & Production PCL	434,115
100,000	China Meidong Auto Holdings Ltd	420,718
150,058,092	Federal Grid Co Unified Energy System PJSC	418,713
184,935	Yadea Group Holdings Ltd '144A'	418,343
114,600	Xiaomi Corp - Class B '144A'	400,575
241,000	Shenzhen International Holdings Ltd	398,610
5,960	Magnit PJSC	395,537
170,500	China Lesso Group Holdings Ltd	392,956
84,970	Sibanye Stillwater Ltd	391,703
355,176	Kunlun Energy Co Ltd	383,212
959,000	Sinotrans Ltd	382,976
28,250	Wuxi Biologics Cayman Inc '144A'	375,960

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of purchases for the financial period.



## First Trust Global Funds plc

### First Trust United Kingdom AlphaDEX® UCITS ETF

For the financial period ended 30 June 2021

#### Statement of Significant Purchases

Nominal	Security Description	Cost £
92,492	Royal Mail Plc	357,770
66,231	Evrast Plc	339,996
9,996	British American Tobacco Plc	277,058
63,450	Signature Aviation PLC	273,174
28,988	IG Group Holdings Plc	255,282
36,725	Pearson Plc	254,038
184,175	Vodafone Group Plc	237,954
11,212	Weir Group Plc/The	226,060
78,292	Glencore Plc	219,898
32,013	Tate & Lyle Plc	219,185
128,635	Barclays Plc	202,813
13,949	Travis Perkins PLC	200,990
12,205	Imperial Brands Plc	196,054
16,184	3i Group Plc	189,449
5,412	Spectris Plc	165,645
69,252	M&G Plc	141,809
75,911	Wm Morrison Supermarkets Plc	141,022
41,540	Direct Line Insurance Group Plc	137,407
5,784	Associated British Foods Plc	131,108
13,151	Pennon Group Plc	130,108
4,328	Admiral Group Plc	129,165
14,444	National Grid Plc	127,131
48,359	Tesco PLC	117,722
2,737	Wizz Air Holdings Plc '144A'	116,774
7,165	Antofagasta Plc	113,530
21,092	Standard Chartered Plc	105,630
48,530	Investec Plc	104,314
5,525	Mondi Plc	104,072
24,495	Aviva Plc	91,880
6,076	Prudential Plc	88,264
6,392	GlaxoSmithKline Plc	87,622
20,940	DS Smith Plc	85,979
27,553	Kingfisher Plc	83,127
2,879	Johnson Matthey Plc	80,274
15,685	BAE Systems Plc	78,681

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of purchases for the financial period.

# First Trust Global Funds plc

## First Trust United Kingdom AlphaDEX® UCITS ETF (continued)

For the financial period ended 30 June 2021 (continued)

### Statement of Significant Sales

Nominal	Security Description	Proceeds £
26,496	Carnival PLC	332,042
12,879	Ocado Group PLC	329,716
2,130	Flutter Entertainment Plc	326,245
45,110	Barratt Developments Plc	304,852
20,295	Royal Dutch Shell PLC	299,657
6,149	Dialog Semiconductor PLC	260,610
63,450	Signature Aviation PLC	251,819
8,545	Bellway Plc	250,076
56,176	Meggitt Plc	243,703
150,169	Quilter PLC	237,568
63,399	boohoo Group PLC	233,888
41,003	Rentokil Initial PLC	218,633
1,902	Games Workshop Group Plc	210,194
117,551	International Consolidated Airlines Group SA	179,742
1,571	Spirax-Sarco Engineering PLC	179,470
5,644	Derwent London PLC (REIT)	176,629
6,805	Halma PLC	173,307
9,927	Polymetal International Plc	171,661
13,169	Dunelm Group PLC	170,538
29,653	Avast PLC	158,664
5,561	Experian PLC	152,654
137,517	ITV Plc	152,213
10,339	Entain Plc	150,577
91,513	Taylor Wimpey Plc	148,402
6,841	BHP Group Plc	145,941
3,713	Ashtead Group Plc	145,880
5,500	Bunzl Plc	135,977
81,197	Natwest Group Plc	135,333
2,335	ASOS Plc	119,932
1,249	London Stock Exchange Group PLC	114,117
18,357	B&M European Value Retail SA	101,407
3,649	Persimmon Plc	99,393
1,407	Reckitt Benckiser Group PLC	89,226
10,022	JD Sports Fashion Plc	87,648

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.

# First Trust Global Funds plc

## First Trust Eurozone AlphaDEX<sup>®</sup> UCITS ETF

For the financial period ended 30 June 2021

### Statement of Significant Purchases

Nominal	Security Description	Cost €
55,343	Valmet Oyj	1,838,510
24,023	BE Semiconductor Industries NV	1,837,783
5,948	Sofina SA	1,825,011
255,386	Telekom Austria AG - Class A	1,764,083
98,434	ProSiebenSat.1 Media SE	1,758,577
93,120	OCI NV	1,701,148
69,679	ArcelorMittal SA	1,699,836
686,476	Telefonica Deutschland Holding AG	1,687,526
150,741	thyssenkrupp AG	1,674,465
704,803	Banco BPM SpA	1,669,788
120,014	Electricite de France SA	1,493,041
5,537	ASM International NV	1,478,909
40,382	Grupo Catalana Occidente SA	1,448,439
24,649	Vonovia SE	1,425,060
612,380	AIB Group Plc	1,420,244
30,354	Umicore SA	1,419,655
16,443	D'ieteren Group	1,402,686
2,655	ASML Holding NV	1,397,463
324,576	Bank of Ireland Group Plc	1,391,958
80,478	Assicurazioni Generali SpA	1,376,759
30,954	Deutsche Wohnen SE	1,312,023
236,155	Bankinter SA	1,288,832
121,560	ING Groep NV	1,250,065
18,276	Fresenius Medical Care AG & Co KGaA	1,161,064
61,734	Kojamo Oyj	1,073,492
35,385	SCOR SE	1,040,311
94,991	Poste Italiane SpA '144A'	1,026,333
108,895	Mediobanca Banca di Credito Finanziario SpA	1,020,221
27,298	voestalpine AG	994,665
53,039	Enagas SA	977,807
14,453	Atos SE	870,194
255,046	Hera SpA	848,039

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of purchases for the financial period.

# First Trust Global Funds plc

## First Trust Eurozone AlphaDEX<sup>®</sup> UCITS ETF (continued)

For the financial period ended 30 June 2021 (continued)

### Statement of Significant Sales

Nominal	Security Description	Proceeds €
40,593	RTL Group SA	2,023,693
867	Adyen NV	1,724,467
86,349	Suez SA	1,715,760
50,918	Evonik Industries AG	1,533,631
18,646	KION Group AG	1,520,589
17,081	Zalando SE	1,489,743
49,197	Siemens Gamesa Renewable Energy SA	1,467,441
12,110	Gecina SA	1,459,079
11,076	Wacker Chemie AG	1,392,804
4,142	Teleperformance	1,373,628
210,433	Aroundtown SA	1,325,828
10,746	IMCD NV	1,316,924
7,897	Bechtle AG	1,316,561
7,335	SOITEC	1,295,515
154,755	Inmobiliaria Colonial Socimi SA (REIT)	1,270,089
17,807	HelloFresh SE	1,268,662
10,834	Reply SpA	1,222,125
43,953	Prysmian SpA	1,179,548
8,052	Sopra Steria Group SACA	1,118,574
9,114	Varta AG	1,118,184
2,556	Hypoport SE	1,104,528
8,345	Delivery Hero SE	1,036,348
2,775	Sartorius Stedim Biotech	1,035,760
14,546	Kone Oyj - Class B	1,027,597
114,927	Merlin Properties Socimi SA (REIT)	1,023,545
5,525	Mayr Melnhof Karton AG	1,005,108
25,884	Huhtamaki Oyj	989,801
6,574	Merck KGaA	970,166
195,289	Commerzbank AG	968,344
26,804	Amplifon SpA	939,814
10,213	Euronext NV	920,738
22,442	Jungheinrich AG - Preference	910,829
373,222	Iren SpA	891,778
87,868	Davide Campari-Milano NV	876,484
37,880	Societe Generale SA	841,661
31,812	TAG Immobilien AG	820,339
7,049	Wendel SE	787,424
10,543	Kingspan Group PLC	778,317

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.

# First Trust Global Funds plc

## First Trust Indxx NextG UCITS ETF<sup>1</sup>

For the financial period ended 30 June 2021

### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
110,999	Lenovo Group Ltd	141,164
1,300	Sony Group Corp	133,232
3,746	MediaTek Inc	127,920
952	LG Electronics Inc	127,825
11,250	Renesas Electronics Corp	125,325
29,507	ASE Technology Holding Co Ltd	113,338
7,208	Hewlett Packard Enterprise Co	110,046
1,795	Intel Corp	109,798
617	Skyworks Solutions Inc	109,132
1,279	Micron Technology Inc	108,566
5,644	Infosys Ltd ADR	107,862
1,650	Kyocera Corp	107,671
553	NXP Semiconductors NV	107,649
15,691	Wipro Ltd ADR	107,290
1,950	NEC Corp	107,122
1,337	Amdocs Ltd	105,790
527	F5 Networks Inc	104,504
1,898	Ciena Corp	103,667
3,938	Nippon Telegraph & Telephone Corp	102,884
1,990	Cisco Systems Inc/Delaware	102,228
3,957	Juniper Networks Inc	102,164
713	Keysight Technologies Inc	102,035
2,547	Infineon Technologies AG	101,503
220	Broadcom Inc	101,501
569	Qorvo Inc	101,403
169	NVIDIA Corp	100,965
6,550	Mitsubishi Electric Corp	100,782
650	Fujitsu Ltd	100,749
870	Taiwan Semiconductor Manufacturing Co Ltd ADR	100,178
315	Arista Networks Inc	99,016
559	Crown Castle International Corp (REIT)	98,798
631	Analog Devices Inc	98,733
1,371	Samsung Electronics Co Ltd	98,629
7,460	Telefonaktiebolaget LM Ericsson - Class B	98,226
711	International Business Machines Corp	97,995
675	Digital Realty Trust Inc (REIT)	97,858
403	American Tower Corp (REIT)	97,257
758	Apple Inc	96,341
1,104	Lumentum Holdings Inc	95,339
2,585	STMicroelectronics NV	94,632
757	CoreSite Realty Corp (REIT)	94,066
327	SBA Communications Corp (REIT) - Class A	93,835
575	Samsung SDS Co Ltd	93,779
643,995	China Tower Corp Ltd 'H' '144A'	93,294
1,153	GDS Holdings Ltd ADR	92,880
1,322	CyrusOne Inc (REIT)	91,919
128	Equinix Inc (REIT)	91,417
20,773	Nokia Oyj ADR	90,622

<sup>1</sup> Please refer to Note 14 for details of significant events during the financial period.

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of purchases for the financial period.

# First Trust Global Funds plc

## First Trust Indxx NextG UCITS ETF<sup>1</sup> (continued)

For the financial period ended 30 June 2021 (continued)

### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
58,000	Lenovo Group Ltd	69,888
400	Fujitsu Ltd	65,187
1,894	MediaTek Inc	64,512
466	LG Electronics Inc	62,322
7,805	Wipro Ltd ADR	56,617
13,792	ASE Technology Holding Co Ltd	55,604
46,099	DiGi.Com Bhd	44,666
69	NVIDIA Corp	44,100
128	Arista Networks Inc	43,054
2,166	Infosys Ltd ADR	42,329
2,788	Hewlett Packard Enterprise Co	41,987
1,583	Juniper Networks Inc	41,500
400	Sony Group Corp	41,116
700	NEC Corp	40,321
208	NXP Semiconductors NV	40,091
724	Ciena Corp	39,924
212	Crown Castle International Corp (REIT)	38,994
600	Kyocera Corp	38,949
721	Cisco Systems Inc/Delaware	37,600
251	Digital Realty Trust Inc (REIT)	37,396
464	Amdocs Ltd	36,847
8,089	Nokia Oyj ADR	36,801
145	American Tower Corp (REIT)	36,663
198	Skyworks Solutions Inc	34,499
239	Keysight Technologies Inc	34,444
244	International Business Machines Corp	33,957
550	Intel Corp	33,099
209	Analog Devices Inc	32,875
817	Infineon Technologies AG	32,587
469	CyrusOne Inc (REIT)	32,327
43	Equinix Inc (REIT)	32,076
179	Qorvo Inc	31,568
68	Broadcom Inc	31,428
158	F5 Networks Inc	31,144
373	Micron Technology Inc	30,812
243	CoreSite Realty Corp (REIT)	30,617
265	Taiwan Semiconductor Manufacturing Co Ltd ADR	30,608
103	SBA Communications Corp (REIT) - Class A	30,407
2,700	Renesas Electronics Corp	29,229

<sup>1</sup> Please refer to Note 14 for details of significant events during the financial period.

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.

## First Trust Global Funds plc

### First Trust US Equity Opportunities UCITS ETF

For the financial period ended 30 June 2021

#### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
11,082	Snap Inc	651,991
8,050	Uber Technologies Inc	430,827
1,483	Coinbase Global Inc	400,749
5,326	Tradeweb Markets Inc - Class A	398,090
7,090	Marvell Technology Inc	384,845
3,057	Fiserv Inc	372,587
7,053	Marvell Technology Group Ltd	357,234
624	Thermo Fisher Scientific Inc	307,626
809	Zoom Video Communications Inc - Class A	301,269
1,598	Airbnb Inc	294,384
8,748	Keurig Dr Pepper Inc	293,818
1,028	Carvana Co - Class A	291,560
1,129	DocuSign Inc - Class A	288,034
3,777	Pinterest Inc	279,691
1,422	Eli Lilly & Co	275,697
7,745	Avantor Inc	245,613
1,055	Crowdstrike Holdings Inc	235,136
2,958	ViacomCBS Inc	214,713
3,584	Dow Inc	210,470
1,122	Alexion Pharmaceuticals Inc	202,745
4,424	Corteva Inc	196,449
6,871	Dropbox Inc	193,812
748	Cummins Inc	193,253
4,872	Corning Inc	191,750
1,413	Fidelity National Information Services Inc	190,081
2,762	Alcon Inc	189,361
2,017	Horizon Therapeutics Plc	183,127
531	Spotify Technology SA	181,683
3,445	Dynatrace Inc	177,529
2,120	YETI Holdings Inc	173,514
2,092	Dell Technologies Inc	170,639
1,840	Cloudflare Inc	166,566
1,873	Shift4 Payments Inc - Class A	158,920

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of purchases for the financial period.

## First Trust Global Funds plc

### First Trust US Equity Opportunities UCITS ETF (continued)

For the financial period ended 30 June 2021 (continued)

#### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
16,238	Snap Inc	953,596
1,873	Thermo Fisher Scientific Inc	892,088
4,268	Eli Lilly & Co	787,639
4,237	Fidelity National Information Services Inc	599,134
1,593	Spotify Technology SA	419,187
6,096	Uber Technologies Inc	347,010
3,057	Fiserv Inc	334,723
2,122	Zoetis Inc	326,747
1,266	Cummins Inc	299,380
4,667	Dow Inc	288,835
2,541	Trade Desk Inc/The	276,415
7,919	Keurig Dr Pepper Inc	272,042
6,199	ViacomCBS Inc	254,976
2,627	Floor & Decor Holdings Inc	253,381
4,962	Marvell Technology Group Ltd	251,069
1,019	Okta Inc	222,726
5,914	Altice USA Inc	196,484
4,324	Corteva Inc	190,821
541	RingCentral Inc - Class A	175,058
847	CrowdStrike Holdings Inc	173,081
1,503	Blackline Inc	170,342
1,816	Chewy Inc - Class A	158,712
1,222	Zillow Group Inc	152,610
1,746	Ceridian HCM Holding Inc	148,341
2,732	DraftKings Inc - Class A	144,639
655	DocuSign Inc - Class A	144,432
808	Etsy Inc	143,953
505	Carvana Co - Class A	140,487
2,150	Redfin Corp	139,398
1,600	Datadog Inc	137,601

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.



## First Trust Global Funds plc

### First Trust Global Equity Income UCITS ETF

For the financial period ended 30 June 2021

#### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
4,817	Rio Tinto Ltd	422,022
1,073	Roche Holding AG	360,133
3,708	Merck & Co Inc	281,698
4,122	Toronto-Dominion Bank/The	256,856
3,023	Rio Tinto Plc	255,455
10,938	Manulife Financial Corp	222,171
3,225	Vonovia SE	206,636
44,000	China Resources Land Ltd	201,662
998	Volkswagen AG - Preference	200,355
1,990	Sanofi	194,724
6,300	Honda Motor Co Ltd	194,307
4,171	Cisco Systems Inc/Delaware	190,652
11,169	UBS Group AG	174,939
5,500	KDDI Corp	174,232
1,221	PepsiCo Inc	170,827
26,500	Longfor Group Holdings Ltd '144A'	158,841
216,000	Postal Savings Bank of China Co Ltd 'H' '144A'	154,973
1,024	LEG Immobilien SE	145,728
7,004	Vale SA	142,447
2,362	General Mills Inc	141,202
2,466	Unilever Plc	141,047
5,899	DNB ASA	129,118
1,853	Citigroup Inc	126,763
298,000	PetroChina Co Ltd 'H'	121,508
94,600	Top Glove Corp Bhd	120,637
11,000	Ping An Insurance Group Co of China Ltd 'H'	116,929

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of sales for the financial period.

# First Trust Global Funds plc

## First Trust Global Equity Income UCITS ETF (continued)

For the financial period ended 30 June 2021 (continued)

### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
3,938	JPMorgan Chase & Co	574,394
6,152	Citigroup Inc	416,519
6,939	MetLife Inc	413,939
39,200	Oversea-Chinese Banking Corp Ltd	339,458
6,322	Cisco Systems Inc/Delaware	329,236
8,920	Pfizer Inc	321,100
1,288	Allianz SE	318,618
9,231	HP Inc	271,157
17,000	Sun Hung Kai Properties Ltd	255,555
1,511	International Business Machines Corp	207,288
3,518	Verizon Communications Inc	197,718
3,842	KB Financial Group Inc	186,091
192,984	CNOOC Ltd	178,721
608	Muenchener Rueckversicherungs-Gesellschaft AG in Muenchen	177,140
30,349	China Mobile Ltd	170,834
9,000	United Overseas Bank Ltd	169,777
2,464	Bank of Nova Scotia/The	158,252
7,500	DBS Group Holdings Ltd	157,537
57,798	CapitaLand Ltd	153,863
4,402	NRG Energy Inc	152,973
4,900	Mitsubishi Corp	134,408
460	Volkswagen AG - Preference	119,719
721	PNC Financial Services Group Inc/The	117,354
27,000	China Pacific Insurance Group Co Ltd 'H'	117,094
46,500	China Overseas Land & Investment Ltd	116,038

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.

## First Trust Global Funds plc

### First Trust Germany AlphaDEX® UCITS ETF

For the financial period ended 30 June 2021

#### Statement of Significant Purchases

Nominal	Security Description	Cost €
3,128	Jungheinrich AG - Preference	122,556
980	Wacker Chemie AG	117,780
1,812	HelloFresh SE	116,024
1,823	LANXESS AG	115,249
2,918	Infineon Technologies AG	101,812
652	Merck KGaA	95,908
2,420	Fresenius SE & Co KGaA	94,028
3,276	Grand City Properties SA	68,264
567	Continental AG	67,766
2,268	Evotec SE	66,803
3,041	1&1 AG	63,309
1,039	Daimler AG	59,644
20,305	Telefonica Deutschland Holding AG	48,828
372	LEG Immobilien SE	45,014
766	Vonovia SE	43,746
812	Uniper SE	25,148
1,531	Deutsche Telekom AG	23,266
553	Deutsche Wohnen SE	22,997
114	Allianz SE	22,950
324	Covestro AG '144A'	17,831
171	Puma SE	15,137
210	HeidelbergCement AG	14,259

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of purchases for the financial period.

## First Trust Global Funds plc

### First Trust Germany AlphaDEX<sup>®</sup> UCITS ETF (continued)

For the financial period ended 30 June 2021 (continued)

#### Statement of Significant Sales

Nominal	Security Description	Proceeds €
250	Hypoport SE	134,650
1,978	BASF SE	134,496
270	Sartorius AG	97,961
3,513	Evonik Industries AG	95,087
501	Bechtle AG	86,234
961	Gerresheimer AG	84,286
593	Varta AG	71,658
1,525	Fraport AG Frankfurt Airport Services Worldwide	69,170
6,789	Deutsche Bank AG	65,074
1,627	RTL Group SA	64,501
967	Nemetschek SE	55,865
1,202	RWE AG	45,619
4,413	Deutsche Lufthansa AG	44,725
1,402	GEA Group AG	42,487
3,940	E.ON SE	35,198
325	Zalando SE '144A'	31,557
652	Deutsche Post AG	27,519
360	KION Group AG	27,202
639	United Internet AG	22,832
256	Rheinmetall AG	22,522
153	Delivery Hero SE '144A'	19,731
550	Software AG	17,899
123	Deutsche Boerse AG	16,763

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.

# First Trust Global Funds plc

## First Trust US Equity Income UCITS ETF

For the financial period ended 30 June 2021

### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
66,024	General Mills Inc	3,969,404
20,642	3M Co	3,957,686
49,656	Merck & Co Inc	3,799,714
15,472	Amgen Inc	3,710,100
25,910	PepsiCo Inc	3,694,091
9,997	Lockheed Martin Corp	3,692,368
70,974	Cisco Systems Inc/Delaware	3,515,727
95,113	Conagra Brands Inc	3,485,896
64,112	Bank of New York Mellon Corp/The	3,036,815
49,702	Public Service Enterprise Group Inc	2,978,171
22,425	Kimberly-Clark Corp	2,964,245
69,343	Pfizer Inc	2,636,158
45,394	Verizon Communications Inc	2,575,209
19,752	J M Smucker Co/The	2,523,427
43,764	Truist Financial Corp	2,510,719
45,510	US Bancorp	2,499,260
40,467	MetLife Inc	2,419,239
54,699	Fidelity National Financial Inc	2,358,693
61,865	NRG Energy Inc	2,344,854
28,209	State Street Corp	2,304,977
13,291	General Dynamics Corp	2,303,827
131,640	First Horizon Corp	2,253,218
58,563	Fifth Third Bancorp	2,163,620
77,136	Unum Group	2,162,391
11,266	PNC Financial Services Group Inc/The	1,982,086
65,883	HP Inc	1,878,914
12,367	M&T Bank Corp	1,873,432
17,631	Northern Trust Corp	1,862,954
28,563	First American Financial Corp	1,723,302
35,545	Campbell Soup Co	1,702,251
67,852	Old Republic International Corp	1,698,011
15,372	LyondellBasell Industries NV	1,598,272
36,981	UGI Corp	1,552,685
24,477	Kellogg Co	1,521,797
71,627	KeyCorp	1,490,451
10,883	International Business Machines Corp	1,423,716

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of purchases for the financial period.

# First Trust Global Funds plc

## First Trust US Equity Income UCITS ETF (continued)

For the financial period ended 30 June 2021 (continued)

### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
39,803	Citigroup Inc	2,820,160
19,273	International Business Machines Corp	2,649,257
12,608	PNC Financial Services Group Inc/The	2,269,970
72,768	HP Inc	2,264,327
30,523	NetApp Inc	2,246,445
51,982	Citizens Financial Group Inc	2,231,094
38,077	ConocoPhillips	1,942,993
19,007	LyondellBasell Industries NV	1,920,011
31,495	MetLife Inc	1,903,349
46,067	Fifth Third Bancorp	1,664,834
59,225	Unum Group	1,650,015
10,918	M&T Bank Corp	1,639,196
21,865	Omnicom Group Inc	1,637,820
26,287	Principal Financial Group Inc	1,585,915
87,330	People's United Financial Inc	1,582,066
31,475	Bank of New York Mellon Corp/The	1,566,657
9,649	JPMorgan Chase & Co	1,456,755
38,463	NRG Energy Inc	1,372,205
23,098	Verizon Communications Inc	1,298,914
43,402	Simmons First National Corp - Class A	1,254,793
23,443	Zions Bancorp NA	1,241,006
17,647	Comerica Inc	1,186,247
15,317	Seagate Technology Plc	1,156,357
34,810	Sinclair Broadcast Group Inc - Class A	1,138,421
60,099	Hanesbrands Inc	1,126,959
8,436	Cullen/Frost Bankers Inc	942,196
21,490	Synovus Financial Corp	922,530
7,521	Northern Trust Corp	830,586
37,246	Associated Banc Corp	788,468
40,727	KeyCorp	788,417
26,290	Interpublic Group of Cos Inc/The	787,654
14,014	Rent-A-Center Inc/TX	749,132
49,712	Huntington Bancshares Inc/OH	737,134

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.

## First Trust Global Funds plc

### First Trust Dow Jones International Internet UCITS ETF<sup>1</sup>

For the financial period ended 30 June 2021

#### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
2,161	Alibaba Group Holding Ltd ADR	467,616
5,652	Tencent Holdings Ltd	437,634
11,165	Meituan '144A'	422,497
312	Shopify Inc - Class A	366,787
1,199	Naspers Ltd	269,595
989	Sea Ltd ADR	241,719
84	Adyen NV '144A'	189,273
2,472	JD.com Inc ADR	181,677
751	Baidu Inc ADR	148,674
397	NAVER Corp	129,182
1,163	Prosus NV	123,431
962	Pinduoduo Inc ADR	121,058
1,104	NetEase Inc ADR	117,612
608	Kakao Corp	87,934
820	Bilibili Inc ADR	85,687
367	Atlassian Corp Plc	83,267
1,200	M3 Inc	81,971
325	Spotify Technology SA	80,385
3,000	Kuaishou Technology '144A'	74,314
2,308	ABB Ltd	70,231
517	Delivery Hero SE '144A'	68,845
2,777	SIG Combibloc Group AG	64,269
1,026	Dufry AG	59,247
877	Yandex NV - Class A	57,462

<sup>1</sup> Please refer to Note 14 for details of significant events during the financial period.

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of purchases for the financial period.

# First Trust Global Funds plc

## First Trust Dow Jones International Internet UCITS ETF<sup>1</sup> (continued)

For the financial period ended 30 June 2021 (continued)

### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
261	Swatch Group AG/The	72,018
400	Flughafen Zurich AG	70,578
811	DKSH Holding AG	64,238
212	ALSO Holding AG	59,225
111	Bachem Holding AG	49,088
11	Givaudan SA	45,870
779	Farfetch Ltd	39,790
59	Lonza Group AG	39,327
68	Bucher Industries AG	33,466
19	Shopify Inc - Class A	26,229
278	VZ Holding AG	24,350
105	Allreal Holding AG	23,839
291	Galenica AG '144A'	19,918
14	Georg Fischer AG	18,629
78	Naspers Ltd	17,123
35	Tecan Group AG	16,768
248	Holcim Ltd	14,407
133	Logitech International SA	13,798
6	Adyen NV '144A'	13,739
14	EMS-Chemie Holding AG	13,313
52	Sonova Holding AG	13,065
164	JD.com Inc ADR	12,191
245	Meituan '144A'	10,465
50	Baidu Inc ADR	9,973
103	Novartis AG	9,644
34	Sea Ltd ADR	8,830

<sup>1</sup> Please refer to Note 14 for details of significant events during the financial period.

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.



# First Trust Global Funds plc

## First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF<sup>1</sup>

For the financial period ended 30 June 2021

### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
4,323	Tesla Inc	2,977,019
64,340	NIO Inc ADR	2,626,670
14,545	Enphase Energy Inc	2,280,633
58,995	Plug Power Inc	2,029,111
12,852	Albemarle Corp	1,965,281
37,946	ON Semiconductor Corp	1,538,844
5,250	SolarEdge Technologies Inc	1,419,116
12,892	Cree Inc	1,404,570
31,125	Brookfield Renewable Partner Npv	1,314,012
22,949	Sunrun Inc	1,226,115
5,363	Universal Display Corp	1,204,010
29,774	XPeng Inc ADR	1,141,769
12,347	First Solar Inc	1,005,889
33,842	Ballard Power Systems IncNpv	782,028
2,896	Littelfuse Inc	766,838
4,285	Acuity Brands Inc	684,545
8,868	NextEra Energy Partners LP (Units)	646,469
20,159	SunPower Corp	620,454
7,096	Power Integrations Inc	585,879
6,880	Daqo New Energy Corp ADR	540,450
6,587	Ormat Technologies Inc	535,986
4,525	Advanced Energy Industries Inc	501,017
5,283	Itron Inc	497,163
9,084	Hannon Armstrong Sustainable Infrastructure Capital Inc (REIT)	492,856
37,139	FuelCell Energy Inc	480,938
12,763	Atlantica Sustainable Infrastructure Plc	480,335
5,039	EnerSys	465,740
12,226	Sunnova Energy International Inc	452,074
16,693	Bloom Energy Corp	436,097
14,374	Array Technologies Inc	392,707
5,286	Renewable Energy Group Inc	359,834

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of purchases for the financial period.

# First Trust Global Funds plc

## First Trust Nasdaq® Clean Edge® Green Energy UCITS ETF<sup>1</sup> (continued)

For the financial period ended 30 June 2021 (continued)

### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
2,184	Tesla Inc	1,597,942
29,718	NIO Inc ADR	1,146,056
6,934	Enphase Energy Inc	1,063,409
6,305	Albemarle Corp	959,232
21,249	ON Semiconductor Corp	867,994
27,328	Plug Power Inc	789,237
2,659	SolarEdge Technologies Inc	719,089
6,208	Cree Inc	678,620
15,013	Brookfield Renewable Partner Npv	639,785
2,600	Universal Display Corp	579,180
10,996	Sunrun Inc	560,114
6,116	First Solar Inc	489,374
1,457	Littelfuse Inc	387,158
2,193	Acuity Brands Inc	368,990
16,406	Ballard Power Systems IncNpv	348,714
4,419	NextEra Energy Partners LP (Units)	323,101
8,967	XPeng Inc ADR	308,738
3,541	Power Integrations Inc	287,391
10,047	SunPower Corp	283,703
2,273	Advanced Energy Industries Inc	254,623
3,306	Ormat Technologies Inc	250,618
3,463	Daqo New Energy Corp ADR	248,964
4,539	Hannon Armstrong Sustainable Infrastructure Capital Inc (REIT)	245,071
2,638	Itron Inc	240,173
6,269	Atlantica Sustainable Infrastructure Plc	237,652
2,529	EnerSys	235,552
5,778	Sunnova Energy International Inc	212,953
8,373	Bloom Energy Corp	203,579
18,240	FuelCell Energy Inc	196,865
6,930	Array Technologies Inc	190,827

<sup>1</sup> Please refer to Note 14 for details of significant events during the financial period.

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of purchases for the financial period.

# First Trust Global Funds plc

## First Trust FactorFX UCITS ETF

For the financial period ended 30 June 2021

### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
1,810,000	Singapore Government Bond 1.75% 01/04/2022	1,382,836
800,000	United States Treasury Note/Bond 2.50% 15/01/2022	818,500
800,000	United States Treasury Note/Bond 0.13% 30/06/2022	800,188
940,000	Canadian Government Bond 0.50% 01/03/2022	748,348
460,000	United Kingdom Gilt 3.75% 07/09/2021	646,226
600,000	United States Treasury Note/Bond 2.00% 31/12/2021	610,078
600,000	United States Treasury Note/Bond 1.13% 28/02/2022	606,492
580,000	United States Treasury Note/Bond 0.38% 31/03/2022	581,337
470,000	United States Treasury Note/Bond 1.88% 31/01/2022	477,502
430,000	United States Treasury Note/Bond 2.00% 15/02/2022	437,571
520,000	New Zealand Government Bond 5.50% 15/04/2023	411,823
1,600,000	Norway Government Bond 3.75% 25/05/2021	190,243
190,000	Australia Government Bond 2.25% 21/11/2022	152,199
140,000	Singapore Government Bond 2.25% 01/06/2021	105,685
120,000	Australia Government Bond 5.75% 15/05/2021	94,274
750,000	Norway Government Bond '144A' 2.00% 24/05/2023	92,926
120,000	New Zealand Government Bond 6.00% 15/05/2021	88,074
40,000	Bundesrepublik Deutschland Bundesanleihe 2.00% 04/01/2022	49,126

### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
1,320,000	United States Treasury Note/Bond 3.63% 15/02/2021	1,320,081
1,580,000	Singapore Government Bond 2.25% 01/06/2021	1,193,659
1,050,000	United States Treasury Note/Bond 1.13% 30/06/2021	1,051,916
850,000	Canadian When Issued Government Bond 0.75% 01/03/2021	673,775
760,000	New Zealand Government Bond 6.00% 15/05/2021	548,207
500,000	United States Treasury Note/Bond 2.13% 31/01/2021	500,000
3,970,000	Norway Government Bond 3.75% 25/05/2021	480,056
330,000	United Kingdom Gilt 1.50% 22/01/2021	451,160
500,000	Singapore Government Bond 1.75% 01/04/2022	375,965
350,000	United States Treasury Note/Bond 1.13% 28/02/2021	350,000
190,000	Australia Government Bond 2.25% 21/11/2022	147,429
180,000	Australia Government Bond 5.75% 15/05/2021	139,285
90,000	United Kingdom Gilt 3.75% 07/09/2021	126,092
90,000	Bundesrepublik Deutschland Bundesanleihe 2.25% 04/09/2021	109,215
120,000	Canadian Government Bond 0.50% 01/03/2022	98,055
750,000	Norway Government Bond '144A' 2.00% 24/05/2023	89,583
40,000	Bundesrepublik Deutschland Bundesanleihe 2.00% 04/01/2022	48,332

The significant portfolio changes reflect the top 20 purchases for the financial period (the aggregate purchases exceeding one per cent of the total value of purchases for the financial period did not amount to 20 purchases and therefore all purchases have been included) and top 20 sales for the financial period (the aggregate disposals exceeding one per cent of the total value of sales for the financial period did not amount to 20 sales and therefore all sales have been included).

# First Trust Global Funds plc

## First Trust Indxx Innovative Transaction & Process UCITS ETF

For the financial period ended 30 June 2021

### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
71,657	Deutsche Telekom AG	1,431,171
2,794,374	China CITIC Bank Corp Ltd 'H'	1,407,140
5,452	Capgemini SE	959,589
9,076	Bayerische Motoren Werke AG	922,655
6,973	SAP SE	908,613
376,932	Haier Smart Home Co Ltd	896,445
41,000	DBS Group Holdings Ltd	881,091
126,037	Wipro Ltd ADR	873,216
15,347	Verizon Communications Inc	870,219
108,345	China Merchants Bank Co Ltd 'H'	866,714
1,362	NVIDIA Corp	834,968
1,522	Swisscom AG	827,079
627,000	PTT PCL	817,485
19,394	Royal Dutch Shell Plc ADR	803,040
1,216	Tesla Inc	795,400
240	Amazon.com Inc	777,091
3,349	Alibaba Group Holding Ltd ADR	773,817
5,561	International Business Machines Corp	758,977
293	AP Moller - Maersk A/S - Class B	750,507
8,915	Advanced Micro Devices Inc	735,537
24,664	AT&T Inc	730,229
49,444	Engie SA	726,805
7,886	Worldline SA/France '144A'	710,846
8,274	Micron Technology Inc	708,671
235	SGS SA	706,239
52,600	SoftBank Corp	691,976
9,358	Oracle Corp	691,688
3,683	Texas Instruments Inc	681,264
5,613	Taiwan Semiconductor Manufacturing Co Ltd ADR	680,609
2,453	Accenture Plc - Class A	680,002
2,565	PayPal Holdings Inc	679,461
35,428	Infosys Ltd ADR	677,867
2,714	Microsoft Corp	673,893
15,460	Tata Consultancy Services Ltd	672,314
5,106	Xilinx Inc	668,223
65,034	Nordea Bank Abp	664,966
3,040	Honeywell International Inc	661,171
2,856	Baidu Inc ADR	658,642
51,687	Skandinaviska Enskilda Banken AB	645,524
133,397	Telefonica SA ADR	626,544
47,576	Telefonaktiebolaget LM Ericsson - Class B	622,623
3,900	Fujitsu Ltd	616,479
10,209	Intel Corp	613,351
8,154	Cognizant Technology Solutions Corp	611,985
751,089	China Construction Bank Corp 'H'	611,022

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of purchases for the financial period.

# First Trust Global Funds plc

## First Trust Indxx Innovative Transaction & Process UCITS ETF (continued)

For the financial period ended 30 June 2021 (continued)

### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
3,395	Baidu Inc ADR	867,919
27,276	Societe Generale SA	715,865
7,732	Micron Technology Inc	693,529
37,100	NTT Data Corp	585,917
18,194	Shinhan Financial Group Co Ltd ADR	565,158
5,462	Northern Trust Corp	559,371
6,238	CGI Inc	508,694
19,209	SK Telecom Co Ltd ADR	481,275
178,140	ZTE Corp	472,957
911,799,594	VTB Bank PJSC	464,850
2,069	Willis Towers Watson PLC	454,736
24,530	Carrefour SA	431,258
2,667	Taiwan Semiconductor Manufacturing Co Ltd ADR	305,630
16,309	Infosys Ltd ADR	303,871
45,599	Banco Bilbao Vizcaya Argentaria SA	261,280
100	AP Moller - Maersk A/S - Class B	239,249
92,051	Barclays Plc	229,026
90,196	Lloyds Banking Group Plc ADR	199,727
1,878	London Stock Exchange Group Plc	191,448
545	Goldman Sachs Group Inc/The	185,323
247,255	Postal Savings Bank of China Co Ltd 'H' '144A'	184,820
11,226	ICICI Bank Ltd ADR	180,528
3,811	American International Group Inc	176,859
17,933	Axis Bank Ltd	174,758
280	Samsung SDI Co Ltd	166,211
2,149	HDFC Bank Ltd ADR	165,035
3,843	Tata Consultancy Services Ltd	162,488
659	PayPal Holdings Inc	159,535
38,672	Banco Santander SA	139,909

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.

# First Trust Global Funds plc

## First Trust Dow Jones Internet UCITS ETF

For the financial period ended 30 June 2021

### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
402	Amazon.com Inc	1,318,004
3,595	Facebook Inc - Class A	1,048,170
2,359	PayPal Holdings Inc	638,604
1,174	Netflix Inc	621,725
2,615	Snowflake Inc	581,141
2,451	salesforce.com Inc	566,970
249	Alphabet Inc - Class A	543,577
1,492	Zoom Video Communications Inc - Class A	541,928
241	Alphabet Inc	532,178
9,917	Cisco Systems Inc/Delaware	488,531
11,222	Nutanix Inc	413,983
6,000	Twitter Inc	365,940
1,447	Workday Inc - Class A	363,726
1,804	Teladoc Health Inc	361,120
4,603	Pinterest Inc	348,313
1,216	Coupa Software Inc	332,506
2,097	Match Group Inc	315,298
1,238	Okta Inc	311,071
1,618	Etsy Inc	310,084
5,112	Snap Inc	306,354
1,270	DocuSign Inc - Class A	298,558
2,118	Zillow Group Inc	281,593
974	Veeva Systems Inc - Class A	278,913
4,302	eBay Inc	263,776
5,167	Bumble Inc	260,305
2,720	Datadog Inc	259,167
7,136	fuboTV Inc	217,677
1,386	Expedia Group Inc	215,120
2,979	Fastly Inc	214,461
1,690	Citrix Systems Inc	213,738
714	Wayfair Inc	209,976
987	VeriSign Inc	202,904
1,813	Akamai Technologies Inc	197,200
2,278	GoDaddy Inc - Class A	187,887
577	Arista Networks Inc	186,046

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of purchases for the financial period.

# First Trust Global Funds plc

## First Trust Dow Jones Internet UCITS ETF (continued)

### For the financial period ended 30 June 2021 (continued)

#### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
2,737	Facebook Inc - Class A	887,603
231	Amazon.com Inc	778,920
216	Alphabet Inc	512,501
1,919	PayPal Holdings Inc	496,674
209	Alphabet Inc - Class A	484,403
6,674	Smartsheet Inc	459,680
7,424	Cisco Systems Inc/Delaware	382,239
5,383	Grubhub Inc	335,305
1,434	salesforce.com Inc	328,634
4,977	Twitter Inc	315,282
564	Netflix Inc	289,302
7,622	2U Inc	280,336
3,891	eBay Inc	238,360
3,826	Snap Inc	226,294
1,036	Etsy Inc	210,092
1,187	Expedia Group Inc	208,455
7,136	fuboTV Inc	200,744
638	Wayfair Inc	199,698
736	Workday Inc - Class A	179,920
539	Zoom Video Communications Inc - Class A	176,745
599	Veeva Systems Inc - Class A	167,157
488	Arista Networks Inc	163,873
658	DocuSign Inc - Class A	145,166
1,954	Pinterest Inc	136,374
951	Match Group Inc	134,659
1,217	Akamai Technologies Inc	133,593
1,026	Zillow Group Inc	131,295
7,383	CommScope Holding Co Inc	131,057
4,825	Dropbox Inc	129,668
522	Okta Inc	127,588
582	VeriSign Inc	126,223
4,715	Juniper Networks Inc	122,742
2,106	Ciena Corp	114,828
4,670	Box Inc	105,543
1,141	Datadog Inc	98,846

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.

# First Trust Global Funds plc

## First Trust Cloud Computing UCITS ETF

For the financial period ended 30 June 2021

### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
1,187,443	Rackspace Technology Inc	28,399,172
287,890	Kingsoft Cloud Holdings Ltd ADR	11,762,677
27,005	MongoDB Inc - Class A	9,876,201
41,316	Alibaba Group Holding Ltd ADR	9,711,873
14,064	MicroStrategy Inc - Class A	9,542,433
30,712	Arista Networks Inc	9,104,090
138,062	Fastly Inc	8,959,759
576,937	Hewlett Packard Enterprise Co	8,292,308
52,701	Splunk Inc	7,210,397
48,229	Appian Corp - Class A	6,732,175
2,101	Amazon.com Inc	6,671,571
45,288	VMware Inc	6,646,928
53,923	Akamai Technologies Inc	5,564,320
80,631	Oracle Corp	5,449,806
2,623	Alphabet Inc - Class A	5,361,561
22,003	Microsoft Corp	5,232,420
40,878	Citrix Systems Inc	5,148,864
35,895	International Business Machines Corp	4,744,706
218,946	Pure Storage Inc	4,664,136
19,036	salesforce.com Inc	4,159,110
11,213	Twilio Inc - Class A	4,124,645
23,384	Paylocity Holding Corp	4,112,740
312,994	Lumen Technologies Inc	4,090,615
7,866	ServiceNow Inc	4,076,605
77,876	Cisco Systems Inc/Delaware	3,763,595
117,975	Asana Inc	3,515,121
7,353	Adobe Inc	3,432,458
42,901	NetApp Inc	2,980,985
16,175	Five9 Inc	2,786,139
2,183	Shopify Inc	2,660,430
5,608	HubSpot Inc	2,521,468

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of purchases for the financial period.



# First Trust Global Funds plc

## First Trust Cloud Computing UCITS ETF (continued)

For the financial period ended 30 June 2021 (continued)

### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
18,517	MicroStrategy Inc - Class A	14,007,633
664,153	Cloudera Inc	9,869,149
132,090	Oracle Corp	9,864,709
200,582	Kingsoft Cloud Holdings Ltd ADR	9,492,570
4,174	Alphabet Inc - Class A	9,456,392
24,792	Arista Networks Inc	8,671,676
551,952	Lumen Technologies Inc	7,654,984
42,648	VMware Inc	6,658,524
93,149	Domo Inc	6,115,253
79,772	NetApp Inc	6,030,410
19,719	MongoDB Inc - Class A	6,015,174
23,197	Microsoft Corp	5,779,999
48,687	Akamai Technologies Inc	5,502,711
96,773	Cisco Systems Inc/Delaware	5,004,901
296,306	Hewlett Packard Enterprise Co	4,550,500
1,317	Amazon.com Inc	4,410,232
83,035	Cornerstone OnDemand Inc	3,756,698
8,024	HubSpot Inc	3,729,603
165,185	Pure Storage Inc	3,544,223
5,547	Adobe Inc	2,927,029
8,363	Twilio Inc - Class A	2,914,789
11,785	salesforce.com Inc	2,760,628
15,664	Five9 Inc	2,612,744
11,321	Alibaba Group Holding Ltd ADR	2,561,269
2,100	Shopify Inc	2,436,700
105,500	Rackspace Technology Inc	2,344,231
15,608	SAP SE ADR	2,173,258
16,346	Citrix Systems Inc	2,033,395

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.

# First Trust Global Funds plc

## First Trust Low Duration Global Government Bond UCITS ETF

For the financial period ended 30 June 2021

### Statement of Significant Purchases

Nominal	Security Description	Cost €
1,420,000	New Zealand Government Bond 4.5% 15/04/2027	1,029,706
1,550,000	Canadian Government Bond 0.25% 01/03/2026	1,004,606
1,360,000	Australia Government Bond 3.25% 21/04/2029	1,002,541
560,000	United States Treasury Note/Bond 2.25% 31/03/2026	503,344
730,000	New Zealand Government Bond 1.5% 15/05/2031	431,541
465,000	Singapore Government Bond 2.88% 01/07/2029	318,326
170,000,000	Bonos de la Tesoreria de la Republica en pesos '144A' 4.7% 01/09/2030	230,040
850,000	Republic of Poland Government Bond 2.75% 25/04/2028	212,472
2,000,000	Norway Government Bond '144A' 2% 24/05/2023	202,457
3,500,000	Mexican Bonos 8.5% 31/05/2029	164,883
238,000	New Zealand Government Bond 3.5% 14/04/2033	162,545
190,000	Singapore Government Bond 3.38% 01/09/2033	139,000
1,500,000,000	Indonesia Treasury Bond 8.25% 15/05/2036	99,029

### Statement of Significant Sales

Nominal	Security Description	Proceeds €
655,000	Italy Buoni Poliennali Del Tesoro 6.5% 01/11/2027	930,187
18,000,000	Czech Republic Government Bond 2.5% 25/08/2028	728,627
190,000,000	Hungary Government Bond 5.5% 24/06/2025	616,466
550,000	Italy Buoni Poliennali Del Tesoro 5.5% 01/11/2022	601,975
700,000	Australia Government Bond 0.25% 21/11/2024	443,410
600,000	Singapore Government Bond 3.5% 01/03/2027	434,714
290,000	Italy Buoni Poliennali Del Tesoro 7.25% 01/11/2026	404,576
300,000	Italy Buoni Poliennali Del Tesoro 1.35% 15/04/2022	305,400
5,000,000	Mexican Bonos 8.5% 31/05/2029	250,017
165,000	Spain Government Bond 6% 31/01/2029	245,345
900,000	Republic of Poland Government Bond 2.5% 25/07/2027	212,581
900,000	Romania Government Bond 4.5% 17/06/2024	194,582
120,000	Italy Buoni Poliennali Del Tesoro 6% 01/05/2031	181,870
4,500,000	Czech Republic Government Bond 1% 26/06/2026	170,445
250,000	New Zealand Government Bond 5.5% 15/04/2023	162,110
120,000	Portugal Obrigacoes do Tesouro OT '144A' 4.13% 14/04/2027	153,425
125,000	Portugal Obrigacoes do Tesouro OT '144A' 2.13% 17/10/2028	146,650
225,000	Singapore Government Bond 2.25% 01/06/2021	139,084
70,000	Ireland Government Bond 5.4% 13/03/2025	85,343
95,000	Australia Government Bond 2% 21/12/2021	60,796

The significant portfolio changes reflect the top 20 purchases for the financial period (the aggregate purchases exceeding one per cent of the total value of purchases for the financial period did not amount to 20 purchases and therefore all purchases have been included) and top 20 sales for the financial period (the aggregate disposals exceeding one per cent of the total value of sales for the financial period did not amount to 20 sales and therefore the top 20 sales have been included).

# First Trust Global Funds plc

## First Trust Capital Strength UCITS ETF

For the financial period ended 30 June 2021

### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
1,932	NIKE Inc	266,451
1,536	United Parcel Service Inc - Class B	258,402
1,503	Automatic Data Processing Inc	255,834
1,133	Microsoft Corp	254,942
2,042	Quest Diagnostics Inc	254,338
1,741	Nasdaq Inc	254,061
5,351	Cisco Systems Inc/Delaware	251,465
3,317	Seagate Technology PLC	212,016
851	Cummins Inc	207,366
2,224	Activision Blizzard Inc	204,163
1,529	Kimberly-Clark Corp	201,401
2,206	Edwards Lifesciences Corp	199,992
64	Amazon.com Inc	199,987
568	Northrop Grumman Corp	199,935
431	O'Reilly Automotive Inc	199,801
611	Moody's Corp	199,420
2,658	Brown-Forman Corp	198,865
1,371	Keysight Technologies Inc	198,289
502	UnitedHealth Group Inc	197,912
2,600	Cerner Corp	197,866
1,459	Agilent Technologies Inc	197,555
519	S&P Global Inc	197,143
1,354	PPG Industries Inc	197,063
1,029	Target Corp	196,828
3,200	General Mills Inc	196,758
398	Thermo Fisher Scientific Inc	196,744
451	Humana Inc	196,743
2,451	Colgate-Palmolive Co	196,166
890	IDEX Corp	195,744
599	Home Depot Inc/The	193,804
846	Honeywell International Inc	193,645
3,750	Altria Group Inc	192,556
2,157	Ball Corp	192,273
942	Lowe's Cos Inc	191,820
1,824	Merck & Co Inc	140,595
1,028	Procter & Gamble Co/The	135,292
962	Walmart Inc	133,050
795	Zoetis Inc	131,461
370	Lockheed Martin Corp	131,420
365	Costco Wholesale Corp	131,287
799	Johnson & Johnson	130,951
709	3M Co	130,209
1,059	Marsh & McLennan Cos Inc	129,551
565	Aon Plc	129,199
636	Dollar General Corp	129,018
920	PepsiCo Inc	128,804
1,227	Expeditors International of Washington Inc	127,975
1,353	Progressive Corp/The	127,509
805	Hershey Co/The	127,149
916	Electronic Arts Inc	127,040

## First Trust Global Funds plc

### First Trust Capital Strength UCITS ETF (continued)

For the financial period ended 30 June 2021 (continued)

#### Statement of Significant Purchases (continued)

Nominal	Security Description	Cost US\$
2,454	Coca-Cola Co/The	126,175
585	Illinois Tool Works Inc	125,174
576	Union Pacific Corp	123,591
1,030	Allstate Corp/The	122,370
708	General Dynamics Corp	122,218
495	Amgen Inc	119,772
1,274	CSX Corp	119,726
911	Garmin Ltd	119,469
440	Accenture Plc - Class A	117,582
935	AMETEK Inc	116,353
1,270	Monster Beverage Corp	114,381

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of purchases for the financial period.

# First Trust Global Funds plc

## First Trust Capital Strength UCITS ETF (continued)

For the financial period ended 30 June 2021 (continued)

### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
3,317	Seagate Technology PLC	263,878
121	Alphabet Inc	261,390
1,244	Texas Instruments Inc	230,367
1,276	Tractor Supply Co	223,664
851	Cummins Inc	222,216
995	Visa Inc	219,522
431	O'Reilly Automotive Inc	219,479
2,987	Amphenol Corp	218,154
1,354	PPG Industries Inc	216,766
64	Amazon.com Inc	211,900
1,529	Kimberly-Clark Corp	211,554
2,224	Activision Blizzard Inc	210,753
1,029	Target Corp	206,593
1,371	Keysight Technologies Inc	198,510
2,451	Colgate-Palmolive Co	194,970
398	Thermo Fisher Scientific Inc	192,138
883	Eli Lilly & Co	168,437
741	Honeywell International Inc	152,664
576	Estee Lauder Cos Inc/The	143,490
232	Bio-Rad Laboratories Inc	139,461
1,297	AmerisourceBergen Corp	138,504
393	UnitedHealth Group Inc	138,143
878	T Rowe Price Group Inc	137,325
3,588	Pfizer Inc	131,641
337	WW Grainger Inc	130,972
2,312	eBay Inc	128,105
1,114	Waste Management Inc	127,784
554	Public Storage	124,304
433	Air Products and Chemicals Inc	124,225
411	Northrop Grumman Corp	123,735
297	Roper Technologies Inc	122,245
3,358	Boston Scientific Corp	121,960
2,605	Hormel Foods Corp	116,858
2,077	General Mills Inc	116,829
821	Garmin Ltd	102,763
554	General Dynamics Corp	93,632
823	Allstate Corp/The	92,139
383	Microsoft Corp	91,487
479	3M Co	90,257
489	Automatic Data Processing Inc	88,515
851	Expeditors International of Washington Inc	86,166

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.

# First Trust Global Funds plc

## First Trust Value Line® Dividend Index UCITS ETF

For the financial period ended 30 June 2021

### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
1,137	Middlesex Water Co	92,862
1,194	Commerce Bancshares Inc/MO	90,249
426	Target Corp	89,246
550	J & J Snack Foods Corp	87,739
683	Arthur J Gallagher & Co	86,164
236	UnitedHealth Group Inc	85,436
230	Avery Dennison Corp	48,485
519	McCormick & Co Inc/MD	48,120
772	Donaldson Co Inc	47,933
960	PNM Resources Inc	47,894
335	Walmart Inc	47,595
390	Yum! Brands Inc	46,992
156	Linde Plc	46,766
193	Becton Dickinson and Co	46,712
512	PACCAR Inc	46,438
316	Dover Corp	46,145
1,017	Duke Realty Corp (REIT)	46,070
728	Johnson Controls International plc	45,952
1,196	Kirkland Lake Gold Ltd	45,583
312	Broadridge Financial Solutions Inc	45,145
234	Lowe's Cos Inc	44,729
1,077	Silgan Holdings Inc	44,448
701	Oracle Corp	44,048
1,229	Enbridge Inc	44,032
1,284	Premier Inc - Class A	43,977
634	Cognizant Technology Solutions Corp	43,822
541	Baxter International Inc	43,789
195	Constellation Brands Inc - Class A	43,731
306	PPG Industries Inc	42,787
549	Ingredion Inc	41,951
111	WW Grainger Inc	41,235
594	Morgan Stanley	41,106
742	A O Smith Corp	39,874

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of sales for the financial period.

## First Trust Global Funds plc

### First Trust Value Line® Dividend Index UCITS ETF (continued)

For the financial period ended 30 June 2021 (continued)

#### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
500	Avery Dennison Corp	98,087
1,234	Commerce Bancshares Inc/MO	95,174
476	Target Corp	91,008
1,137	Middlesex Water Co	87,162
2,387	Shaw Communications Inc	68,126
515	Federal Realty Investment Trust (REIT)	56,645
367	Arthur J Gallagher & Co	53,027
306	PPG Industries Inc	52,626
590	Bank of Hawaii Corp	52,500
680	DuPont de Nemours Inc	52,453
346	Dover Corp	50,798
1,657	MDU Resources Group Inc	49,948
793	Dow Inc	49,843
927	Northwest Natural Holding Co	48,926
295	J & J Snack Foods Corp	46,709
125	UnitedHealth Group Inc	46,324
1,927	NiSource Inc	45,753
1,493	Honda Motor Co Ltd ADR	42,983
914	Essential Utilities Inc	41,900
1,460	China Mobile Ltd ADR	40,332

The significant portfolio changes reflect the top 20 sales for the financial period (the aggregate disposals exceeding one per cent of the total value of sales for the financial period did not amount to 20 sales and therefore the top 20 sales have been included).

**First Trust Global Funds plc**  
**First Trust NYSE Arca Biotechnology UCITS ETF**

**For the financial period ended 30 June 2021**

**Statement of Significant Purchases**

<b>Nominal</b>	<b>Security Description</b>	<b>Cost US\$</b>
21,817	FibroGen Inc	575,493
3,095	Moderna Inc	525,431
6,056	Sarepta Therapeutics Inc	515,574
19,684	ACADIA Pharmaceuticals Inc	487,168
14,428	Bluebird Bio Inc	480,167
2,455	Seagen Inc	400,198
8,290	Ionis Pharmaceuticals Inc	373,343
18,173	Nektar Therapeutics - Class A	367,320
715	Regeneron Pharmaceuticals Inc	355,809
2,830	Ultragenyx Pharmaceutical Inc	355,650
1,164	Biogen Inc	318,743
4,758	Gilead Sciences Inc	315,947
736	Illumina Inc	308,774
3,649	Incyte Corp	307,964
2,092	Alnylam Pharmaceuticals Inc	306,088
5,745	Agios Pharmaceuticals Inc	303,565
2,956	Neurocrine Biosciences Inc	301,209
12,933	Exelixis Inc	300,904
10,603	Intercept Pharmaceuticals Inc	297,459
1,378	Vertex Pharmaceuticals Inc	296,251
5,810	QIAGEN NV	295,425
752	Bio-Techne Corp	293,849
13,886	Alkermes Plc	292,325
16,794	Grifols SA ADR	292,160
1,425	IQVIA Holdings Inc	291,492
3,611	BioMarin Pharmaceutical Inc	290,816
1,213	Amgen Inc	290,790
1,759	Alexion Pharmaceuticals Inc	285,297
961	Charles River Laboratories International Inc	284,186
1,542	United Therapeutics Corp	268,964
2,112	Exact Sciences Corp	268,281

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of purchases for the financial period.



## First Trust Global Funds plc

### First Trust NYSE Arca Biotechnology UCITS ETF (continued)

For the financial period ended 30 June 2021 (continued)

#### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
2,578	United Therapeutics Corp	486,408
20,923	Intercept Pharmaceuticals Inc	448,801
1,080	Bio-Techne Corp	428,616
7,871	Agios Pharmaceuticals Inc	413,160
1,129	Charles River Laboratories International Inc	355,345
2,803	Ultragenyx Pharmaceutical Inc	343,619
1,611	IQVIA Holdings Inc	342,940
2,016	Alexion Pharmaceuticals Inc	329,110
16,415	Nektar Therapeutics - Class A	313,458
760	Illumina Inc	312,099
2,305	Exact Sciences Corp	304,433
13,228	Alkermes Plc	284,486
4,126	Gilead Sciences Inc	274,430
1,051	Amgen Inc	262,840
5,369	Ionis Pharmaceuticals Inc	250,864
861	Biogen Inc	242,395
10,186	Exelixis Inc	234,272
4,548	QIAGEN NV	233,048
457	Regeneron Pharmaceuticals Inc	230,903
1,049	Vertex Pharmaceuticals Inc	227,460
7,762	ACADIA Pharmaceuticals Inc	223,180
2,759	BioMarin Pharmaceutical Inc	220,932
1,464	Alnylam Pharmaceuticals Inc	213,393
11,746	Grifols SA ADR	211,448
2,433	Incyte Corp	206,137
2,587	Sarepta Therapeutics Inc	202,971
8,058	FibroGen Inc	199,542
2,038	Neurocrine Biosciences Inc	198,711
1,292	Seagen Inc	196,818
5,634	Bluebird Bio Inc	179,438
581	Moderna Inc	106,853

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.

# First Trust Global Funds plc

## First Trust Nasdaq Cybersecurity UCITS ETF

For the financial period ended 30 June 2021

### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
44,025	Okta Inc	10,646,494
49,088	Zscaler Inc	9,646,748
44,359	Crowdstrike Holdings Inc	9,356,619
60,694	Splunk Inc	8,601,951
175,124	Cisco Systems Inc/Delaware	8,470,550
31,672	Accenture Plc - Class A	8,452,256
98,192	Sailpoint Technologies Holdings Inc	5,140,291
69,542	Varonis Systems Inc - Class B	5,134,696
34,589	CyberArk Software Ltd	4,973,510
33,896	Proofpoint Inc	4,790,559
46,661	Leidos Holdings Inc	4,756,471
221,738	FireEye Inc	4,690,271
27,070	Fortinet Inc	4,593,232
43,212	Akamai Technologies Inc	4,581,853
23,760	F5 Networks Inc	4,558,133
53,337	Booz Allen Hamilton Holding Corp - Class A	4,523,142
36,782	Check Point Software Technologies Ltd	4,490,743
40,916	Qualys Inc	4,451,457
12,363	Palo Alto Networks Inc	4,367,060
28,911	VMware Inc	4,262,452
20,729	VeriSign Inc	4,218,232
167,388	Juniper Networks Inc	4,217,844
54,031	Cloudflare Inc	4,142,810
538,159	BAE Systems Plc	3,730,675
43,550	Rapid7 Inc	3,622,101
74,431	Tenable Holdings Inc	3,314,240
34,243	Science Applications International Corp	3,193,960
47,886	Trend Micro Inc/Japan	2,520,355
53,832	Mimecast Ltd	2,416,201
23,517	Thales SA	2,264,279

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of purchases for the financial period.

# First Trust Global Funds plc

## First Trust Nasdaq Cybersecurity UCITS ETF (continued)

For the financial period ended 30 June 2021 (continued)

### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
32,122	Splunk Inc	4,310,180
17,085	Crowdstrike Holdings Inc	3,439,707
15,659	Fortinet Inc	2,985,730
18,474	Proofpoint Inc	2,896,084
14,443	Zscaler Inc	2,732,237
46,859	Cisco Systems Inc/Delaware	2,291,917
86,010	FireEye Inc	1,759,125
6,488	Accenture Plc - Class A	1,716,824
15,148	Qualys Inc	1,669,447
26,780	Sailpoint Technologies Holdings Inc	1,454,431
11,679	Akamai Technologies Inc	1,314,148
162,487	BAE Systems Plc	1,090,807
11,236	Thales SA	1,077,247
10,275	Leidos Holdings Inc	1,070,692
20,329	Mimecast Ltd	1,032,944
3,051	Palo Alto Networks Inc	1,031,432
37,270	Juniper Networks Inc	958,968
6,366	VMware Inc	937,063
4,655	F5 Networks Inc	927,969
4,296	VeriSign Inc	893,408
9,935	Cloudflare Inc	851,306
17,842	Tenable Holdings Inc	815,448
8,503	Booz Allen Hamilton Holding Corp - Class A	752,987
14,040	Trend Micro Inc/Japan	736,728
9,956	Ahnlab Inc	652,528
6,255	Science Applications International Corp	601,586
4,452	Check Point Software Technologies Ltd	557,542
3,492	CyberArk Software Ltd	555,773
1,991	Okta Inc	517,223
3,194	Varonis Systems Inc - Class B	485,438

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.

## First Trust Global Funds plc

### First Trust Strategic Metal and Energy Equity UCITS Fund<sup>1</sup>

For the financial period ended 30 June 2021

#### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
1,189	Newmont Corp	74,441
3,288	Barrick Gold Corp Npv	74,177
727	Chevron Corp	71,122
1,408	ConocoPhillips	70,927
1,306	Exxon Mobil Corp	70,299
525	Franco-Nevada Corp Npv	67,326
1,302	Wheaton Precious Metals Corp Npv	54,332
2,333	Newcrest Mining Ltd	47,243
731	EOG Resources Inc	46,549
545	Agnico Eagle Mines Ltd Npv	37,429
467	Phillips 66	37,233
691	Marathon Petroleum Corp	36,158
513	Valero Energy Corp	35,937
242	Pioneer Natural Resources Co	34,251
1,732	Suncor Energy Inc	33,565
1,186	Canadian Natural Resources Ltd	32,818
1,243	Occidental Petroleum Corp	32,726
816	Kirkland Lake Gold Ltd Usd Npv	32,290
1,396	Polymetal International Plc	31,239
3,521	Northern Star Resources Ltd	30,652
1,247	AngloGold Ashanti Ltd ADR	29,412
3,852	Kinross Gold Corp Npv	28,602
2,801	Gold Fields Ltd ADR	27,917
428	Hess Corp	26,847
1,973	Fresnillo Plc	26,426
746	Pan American Silver Corp Npv	24,525
200	Royal Gold Inc	22,859
1,020	Imperial Oil Ltd	22,160
933	Devon Energy Corp	19,359
2,675	Cenovus Energy Inc	18,776
5,001	Evolution Mining Ltd	18,409

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of sales for the financial period.

# First Trust Global Funds plc

## First Trust Strategic Metal and Energy Equity UCITS Fund<sup>1</sup> (continued)

For the financial period ended 30 June 2021 (continued)

### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
761	Newmont Corp	51,666
909	ConocoPhillips	51,293
821	Exxon Mobil Corp	48,942
448	Chevron Corp	47,091
2,005	Barrick Gold Corp Npv	46,315
331	Franco-Nevada Corp Npv	46,138
486	EOG Resources Inc	39,106
809	Wheaton Precious Metals Corp Npv	36,084
1,639	Newcrest Mining Ltd	33,184
450	Marathon Petroleum Corp	27,174
784	Canadian Natural Resources Ltd	26,810
1,135	Suncor Energy Inc	26,758
333	Valero Energy Corp	26,215
300	Phillips 66	25,658
293	Hess Corp	24,245
150	Pioneer Natural Resources Co	23,121
336	Agnico Eagle Mines Ltd Npv	22,622
701	Imperial Oil Ltd	22,253
824	Occidental Petroleum Corp	21,117
509	Kirkland Lake Gold Ltd Usd Npv	20,390
853	Polymetal International Plc	19,471
1,734	Gold Fields Ltd ADR	18,982
767	AngloGold Ashanti Ltd ADR	18,131
2,336	Kinross Gold Corp Npv	17,621
2,131	Northern Star Resources Ltd	17,255
1,360	Fresnillo Plc	16,950
503	Pan American Silver Corp Npv	16,840
630	Devon Energy Corp	16,348
1,806	Cenovus Energy Inc	14,777
125	Royal Gold Inc	14,739
157	Diamondback Energy Inc	12,368

<sup>1</sup> Please refer to Note 14 for details of significant events during the financial period.

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.

# First Trust Global Funds plc

## First Trust Global Capital Strength ESG Leaders UCITS ETF<sup>1</sup>

For the financial period ended 30 June 2021

### Statement of Significant Purchases

Nominal	Security Description	Cost US\$
180	S&P Global Inc	69,192
258	American Tower Corp (REIT)	68,636
493	Intact Financial Corp	68,429
420	Deutsche Boerse AG	68,389
294	Visa Inc - Class A	68,226
487	Ferguson Plc	68,119
202	Moody's Corp	68,066
1,824	Alimentation Couche-Tard Inc - Class B	67,800
1,910	Essity AB	67,371
485	Agilent Technologies Inc	67,299
11,157	Emirates Telecommunications Group Co PJSC	67,296
76	BlackRock Inc	67,224
1,061	General Mills Inc	67,055
238	Accenture Plc - Class A	66,987
807	Colgate-Palmolive Co	66,933
9,799	Rentokil Initial Plc	66,657
679	Thomson Reuters Corp	66,630
20,727	Commercial International Bank Egypt SAE	66,524
6,851	President Chain Store Corp	66,371
863	National Bank of Canada	65,993
212	Home Depot Inc/The	65,913
156	Humana Inc	65,885
28,848	Moscow Exchange MICEX-RTS PJSC	65,758
296	Union Pacific Corp	65,665
885	Merck & Co Inc	65,491
1,556	Tata Consultancy Services Ltd	65,402
197	Roche Holding AG	65,293
663	CSX Corp	65,080
708	Progressive Corp/The	64,018
12,000	China Resources Gas Group Ltd	63,950
402	Johnson & Johnson	63,519
501	Procter & Gamble Co/The	63,481
22	SGS SA	63,439
1,200	Shionogi & Co Ltd	63,335
726	Ball Corp	62,632
254	Sonova Holding AG	62,162
413	Zoetis Inc	62,012
2,408	Skanska AB	61,948
780	CGI Inc	61,932
551	Allstate Corp/The	61,887
7,343	Sage Group PLC/The	61,853
1,058	Atlas Copco AB	61,533
230	Kuehne + Nagel International AG	61,407
1,203	Coca-Cola Co/The	61,393
36,895	Kimberly-Clark de Mexico SAB de CV	61,392
487	SAP SE	61,376
490	Garmin Ltd	61,368
752	Wolters Kluwer NV	61,120
864	Novo Nordisk A/S	61,107
1,116	Unilever Plc	61,065
373	United Parcel Service Inc	60,903

## First Trust Global Funds plc

### First Trust Global Capital Strength ESG Leaders UCITS ETF<sup>1</sup> (continued)

For the financial period ended 30 June 2021 (continued)

#### Statement of Significant Purchases (continued)

Nominal	Security Description	Cost US\$
220	Sika AG	60,890
339	Target Corp	60,745
24,552	Uni-President Enterprises Corp	60,737
414	Nasdaq Inc	60,717
7,450	Vodacom Group Ltd	60,680
622	Expeditors International of Washington Inc	60,626
1,265	Cisco Systems Inc/Delaware	60,606
3,823	Jeronimo Martins SGPS SA	60,453
286	Illinois Tool Works Inc	60,415
855	Cerner Corp	60,312
263	Amgen Inc	60,227
900	Kao Corp	60,198
454	PepsiCo Inc	60,046
19,873	Quanta Computer Inc	59,973
511	Marsh & McLennan Cos Inc	59,695
302	IDEX Corp	59,690
328	3M Co	59,475
2,825	Taiwan Semiconductor Manufacturing Co Ltd	59,368
15,400	China Gas Holdings Ltd	58,820
100	Nintendo Co Ltd	54,104
300	Nitori Holdings Co Ltd	53,042

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of sales for the financial period.

## First Trust Global Funds plc

### First Trust Global Capital Strength ESG Leaders UCITS ETF<sup>1</sup> (continued)

For the financial period ended 30 June 2021 (continued)

#### Statement of Significant Sales

Nominal	Security Description	Proceeds US\$
254	Sonova Holding AG	89,492
339	Target Corp	79,695
373	United Parcel Service Inc	78,151
12,000	China Resources Gas Group Ltd	75,424
752	Wolters Kluwer NV	72,781
2,408	Skanska AB	70,306
414	Nasdaq Inc	69,945
780	CGI Inc	69,751
487	SAP SE	68,811
36,895	Kimberly-Clark de Mexico SAB de CV	68,747
7,343	Sage Group PLC/The	67,544
302	IDEX Corp	67,397
1,058	Atlas Copco AB	65,362
100	Nintendo Co Ltd	62,599
263	Amgen Inc	62,281
19,873	Quanta Computer Inc	62,004
1,200	Shionogi & Co Ltd	61,692
2,825	Taiwan Semiconductor Manufacturing Co Ltd	59,899
726	Ball Corp	58,530
15,400	China Gas Holdings Ltd	58,057
900	Kao Corp	55,181
300	Nitori Holdings Co Ltd	51,046

<sup>1</sup> Please refer to Note 14 for details of significant events during the financial period.

The significant portfolio changes reflect the aggregate disposals of a security exceeding one percent of the total value of sales for the financial period.



## First Trust Global Funds plc

### First Trust IPOX® Europe Equity Opportunities UCITS ETF<sup>1</sup>

For the financial period ended 30 June 2021

#### Statement of Significant Purchases

Nominal	Security Description	Cost €
3,196	Siemens Healthineers AG '144A'	159,272
68	Adyen NV '144A'	132,489
1,292	Prosus NV	105,868
4,270	Nexi SpA '144A'	75,885
26,248	Natwest Group Plc	62,911
316	BioNTech SE ADR	62,807
317	DSV PANALPINA A/S	62,482
301	Dassault Systemes SE	60,562
1,082	La Francaise des Jeux SAEM '144A'	54,528
425	Aptiv Plc	54,166
361	Evolution AB '144A'	51,972
1,691	EQT AB	51,839
289	NXP Semiconductors NV	47,947
591	HelloFresh SE	47,493
1,700	Traton SE	45,243
779	Danone SA	44,558
15,173	M&G Plc	43,094
1,251	Vantage Towers AG	34,769
1,685	Lifco AB	32,342
1,244	nVent Electric Plc	32,096
111	VAT Group AG '144A'	30,689
811	Genmab A/S ADR	29,520
211	IMCD NV	28,641
905	Uniper SE	28,042
272	Endava Plc ADR	26,507
561	Capri Holdings Ltd	25,520
1,787	Sinch AB '144A'	24,889
646	Thule Group AB '144A'	24,150
1,556	Allfunds Group Plc	22,554
1,270	Adevinta ASA	20,958

The significant portfolio changes reflect the aggregate purchases of a security exceeding one percent of the total value of sales for the financial period.

## First Trust Global Funds plc

### First Trust IPOX<sup>®</sup> Europe Equity Opportunities UCITS ETF<sup>1</sup> (continued)

For the financial period ended 30 June 2021 (continued)

#### Statement of Significant Sales

Nominal	Security Description	Proceeds €
244	Siemens Healthineers AG '144A'	12,069
99	Prosus NV	8,181
4	Adyen NV '144A'	7,658
327	Nexi SpA '144A'	5,851
2,012	Natwest Group Plc	4,828
24	DSV PANALPINA A/S	4,751
23	Dassault Systemes SE	4,622
82	La Francaise des Jeux SAEM '144A'	4,143
28	Evolution AB '144A'	3,925
129	EQT AB	3,900
130	Traton SE	3,459
45	HelloFresh SE	3,452
54	Danone SA	3,076

<sup>1</sup> Please refer to Note 14 for details of significant events during the financial period.

The significant portfolio changes reflect the top 20 sales for the financial period (the aggregate disposals exceeding one per cent of the total value of sales for the financial period did not amount to 20 sales and therefore all sales have been included).

## **First Trust Global Funds plc**

### **Securities Financing Transactions Regulation**

#### **For the financial period ended 30 June 2021**

There are no securities financing transactions that require disclosure as at 30 June 2021 in accordance with the Securities Financing Regulation (Regulation (EU) 2015/2365).